#### Lattice Energy LLC

Dash et al. published excellent conference paper in 1993



Dash LENR transmutation results effectively confirmed by Mitsubishi in 2012 but predated by Nagaoka in 1925

Mitsubishi changed from gas permeation method used since 2002 to electrochemical permeation more akin to Dash et al.'s experiments. Enabled MHI to aggressively increase their transmutation device product yields by 3 orders of magnitude in 3 years by increasing surface concentrations of  $e^+d^+$  reactants & boosting input energy

Slides #37-43 discuss notable parallels between today's primitive LENR devices and development of transistors in the semiconductor industry

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June 24, 2016

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Image credit: Alksub at English Wikipedia

#### Supernovas are not required to produce Gold and Silver

For 60 years, Gold and Silver were elements that most astrophysicists believed could only be created in stars and manmade fission reactors.

Today, the Widom-Larsen theory (WLT) of ultralow energy neutron reactions (LENRs) explains how such precious metals can be produced --- albeit in minute quantities --- by modest DC electric currents running through battery-like, aqueous electrochemical cells operated at ambient temperatures and pressures, not at millions of degrees like a dying star.

WLT's integration of condensed matter quantum effects with many-body collective physics can explain a large collection of varied anomalous experimental results that has accumulated for 100 years, including experimental data reported by Dash et al. (1993). Viewed through this new breakthrough theoretical lens, long-inexplicable observations as well as Mitsubishi's results are fully understandable on a scientific basis.

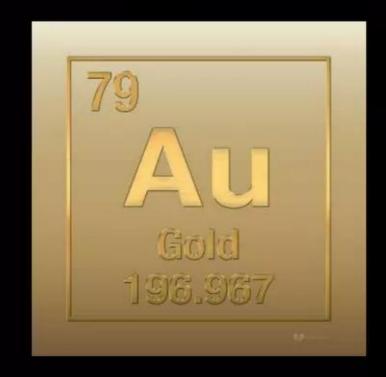
Practical extensions of basic published WLT provide a new conceptual framework that can guide thermal device engineering programs aimed at commercializing LENRs for green, radiation-free power generation.

Credit: NASA - supernova remnant GK Persei from star that exploded back in 1901

#### Contents

LENR neutron-catalyzed transmutation of Pt→Au and Pd→Ag in electrochemical cells	4 - 5
Experimental results of Dash et al. (1993) consistent with Widom-Larsen theory	6 - 7
Prof. John Dash et al. conference paper (1993)	8 - 10
Broad-brush overview of Widom-Larsen theory of LENRs	. 11 - 16
Mitsubishi Heavy Industries shows LENRs occur in tiny localized areas on surfaces	. 17
LENRs processes typically traverse left-to-right across rows of the Periodic Table	. 18 - 19
LENRs in electrochemical cells driven by DC electric currents	. 20 - 21
Details about micron-scale LENR active sites in electrochemical cells	22 - 23
Prof. Hantaro Nagaoka's work (Japan, 1925) produced Au and Pt; predated Dash	24 - 27
Mitsubishi recently switched from gas to electrochemical permeation to boost yields	28
Mitsubishi permeation methods in the context of Widom-Larsen theory	29 - 30
Widom-Larsen theory enables commercialization: use of applied nanotech is key	31
Application of W-L theory's insights to commercialization of LENRs	32 - 34
Mitsubishi increased LENR device transmutation rates by 3 orders of magnitude	. 35 - 37
LENR active sites are analogous to transistors in some ways	38 - 44
Mimic semiconductor industry to achieve market dominance	45
Microscopic reproducibility of LENR active sites is key to commercialization	. 46
Three key phases of Lattice's engineering plan	. 47
Key published papers about the Widom-Larsen theory	. 48
Working with Lattice	. 49
Quotation: Prof. W. Brian Arthur about resistance to technology paradigm shifts	50

Supernovas are not required to produce Gold and Silver





"Nothing is too wonderful to be true, if it be consistent with the laws of Nature; and in such things as these experiments is the best test of such consistency."

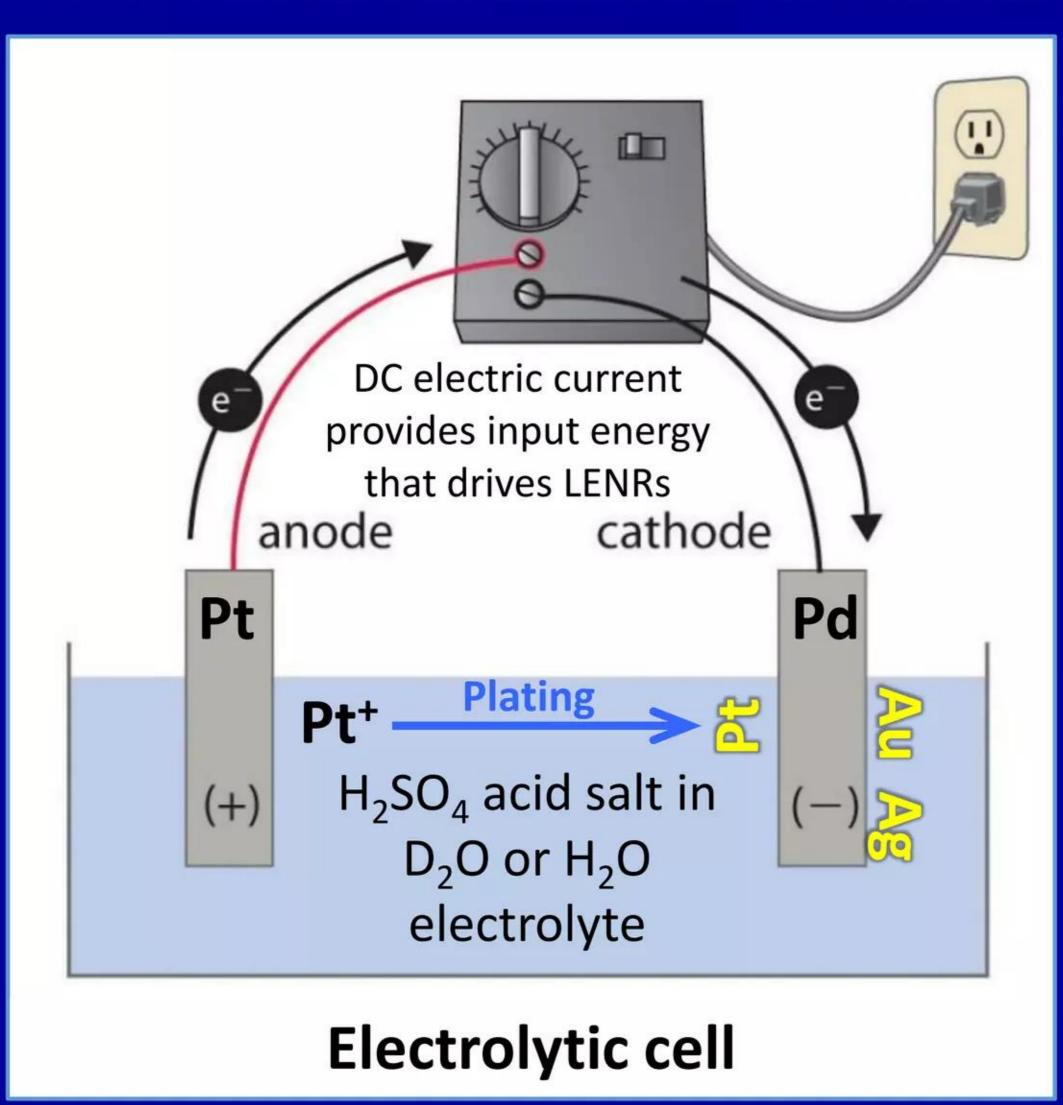
Michael Faraday Laboratory journal entry #10,040 March 19, 1849

> Native Gold on white Quartz Eagle's Nest Mine, Placer County, California, USA

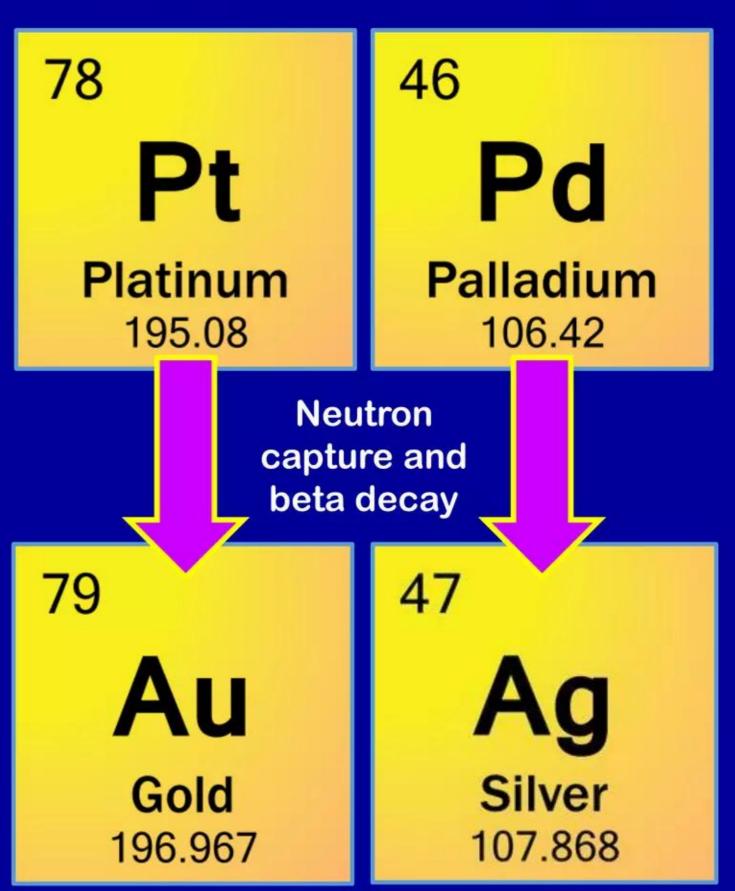
#### Widom-Larsen explain transmutation in electrochemical cells Neutrons drive production of Gold from Platinum & Silver from Palladium

Stars and fission reactors are not required

Transmutation of elements



Electricity provides input energy needed to produce neutrons



### Dash et al.'s results consistent with Widom-Larsen theory Gold and Silver transmutation products created by LENR neutron capture

Widom-Larsen theory of LENRs in condensed matter systems					
Neutron production reactions	Transmutation of chemical elements/isotopes				
Many-body collective electroweak	Neutron captures followed by beta decays				
H <sub>2</sub> O cell: $e^- + p^+ \rightarrow 1 \ n^0 + v_e$ D <sub>2</sub> O cell: $e^- + d^+ \rightarrow 2 \ n^0 + v_e$	$n^0$ + isotope of element $(Z, A) \rightarrow (Z, A+1)$ $(Z, A+1) \rightarrow (Z+1, A+1) + e_{\beta}^- + v_e$				

Theory vs. Dash et al.'s results with Pt anode; Pd cathode; H <sub>2</sub> SO <sub>4</sub> in D <sub>2</sub> O or H <sub>2</sub> O				
Predictions of Widom-Larsen theory	Experimental results reported in ICCF-4 paper			
Per W-L, $D_2O$ cell should produce ~ 2x total number of neutrons made in an $H_2O$ cell; implies:				
D <sub>2</sub> O cell should produce more heat vs. H <sub>2</sub> O	Yes - more excess heat observed in D <sub>2</sub> O vs. H <sub>2</sub> O			
D <sub>2</sub> O should show > transmutations than H <sub>2</sub> O	Yes - roughly 2x more Gold made in D <sub>2</sub> O vs. H <sub>2</sub> O			
LENRs occur in areas of high current density	Yes - this observation was noted in their paper			
Neutron captures on Pt can produce Gold	Yes - Gold transmutation product was observed			
Neutron captures on Pd can produce Silver	Yes - Silver transmutation product was observed			
LENR-active sites occupy tiny % of surface	Yes - heat made by estimated 0.4% of cathode			

# Paper's results consistent with Widom-Larsen LENR theory Effectively lost since mid-1990s; Larsen recently saw a reference to it Steven Krivit (New Energy Times) provided copy from document collection

http://newenergytimes.com/v2/library/1993/1993Dash-Surface-ICCF-4.pdf

- ✓ Prof. John Dash, who died on April 13, 2016, taught physics at Portland State University. He was a longtime LENR researcher who published many papers concerning experimental observations of nuclear transmutations in condensed matter systems. This paper was noted in a recent eulogy about John by Dr. Hideo Kozima; it had been effectively lost and simply unavailable since the mid-1990s
- ✓ Experimental data reported by Dash *et al.* in this conference paper presented some 23 years ago is fascinating. While reproducibility of otherwise plausible experimental results (especially with regard to production of excess heat ondemand) was then and even still remains a key commercialization issue, the quality and theoretical consistency of this data is excellent and worth examining
- ✓ 1993 was 12 years prior to 2005 arXiv publication of the Widom-Larsen theory of ultralow energy neutron reactions (LENRs) in condensed matter; we explain how neutrons can be created in a collective many-body electroweak reaction that occurs between electrons and protons or deuterons. Twenty-three years ago, Dash et al. correctly concluded that "slow neutrons" were key and responsible for creating Gold (Au) and Silver (Ag) transmutation products that were observed in electrochemical cells electrolyzed for 400 hours. However, they mistakenly hypothesized that the neutrons were being produced by a nuclear fusion process

## "Surface morphology and microcomposition of Palladium cathodes after electrolysis in acidified light and heavy water: correlation with excess heat"

J. Dash, G. Noble, and D. Dinan

11-page ICCF-4 conference paper: held in Maui, Hawaii (Dec. 6 - 9, 1993)

To view and download a copy of this fascinating paper go to URL:

http://newenergytimes.com/v2/library/1993/1993Dash-Surface-ICCF-4.pdf

Abstract: "Experiments were performed using Pt [Platinum] anodes and Pd [Palladium] cathodes. The electrolyte contained H<sub>2</sub>O [light water] and H<sub>2</sub>SO<sub>4</sub> in one cell and D<sub>2</sub>O [heavy water] and H<sub>2</sub>SO<sub>4</sub> in a similar cell connected in series. Excess heat, localized melting, and localized concentrations of Au [Gold] or Ag [Silver] were observed. It is concluded that nuclear fusion is the most probable explanation for the excess heat, localized melting, and localized concentrations of unexpected elements."

Selected excerpts: "After about 400 hours of electrolysis ... localized differences in chemical composition also were observed by using an energy dispersive spectrometer (EDS) attached to the SEM [scanning electron microscope]. EDS gives surface analysis to a depth of about 1  $\mu$ m [one micron] ... The spectrum [of the cathode] shows an appreciable amount of Pt and Au in addition to Pd. Similar spectra were obtained from regions C and D. It is likely that Pt is plated from the electrolyte where it occurs due to a slow dissolution of the Pt anode. Au, however, is not expected to come from a Pt anode. Nor is it expected to occur inhomogeneously as an impurity in Pd because Au and Pd are completely miscible [at all ratios] in the solid state."

#### J. Dash et al. - further excerpts from 1993 conference paper Everything reported in paper is fully explained by Widom-Larsen theory

#### Selected excerpts continued:

"Similar analysis of the bottom of the heavy water Pd cathode on the concave side also revealed Au in localized regions. The concentration of Au on the heavy water cathode appears to be greater than the light water cathode. For example, analysis of an active area 10<sup>-3</sup> mm<sup>2</sup> on the heavy water cathode gave 6% Au compared with 3% Au for an active area of the same size on the light water cathode." [~2x as much Au]

"Both cells used the same materials, except that the heavy water cells contained  $D_2O$  (Baker Analyzed G210-05) and the light water cell used deionized  $H_2O$ . Both cells were electrolyzed for exactly the same time with exactly the same current. Therefore, it is difficult to explain how a greater concentration of Au could be deposited on the  $D_2O$  cathode either by plating from there electrolyte or by diffusion from within the cathode." [Answer: Widom-Larsen theory posits  $D_2O$  produces ~2x number of neutrons as  $H_2O$ ; neutron captures transmute atoms and release heat]

"A possible mechanism for the occurrence of Au on these Pd cathodes is transmutation caused by neutrons. The heavy water cell produced more excess heat than the light water cell, and the concentration of Au on the heavy water Pd is greater than that on the light water Pd. If the excess heat was caused by nuclear fusion, then neutrons may have been released. In the presence of hydrogen, transmutation may be greatly enhanced. If a neutron is captured by Pt<sup>196</sup>, an abundant isotope, it becomes Pt<sup>197</sup>, which quickly decays to Au<sup>197</sup>, a stable isotope."

#### J. Dash et al. - further excerpts from 1993 conference paper Everything reported in paper is fully explained by Widom-Larsen theory

#### Selected excerpts continued:

"... If 2.5 MeV is released along with each neutron from the assumed fusion reaction, then the energy produced by  $6.4 \times 10^{10}$  events is  $2.6 \times 10^{-2}$  J [Joules]. This means that about 0.6% of the cathode volume would produce about  $6.4 \times 10^{5}$  J excess heat. Transmutation byproducts would be concentrated in minute areas, requiring microscopic analytical techniques such as we have used."

"A possible mechanism for the occurrence of Ag in such areas is transmutation caused by neutrons. For example, if the excess heat observed for the heavy water cell was caused by nuclear fusion, then neutrons may have been released. If a neutron is captured by Pd<sup>108</sup>, it becomes Pd<sup>109</sup>, which rapidly decays to Ag<sup>109</sup>, a stable isotope."

"Gold was found on palladium cathodes from both light water and heavy water cells which had been electrolyzed in series for about 400 hours. More gold was found on the heavy water cathode than on the light water cathode. This seems to correlate roughly with excess heat measurements; i.e., more excess heat was observed for the heavy water cell than for the light water cell. Gold was observed only on the highest current density portions of each cathode, and on a highly convoluted surface."

"The occurrence of gold and silver in these experiments seems unlikely to have been caused by impurities being deposited in the localized, high concentrations which were observed. On the other hand, these elements could have been produced by transmutation if slow neutrons were present."

## Condensed matter ultralow energy neutron reactions LENRs

Image credit: co-author Domenico Pacifici
From: "Nanoscale plasmonic interferometers for
multispectral, high-throughput biochemical sensing"
J. Feng et al., Nano Letters pp. 602 - 609 (2012)

No deadly MeV-energy gamma radiation

No dangerous energetic neutron radiation

Insignificant production of radioactive waste

Vastly higher energies vs. chemical processes

Revolutionary, no CO2, and environmentally green

Fully explained by physics of Widom-Larsen theory

#### Comparison of LENRs to fission and fusion

Fission, fusion, and LENRs all involve controlled release of nuclear binding energy (heat) for power generation: no  $CO_2$  emissions; scale of energy release is MeVs (nuclear regime) > 1,000,000x energy density of chemical energy power sources

Heavy element fission: involves shattering heavy nuclei to release stored nuclear binding energy; requires massive shielding and containment structures to handle radiation; major radioactive waste clean-up issues and costs; limited sources of fuel: today, almost entirely Uranium; Thorium-based fuel cycles now under development; heavy element U-235 (fissile isotope fuel) + neutrons → complex array of lower-mass fission products (some are very long-lived radioisotopes) + energetic gamma radiation + energetic neutron radiation + heat

binding energy; present multi-billion \$ development efforts (e.g., ITER, NIF, other Tokamaks) focusing mainly on D+T fusion reaction; requires massive shielding/containment structures to handle 14 MeV neutron radiation; minor radioactive waste clean-up \$ costs vs. fission Two key sources of fuel: Deuterium and Tritium (both are heavy isotopes of Hydrogen) Most likely to be developed commercial fusion reaction involves:

D + T → He-4 (helium) + neutron + heat (total energy yield 17.6 MeV; ~14.1 MeV in neutron)

Ultralow energy neutron reactions (LENRs): distinguishing feature is neutron production via electroweak reaction; neutron capture on fuel + gamma conversion to IR + decays  $[\beta^-, \alpha]$  releases nuclear binding energy; early-stage technology; no emission of energetic neutron or gamma radiation and no long-lived radioactive waste products; LENR systems will not require massive, expensive radiation shielding or containment structures  $\rightarrow$  much lower \$\$\$ cost; many possible fuels --- any element/isotope that can capture LENR neutrons; involves neutron-catalyzed transmutation of fuels into heavier stable elements; process creates heat

# Electroweak reaction in Widom-Larsen theory is simple Protons or deuterons react directly with electrons to make neutrons Need input energy source such as electricity to drive LENR neutron production

electrons + protons (Hydrogen) → neutrons + neutrinos (benign photons, fly into space)

Require source(s) of input energy Many-body collective electroweak neutron production Input energy creates electric fields > 2.5 x10<sup>11</sup> V/m Heavy-mass  $e^{-*}$  electrons react directly with protons

Collective many-body quantum effects:
many electrons each transfer little bits
of energy to a much smaller number of
electrons also bathed in the very same
extremely high local electric field

Quantum electrodynamics (QED): smaller number of electrons that absorb energy directly from local electric field will increase their effective masses ( $m = E/c^2$ ) above key thresholds  $\beta_0$  where they can react directly with a proton (or deuteron)  $\longrightarrow$  neutron and neutrino

$$\frac{1}{\text{Energy}_{\text{E-field}}} + e_{sp}$$

 $oldsymbol{v}_e$  neutrinos: ghostly unreactive photons that fly-off into space;  $oldsymbol{n}^0$  neutrons capture on nearby atoms

Radiation-free LENR transmutation

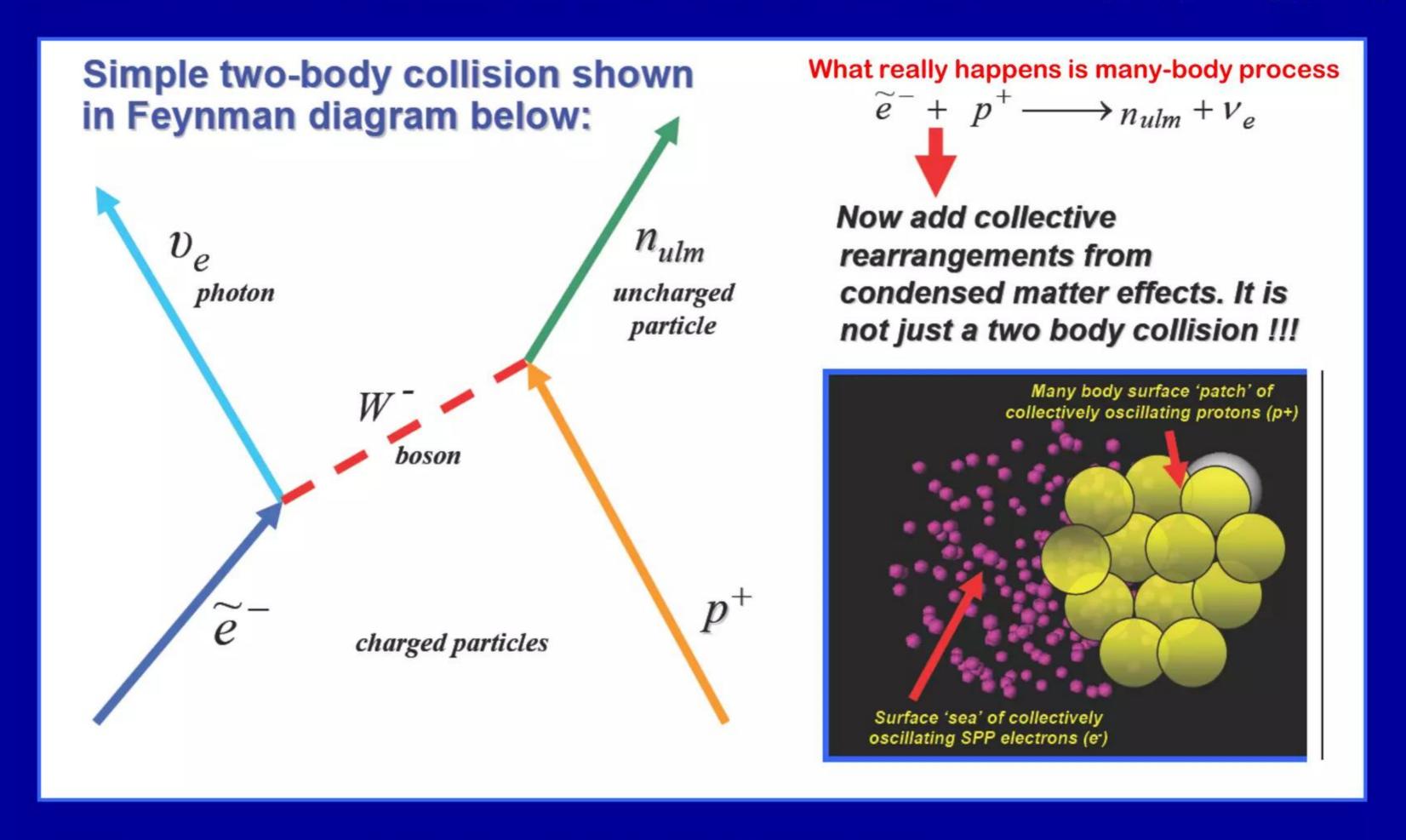
Neutrons + capture targets — heavier elements + decay products

Neutrons induce nuclear transmutations that release enormous amounts of clean, CO<sub>2</sub>-free heat

Many-body collective and quantum effects crucial to LENRs While written as two-body  $e^- + p^+$  reaction, what happens is many-body Many-body collective effects involve mutual quantum entanglement

What really happens is a many-body reaction amongst entangled particles

This collective electroweak reaction can thus be written as:  $e_n + p_n \rightarrow n_{ulm} + v_e$ 



# Many-body collective and quantum effects crucial to LENRs Stars, fission reactors, tokamaks and nuclear explosions not required Collective many-body transport and concentration of incident E-M energy

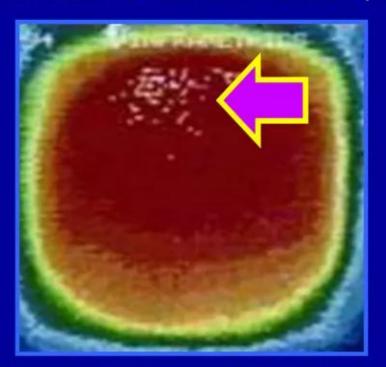
- ✓ Under proper conditions, the  $e+p \rightarrow n+v_e$  (endothermic by 0.78 MeV) electroweak "neutronization" reaction (surface plasmon SP electrons react directly with surface protons to make a neutron and an electron neutrino) can occur at surprisingly high rates. Reaction occurs in micron-scale, monolayer, many-body patches of entangled, collectively oscillating protons or deuterons that form spontaneously on fully-loaded metallic hydride surfaces (this happens when the bulk hydride interstitial sites for Hydrogen as H<sup>+</sup> are all occupied)
- These surface patch sites range in size from ~2 nm to ~100 microns; they can become LENR-active when sufficient amounts of E-M input energy in proper form is transported to, and concentrated in, them by wide-area film of entangled SP electrons that cover entire surface of a metallic hydride device. Delocalized, entangled  $\pi$  electrons on surfaces of hydrogenated Carbon-based aromatic rings serve same function as SP electrons found on metallic hydride surfaces

#### Widom-Larsen provides description for LENR-active sites Size of these active sites ranges from 2 nanometers up to ~100\* microns

Active sites have limited lifetimes before being destroyed by fast nuclear heating

- ✓ Per Widom-Larsen theory LENRs occur in localized micronscale LENR-active sites on ~planar surfaces: at certain types of interfaces\; or curved surfaces of various shaped nanoparticles
- ✓ Tiny LENR-active sites live for less than ~300 400 nanoseconds before being destroyed by intense heat; local peak temps range from 4,000 6,000° C; LENR-active sites spontaneously reform under right conditions in well-engineered LENR thermal devices
- ✓ Microscopic 100-micron LENR hotspot can release as much as several Watts of heat in < 400 nanoseconds; create crater-like features on surfaces that are visible in SEM images and show evidence for flash-boiling of both precious & refractory metals
- Extensions to W-L theory's physics have been integrated with unpublished details of Lattice's conceptual model for LENR-active sites. This company proprietary knowledge allows vastly better selection of nanostructural materials, channeling of very complex LENR transmutation networks, and choice of best fuel target elements. Also enables computer-aided design and very realistic simulation of fabricated nanostructures optimized for rapid formation and predictable operation of LENR-active sites

LENR hotspots on Pd cathode Infrared video of LENR hotspots



Credit: P. Boss, U.S. Navy <a href="http://www.youtube.com/w">http://www.youtube.com/w</a>

atch?v=OUVmOQXBS68

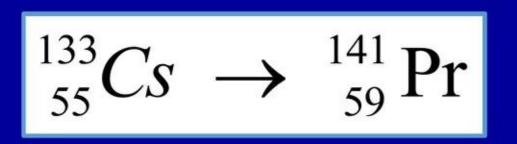
100 μ LENR crater in Pd cathode LENR electrochemical cell



Credit: P. Boss, U.S. Navy

### Condensed matter LENR-active sites size from 2 nm to ~100 $\mu$ LENRs create heterogeneous elemental compositions on $\mu$ length-scales

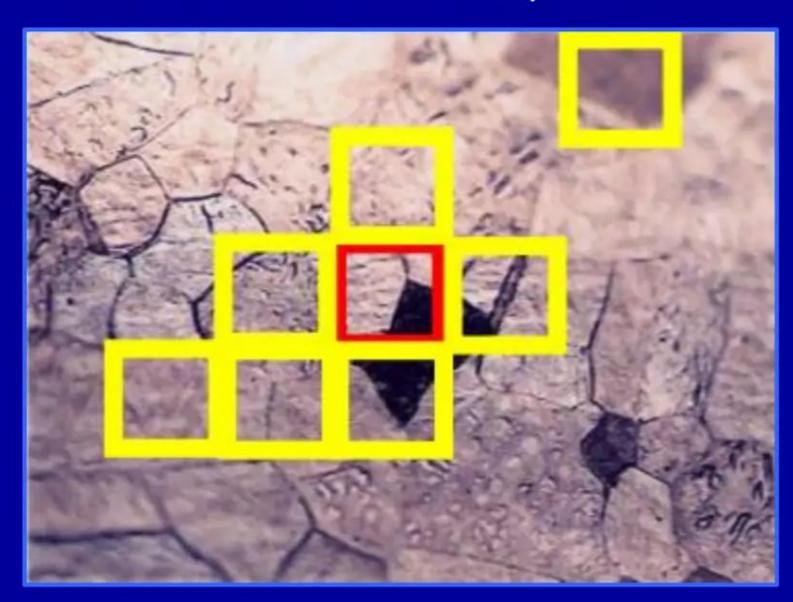
Mitsubishi Heavy Industries has observed this effect while transmuting Cs → Pr



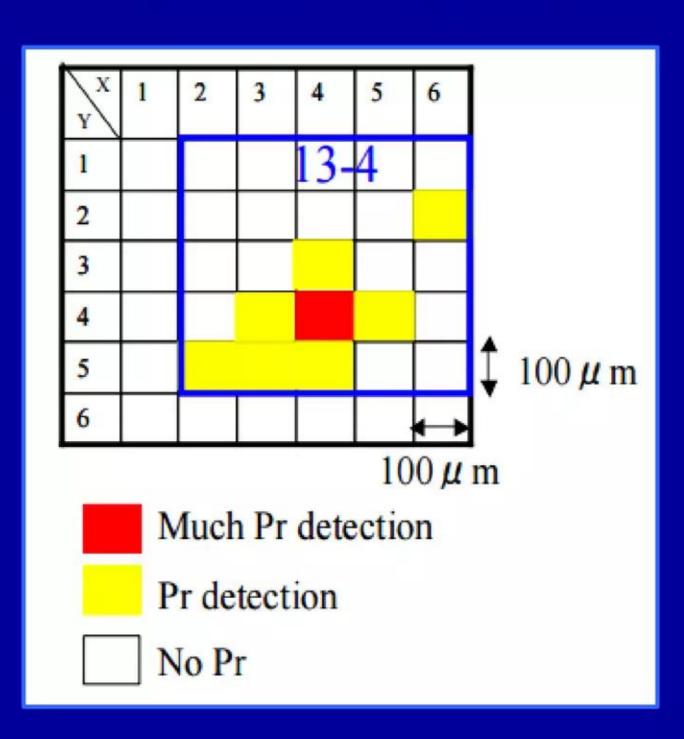




Surface of thin-film Pd/oxide heterostructure after experiment



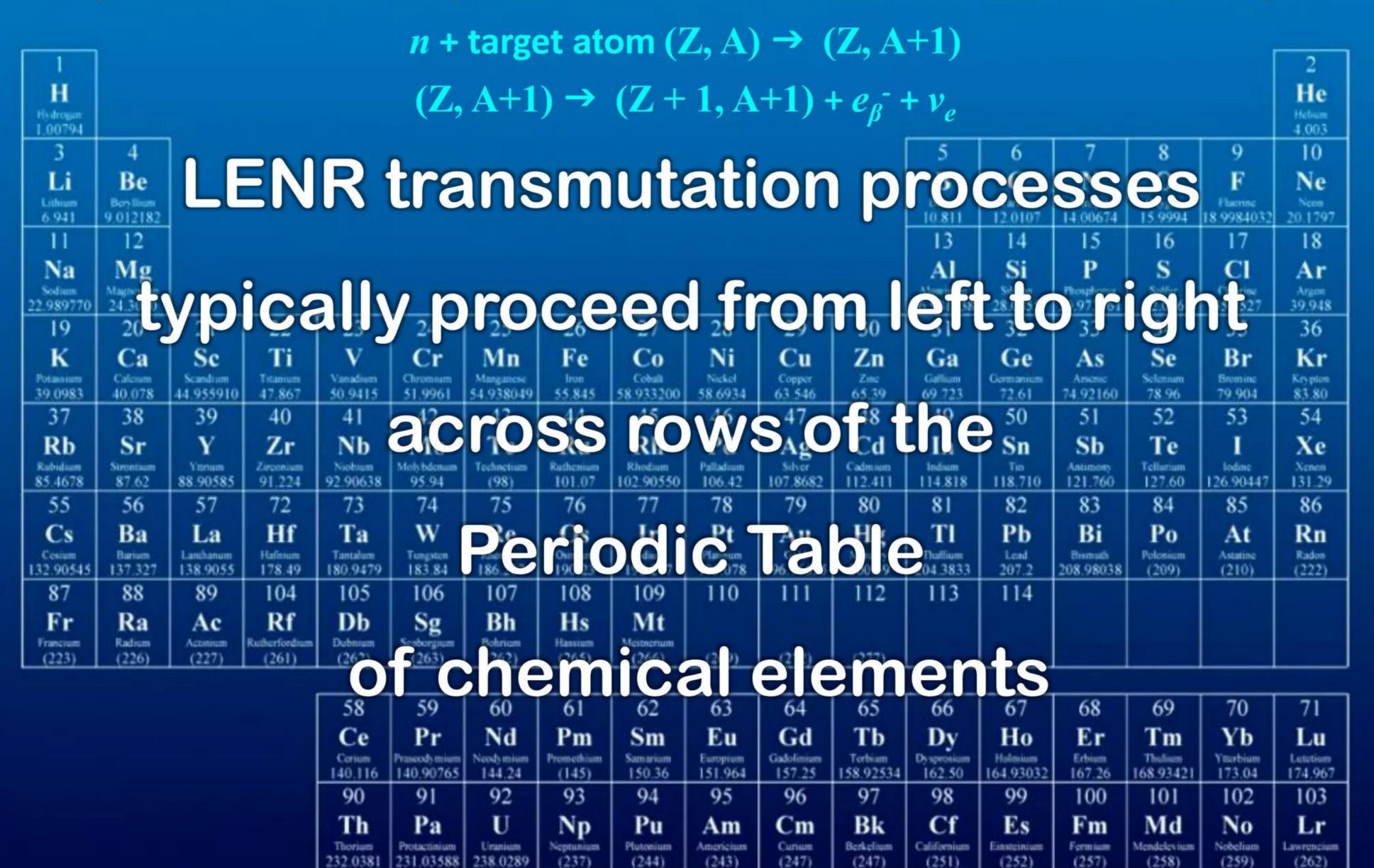
Credit: Mitsubishi Heavy Industries



See Slide #44 in the following Mitsubishi PowerPoint conference presentation (2013):

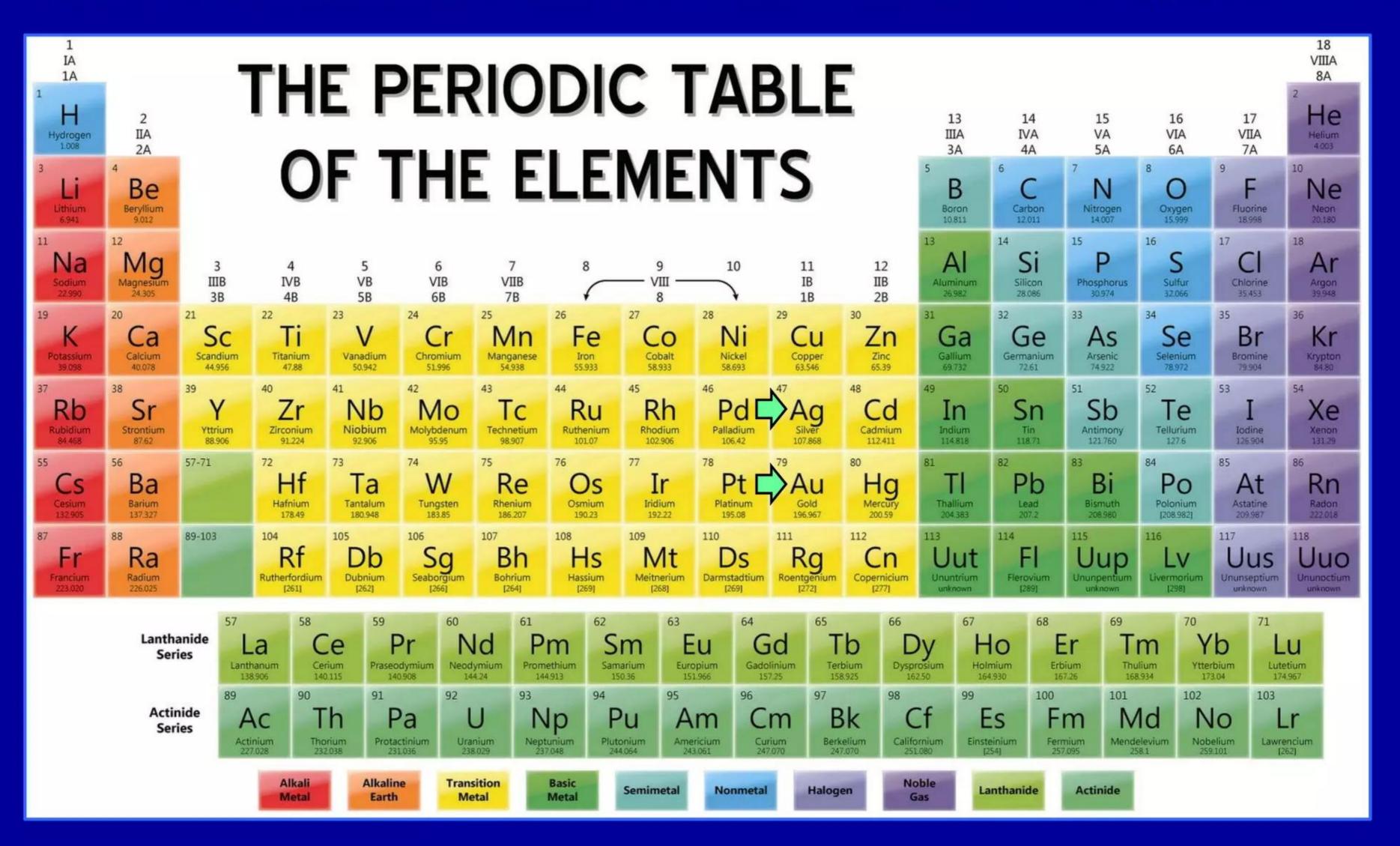
http://tinyurl.com/zcr3azt

### Neutrons are charge-neutral; atoms readily absorb them Capture of neutrons by atoms will transmute them into other isotopes



## LENR transmutations traverse rows from left-to-right Neutron capture by elements transmutes them into different elements

Dash et al.'s (1993) electrochemical cells have transmuted Pd → Ag and Pt → Au



#### Characteristic features of LENRs in electrochemical cells

Feature	Electrochemical LENRs	Experiments: Dash et al. 1993			
Reversibility	Irreversible; transmutes elements	Observed Au and Ag products			
Basic reactions that produce neutrons	$e^{-} + p^{+} \rightarrow 1 \ n^{0} + v_{e}$ $e^{-} + d^{+} \rightarrow 2 \ n^{0} + v_{e}$	Per W-L neutrons produced via these reactions in D <sub>2</sub> O and H <sub>2</sub> O cells			
Proton sources	Aqueous: H <sub>2</sub> O, D <sub>2</sub> O	Both light and heavy water used			
Electrons	Surface plasmons (metal surfaces) or at certain interfaces	Surface plasmons exist on Pd metallic cathode surfaces			
Anode materials	Platinum Pt, Tungsten W, Carbon C	Pt (Platinum)			
Cathode materials	Pd, Ni, Ti, W, other metal hydrides, C	Pd (Palladium)			
Electrolytes	H <sub>2</sub> O, D <sub>2</sub> O (Hydrogen bubbles will form on cathode surfaces)	Both light and heavy water electrolytes were used			
Electrolyte salts	LiOD, LiOH, Li <sub>2</sub> SO <sub>4</sub> , H <sub>2</sub> SO <sub>4</sub> , K <sub>2</sub> CO <sub>3</sub>	H <sub>2</sub> SO <sub>4</sub>			
Operating voltages	Vast range of experimental values: 2 V all the way to > 350 V per cell	Not explicitly disclosed but was probably on order of several Volts			
Local electric field strengths	Briefly > 2.5 x 10 <sup>11</sup> V/m to produce LENR ultralow energy neutrons	Fields at these strengths on micron length-scales are required per W-L			
Cell operating temperature range	Laboratory experiments ≤ 100° C Note: tiny LENR active-site hotspots briefly hit 4,000 - 6,000° C Generates excess heat	Cells were operated well below the boiling point of water; excess heat was measured and roughly correlated with observed transmutations			

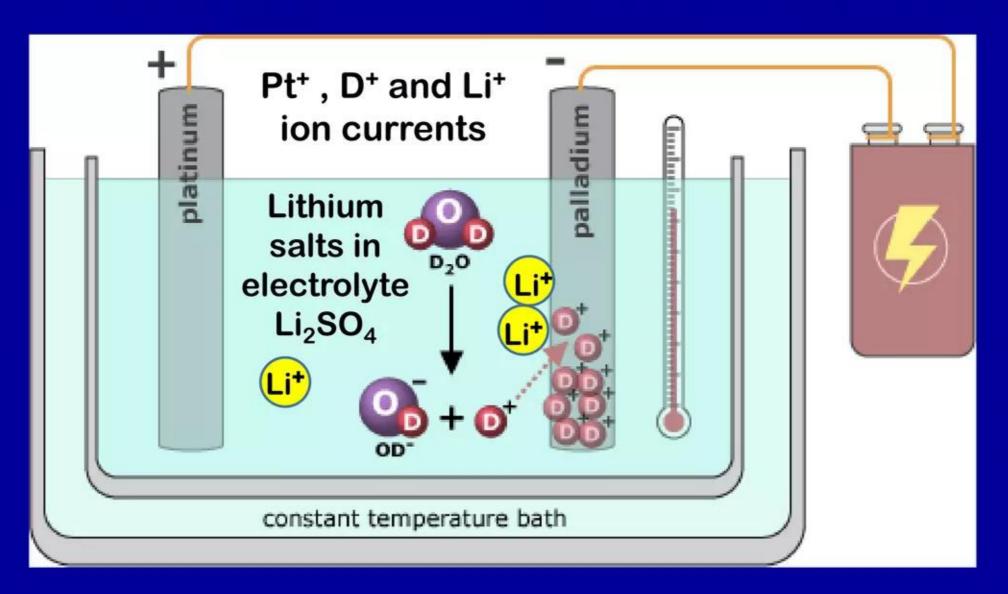
### Conceptual overview of an LENR electrolytic chemical cell Platinum anode, Palladium cathode, Lithium salts, aqueous electrolyte

Pt anode

Pd cathode

DC electrical power source

Analogous to when charging Lithium-ion batteries; LENR electrolytic cells typically have DC input current that provides the energy required to create neutrons via Widom-Larsen theory's 'green' electroweak e + p reaction





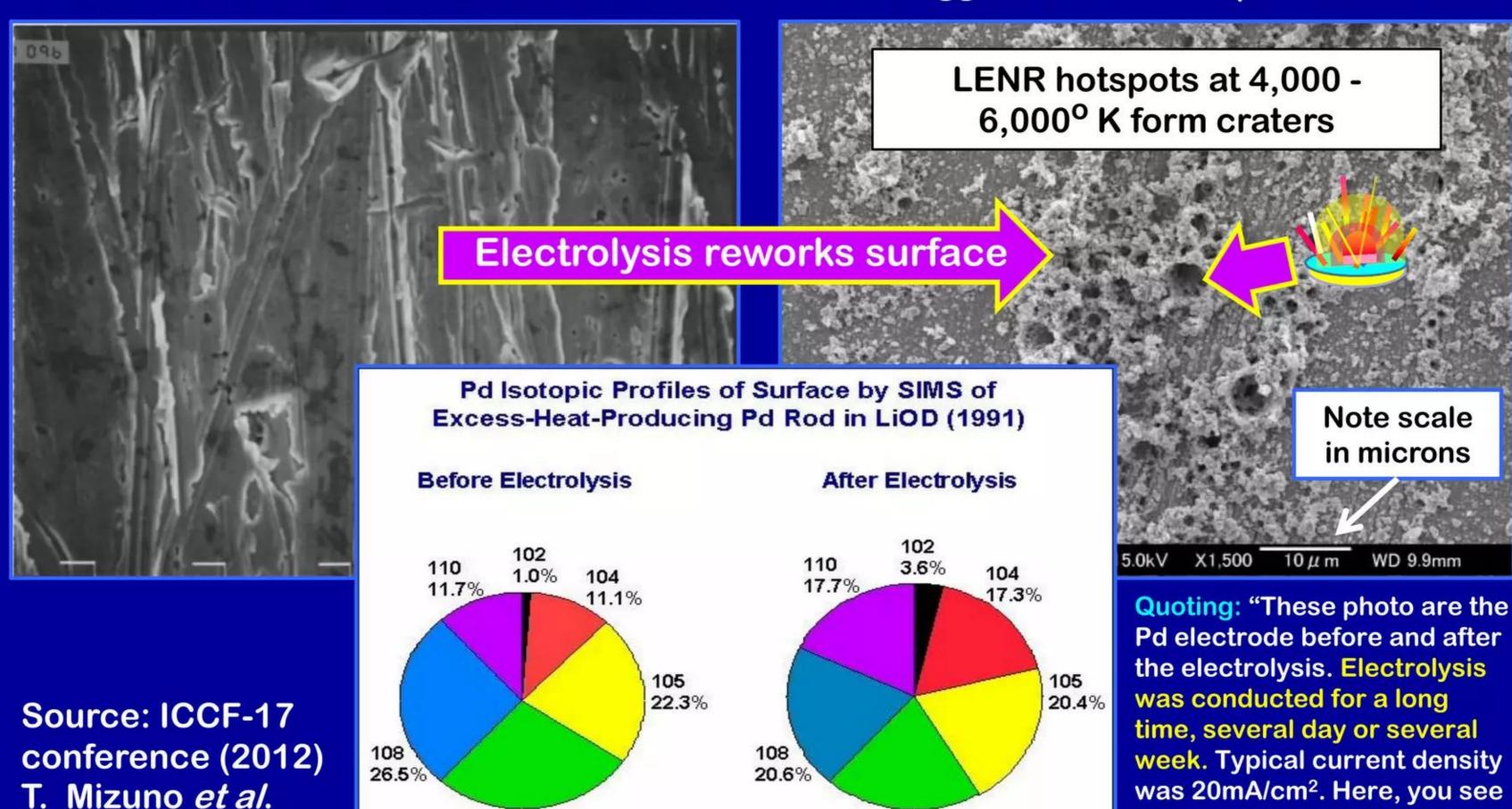
Credit: website
"Understanding
Science" by the
Univ. of California
Museum of
Paleontology
(UCMP)

Above is a conceptual schematic for an aqueous D<sub>2</sub>O heavy-water electrolytic chemical cell used in many LENR experiments; typically use DC power supply as a source of electrical input energy. Using modern mass spectroscopy for post-experiment analyses of cathode materials, LENR researchers have carefully documented and reported the production (via LENR transmutation) of minute amounts of many different elements and isotopically shifted stable isotopes on cathode surfaces in such cells. In some cases, ultralow energy neutron fluxes were >10<sup>12</sup> cm<sup>2</sup>/sec which then created a broad array of stable LENR transmutation products over the course of several weeks of electrolysis

### Palladium isotopic ratios are shifted in electrochemical cells Mizuno et al. (2012) reported major changes in Palladium (Pd) isotopes

**Before:** smooth Palladium cathode surface

After: rugged surface with  $\mu$ m-scale craters



**Graphic: New Energy Times** 

Mizuno, Tadahiko, "Isotopic Changes of Elements Caused by Various

Conditions of Electrolysis," American Chemical Society, March 2009

106

27.3%

106

20.3%

SBK

2010

the metal particle (100 nm or

electrolysis. Some of them are

less than 10 nano-meter ..."

less) on the surface after

### LENRs create changes in mixture of elements on Pd surfaces Zhang & Dash (2007) electrochemically transmuted Palladium into Silver

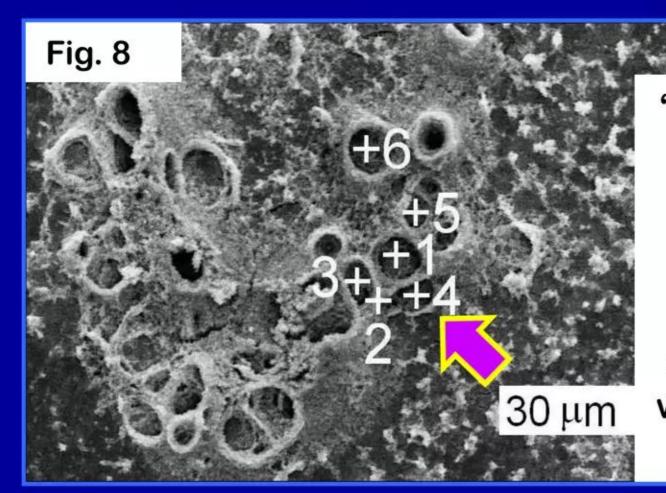
Widom-Larsen theory explains neutron-capture driven production of Ag from Pd

Pd +  $n \rightarrow$  [unstable neutron-rich Pd isotopes]  $\rightarrow$  Ag [two stable Silver isotopes]

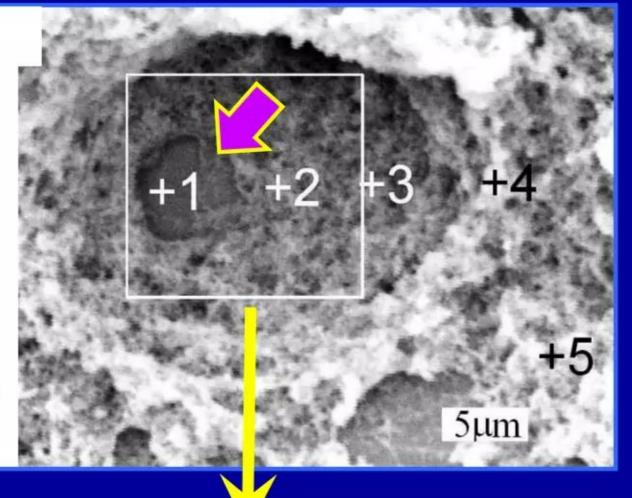
http://www.lenr-canr.org/acrobat/ZhangWSexcessheat.pdf

Pd cathode surface after lengthy electrolysis - Zhang & Dash (2007) - Figs. 8 and 9

Fig. 9



"The most common finding is that Silver occurs in craters, such as those shown in Fig. 8. These craters with rims almost certainly formed during electrolysis. Pt deposition was concentrated on these protruding rims."



#### Zhang & Dash: Table IX. Relative atomic percent concentrations of Silver (Ag) in area and spots shown in Fig. 9

Spot#	wa*	area**	+1	+2	+3	+4	+5
Ag/(Pd+Ag)	1.2 +/- 0.5	5.6 +/- 0.4	6.8 +/- 0.4	5.6 +/- 0.3	6.3 +/- 0.4	3.6 +/- 0.6	1.2 +/- 0.5

\*wa = whole entire area comprising image in Fig. 9

\*\* area = delimited by the white square outlined in Fig. 9

Pd boiling point = 2,970° C

### In 1924 - 25 Nagaoka produced Gold with electric discharges Then considered the "Einstein of Japan" and competitor of Rutherford

Hantaro Nagaoka (1865 - 1950): "Nagaoka was ... educated at Tokyo University. After graduating with a degree in physics in 1887 ... In 1893, Nagaoka traveled to Europe, where he continued his education at the Universities of Berlin, Munich, and Vienna, including courses with James Clerk Maxwell on Saturn's rings and Ludwig Boltzmann and his Kinetic Theory of Gases ... Nagaoka also attended, in 1900, the First International Congress of Physicists in Paris, where he heard Marie Curie lecture on radioactivity, an event that aroused Nagaoka's interest in atomic physics. Nagaoka returned to Japan in 1901 and served as Professor of physics at Tokyo University until 1925. After his retirement from Tokyo University, Nagaoka was appointed a Head Scientist at RIKEN, and also served as the first President of Osaka University, from 1931 to 1934." Wikipedia

"In late 1903, ... Nagaoka ... developed the earliest published quasi-planetary model of the atom ... from 1887 spent his postdoctoral period in [Europe] before obtaining a professorship in Tokyo to become Japan's foremost modern physicist." Compendium of Quantum Physics, D. Greenberger, K. Hentschel, F. Weinert eds., book chapter pp. 22 - 23 Springer Berlin Heidelberg (2009)

Hideki Yukawa (Nobel Prize in Physics, 1949): "I think Professor Nagaoka was all powerful then among scientists ... he had some very deep insight, although he did not work himself [at that point in his career] ... Nagaoka was President of Osaka University when I moved from Kyoto to Osaka. But he was at the same time President of the Academy; he was the greatest boss among all the scientists in Japan. Although he was perhaps over sixty, he was mentally very young. And he was interested in new physics ... "Recorded interview with Prof. John Wheeler (1962)

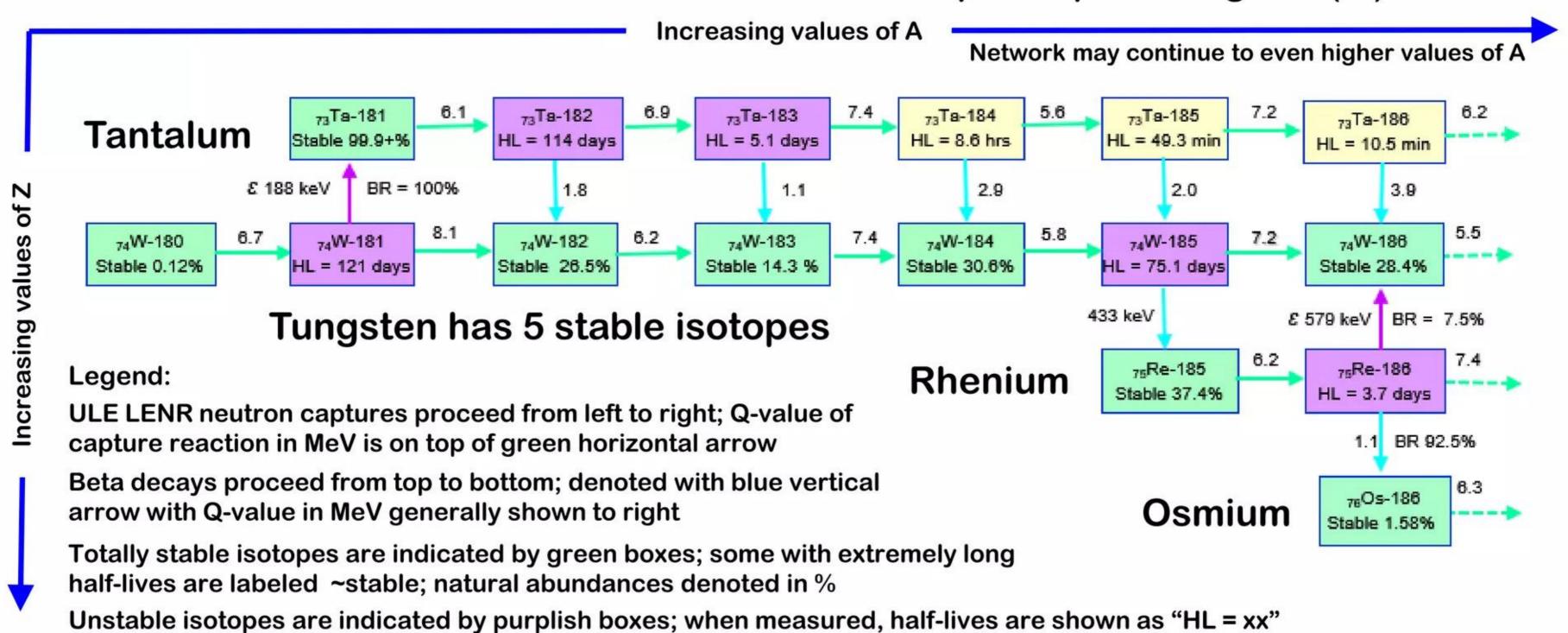
### Electric discharge in transformer oil by Nagaoka et al. (1925) Currents between two Thorium-free Tungsten electrodes produced Gold

Results published in *Nature*: > 200 successful experiments - still not believed

"Mystery of Prof. Hantaro Nagaoka's 1920s Gold experiments in Japan" L. Larsen on SlideShare - December 27, 2013

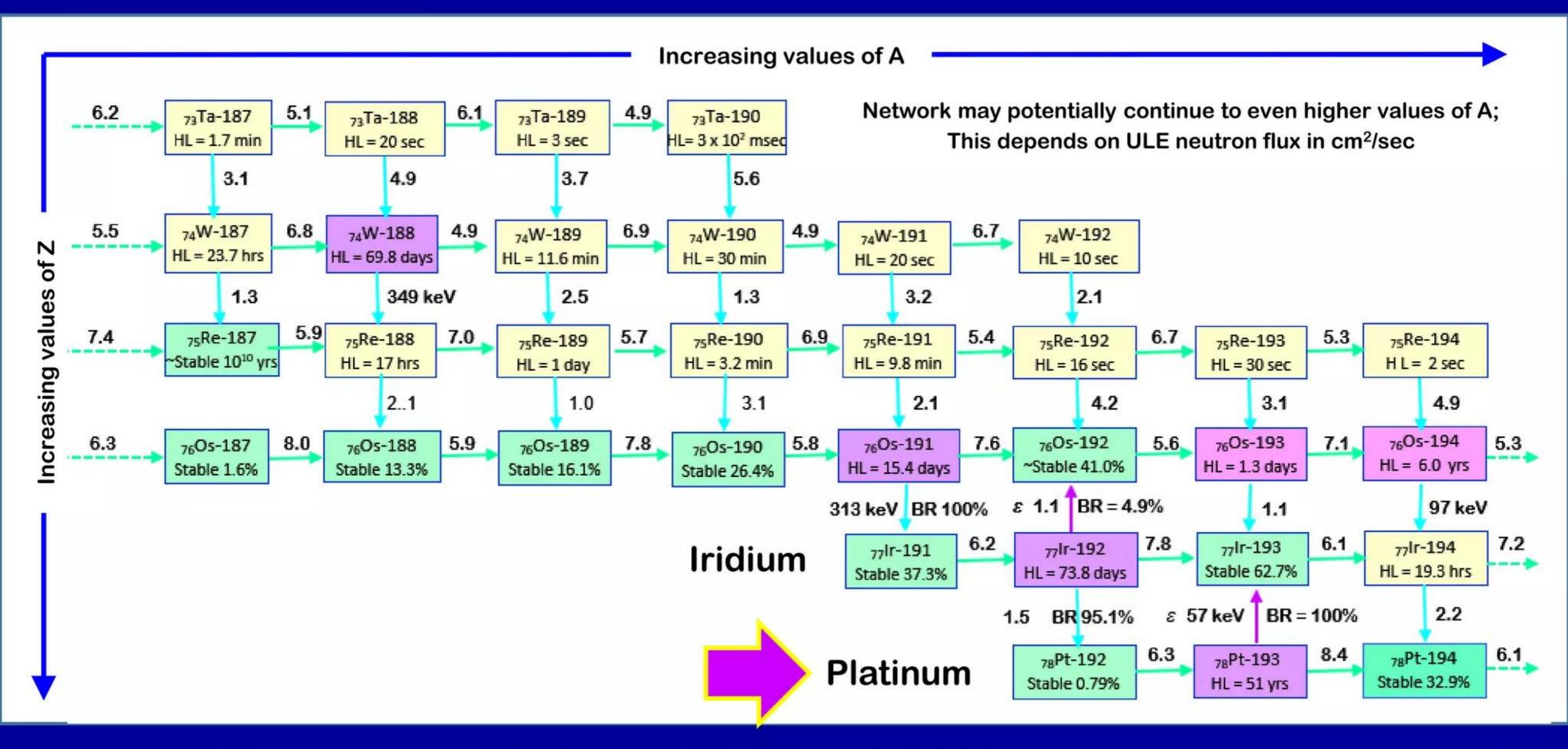
http://www.slideshare.net/lewisglarsen/lattice-energy-llc-mystery-of-nagaokas-1920s-gold-experiments-why-did-work-stop-by-1930-dec-27-2013

#### Start LENR transmutation network with stable isotopes of pure Tungsten (W) metal



### Nagaoka's results are explained by Widom-Larsen theory LENR transmutation network begins with neutron captures on Tungsten

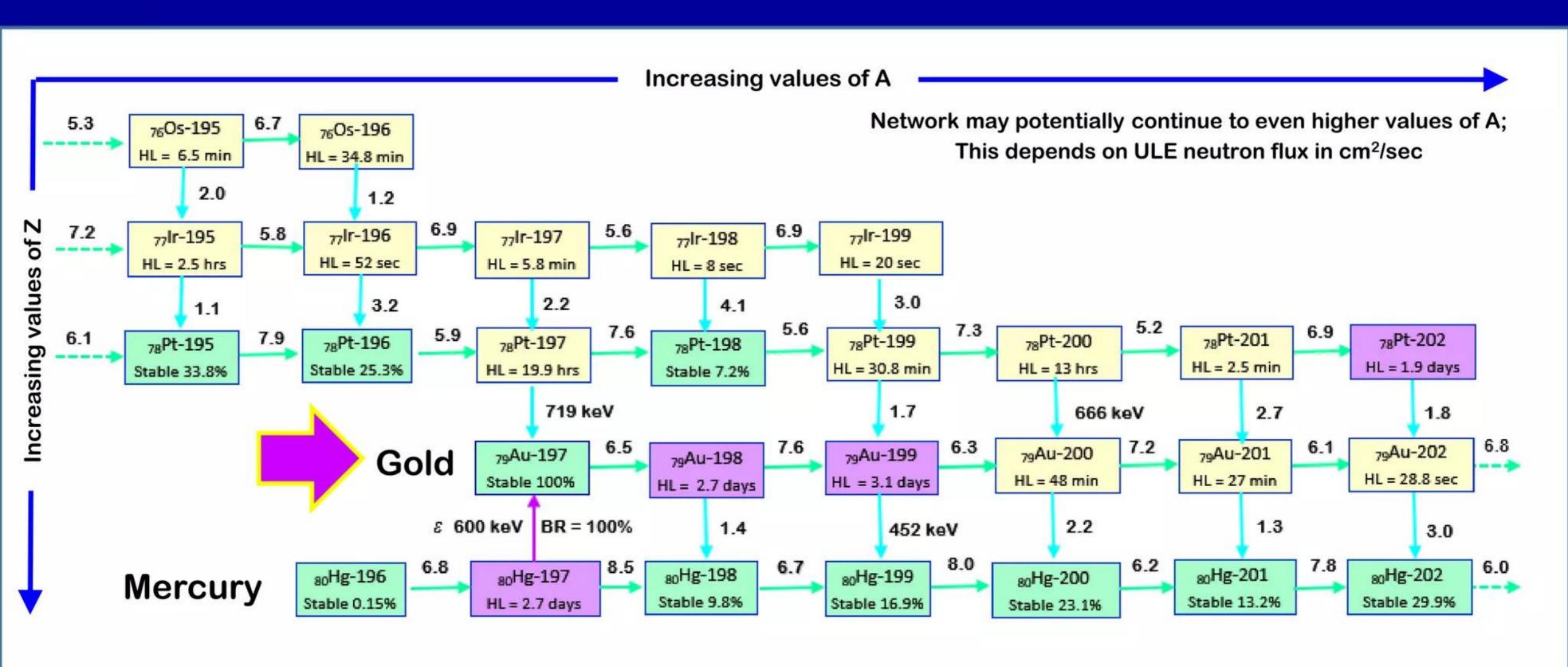
From Sept. 1924 to June 1925, Nagaoka *et al.* at RIKEN in Japan conducted ~200 experiments with high-current electric arc discharges between Tungsten electrodes immersed in liquid hydrocarbon transformer oil in which they detected successful transmutation of Tungsten into macroscopic, visible flecks of Gold and Platinum. In July 1925, *Nature* published a Letter to the Editors where he reported these results



# W → Au LENR pathway was confirmed by Mitsubishi (2012) MHI used different experimental technique than Nagaoka or Dash et al. Deuterium gas permeation has smaller neutron fluxes vs. electrochemical cells

"LENR transmutation networks can produce Gold" L. Larsen on SlideShare - December 7, 2012

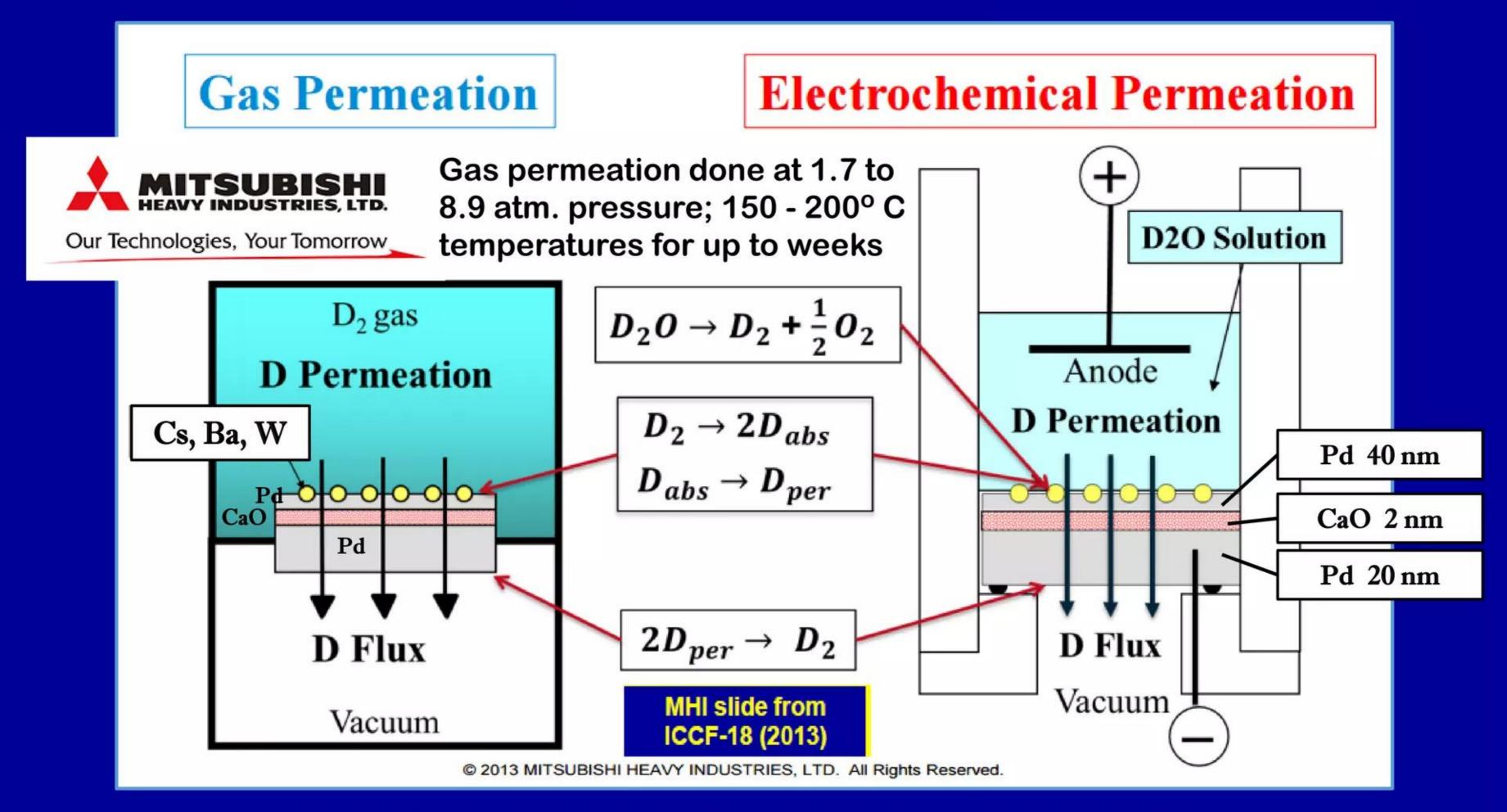
http://www.slideshare.net/lewisglarsen/lattice-energy-llc-lenr-transmutation-networks-can-produce-golddec-7-2012



### MHI transmutation: gas vs. new electrochemical method D<sub>2</sub> gas permeation produces lower neutron fluxes vs. electrochemical

Gas method shows LENRs are triggered with very modest external energy inputs

Electrochemical current density of ~0.15 mA/cm<sup>2</sup> increased product yield to ~1  $\mu$ g/cm<sup>2</sup>/week



Mitsubishi Heavy Industries (MHI) graphic has been adapted by Lattice

## Widom-Larsen theory: Mitsubishi gas permeation method Input energy is provided by D<sub>2</sub> pressure gradient and moderate heating

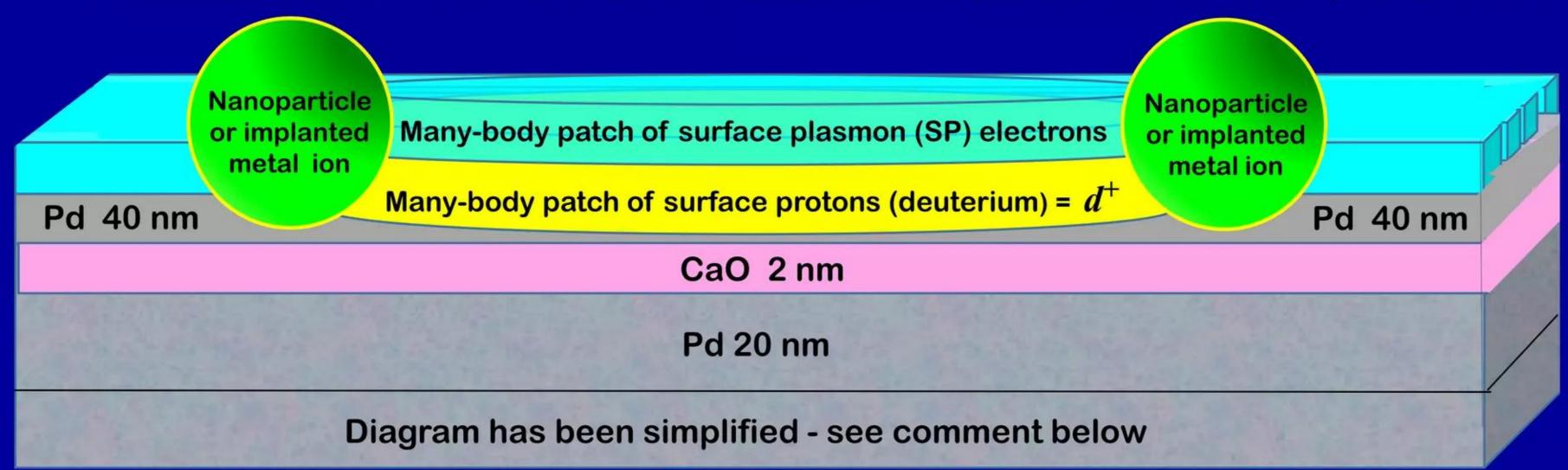
Many-body patches of deuterons and SP electrons form on surface of Palladium

Active sites: modest amounts of external input energy create huge micron-scale local E-fields > 2.5 x 10<sup>11</sup> V/m on Pd surfaces or in between adjacent nanoparticles or near implanted W<sup>+</sup> ions

Electroweak reaction between deuterons and SP electrons produces ultralow energy neutrons

Input energy<sub>E-field</sub> +  $e^-_{sp} \rightarrow e^{-*}_{sp} + d^+ \rightarrow 2 n + v_e$  occurs on Pd substrate surface

LENR-active sites can also form on and around nanoparticles or near implanted ions



Note: SP electrons, nanoparticles, implanted ions, and thin film heterostructure component dimensions are not to scale; surface layer is of Pd (thickness = 40 nm); MHI's entire structure is not shown --- repeated structural units consists of 5 layers of CaO (thickness = 2 nm) interleaved with 4 layers of Pd (thickness = 20 nm); lowermost layer of Pd that is in direct contact with vacuum (thickness = 0.1 mm)

### Nanoparticles can intensify E-fields at MHI's active sites Implanted Tungsten (W) transmuted to Osmium (Os) and Platinum (Pt)

LENR sites begin as many-body patches of electrons (blue) & deuterons (yellow)

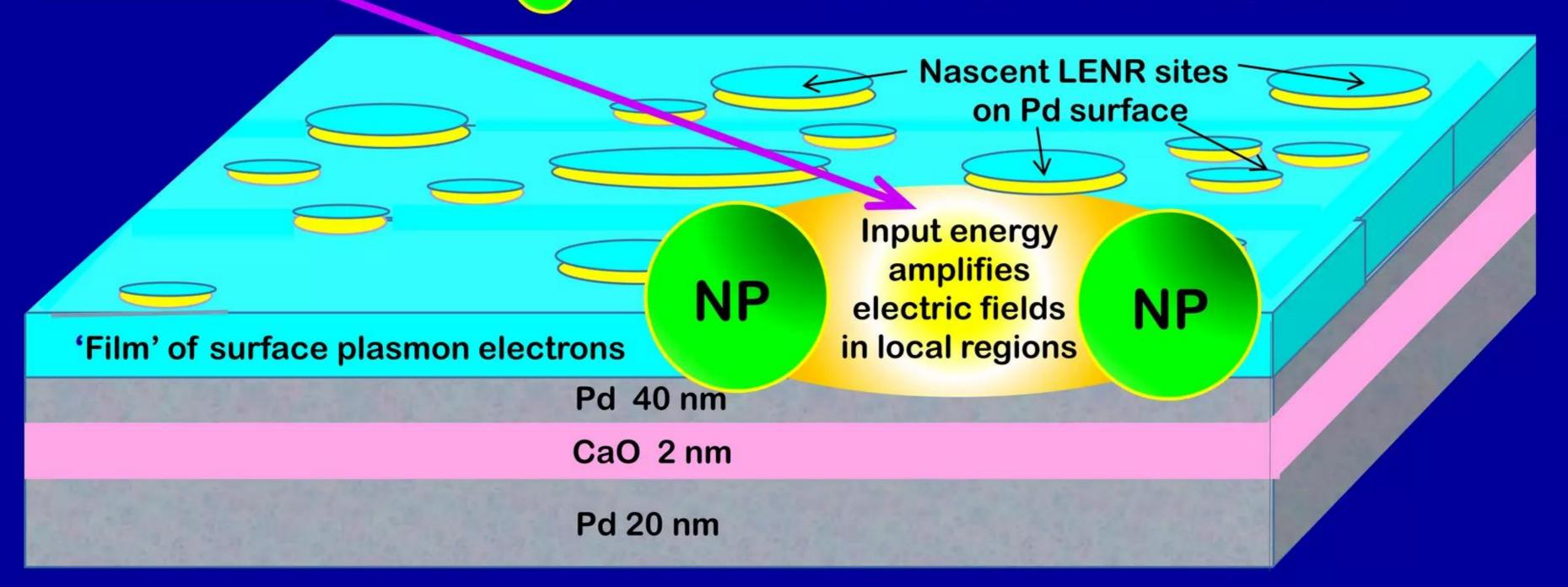
After being created, LENR neutrons captured by Tungsten atoms located near active sites

Intense heating in LENR-active sites often forms  $\mu$ -scale event craters on substrate surfaces

MHI gas method: Tungsten (W) → Osmium (Os) → Platinum (Pt)

 $n + (Z, A) \rightarrow (Z, A+1)$  neutrons are captured by implanted target W atoms  $(Z, A+1) \rightarrow (Z+1, A+1) + e_{\beta}^- + v_e$  beta decay transmutes Pd to Os then Pt

NP) = nanoparticle (NP) or implanted W<sup>+</sup> (Tungsten) ion



Note: dimensions are not to scale; simplified diagram - see comments about thin-film heterostructure on previous slide

#### Widom-Larsen enables commercialization of LENRs Applied nanotechnology and LENRs are mutually joined at the hip Development risks can be reasonable thanks to Widom-Larsen and nanotech Guided by physics of the Widom-Larsen theory, an opportunity to commercialize LENRs as truly green CO<sub>2</sub>-free nuclear energy source has been enabled by a unique juxtaposition of very recent, parallel advances in certain very vibrant areas of nanotechnology (esp. plasmonics), quantum entanglement, new innovations in nanoparticle

Visualization of surface plasmon electric field strength (Volts/meter) - highest fields are dark red

fabrication techniques, as well as an array of

new discoveries in advanced materials science.

#### Release of nuclear binding energy produces usable heat Several different mechanisms produce clean heat in LENR-active sites Widom-Larsen explains what generates calorimetrically measured excess heat

- ✓ Conceptually, LENR neutrons act like catalytic 'matches' that are used to 'light the logs' of target fuel nuclei. A neutron-catalyzed LENR transmutation network operates to release nuclear binding energy that has been stored and locked away in nuclei 'fuel logs' since they were originally produced billions of years ago at many-millions of degrees in fiery nucleosynthetic processes of long-dead stars
- ✓ LENR transmutation devices can produce copious heat that comes mainly from:
  - Direct conversion of MeV-energy gamma photons ( $\gamma$ ) into infrared photons (IR) by heavy electrons; e.g.,  $\gamma$  from neutron captures or  $\beta$  and other decays. IR is then scattered and absorbed by local matter, increasing its temperature (heating)
  - Nuclear decays of unstable neutron-rich isotopes that emit energetic particles (e.g., betas, alphas, protons, etc.); these particles then transfer their kinetic energy by scattering on local matter, which increases its temperature (heating)
- ✓ Neutrino photons from weak interactions do not contribute to any production of excess heat; they will essentially bleed-off a small portion of released nuclear binding energy outward into space; unavoidable neutrino emissions are part of the energetic cost of obtaining energy releases in LENR devices from β decays

## Widom-Larsen theory explains importance of input energy Devices can be simultaneously driven by multiple types of energy inputs

Input energy is required to trigger LENRs: to create non-equilibrium conditions that enable nuclear-strength local E-fields which produce populations of heavymass  $e^{-*}$  electrons that react with many-body surface patches of  $p^+$ ,  $d^+$ , or  $t^+$  to produce neutrons via  $e^{-*} + p^+ \rightarrow 1$  n or  $e^{-*} + d^+ \rightarrow 2$  n,  $e^{-*} + t^+ \rightarrow 3$  n (energy cost = 0.78 MeV/neutron for H; 0.39 for D; 0.26 for T); includes (can combine sources):

- ✓ Electrical currents i.e., an electron 'beam' of one sort or another can serve as a source of input energy for producing neutrons via an e + p electroweak reaction
- ✓ Ion currents passing across a surface or an interface where SP electrons reside (i.e., an ion beam that can be comprised of protons, deuterons, tritons, and/or other types of charged ions); one method used for inputting energy is an ion flux caused by imposing a moderate pressure gradient (Iwamura et al. 2002)
- ✓ Incoherent and coherent electromagnetic (E-M) photon fluxes can be incoherent E-M radiation emitted within resonant electromagnetic cavities; with proper momentum coupling, SP electrons can also be directly energized with coherent laser beams emitting photons at appropriate resonant wavelengths
- ✓ Organized magnetic fields with cylindrical geometries many-body collective magnetic LENR regime with direct acceleration of particles operates at very high electron/proton currents; includes many organized and so-called dusty plasmas; scales-up to stellar flux tubes on stars with dimensions measured in kilometers

### Widom-Larsen theory shows how to increase reaction rates Maximize density of $e^{-*}p^+$ or $e^{-*}d^+$ reactants and amounts of input energy

"Theoretical Standard Model rates of proton to neutron conversions near metallic hydride surfaces" A. Widom and L. Larsen Cornell physics preprint arXiv:nucl-th/0608059v2 12 pages (2007)

http://arxiv.org/pdf/nucl-th/0608059v2.pdf

- Term  $(\beta \beta_0)^2$  in our published rate equation reflects the degree to which heavy-mass (renormalized)  $e^{-*}$  electrons in LENR-active surface sites exceed minimum W-L threshold ratio for electroweak neutron production,  $\beta_0$
- We predict that, all other things being equal, the higher the surface areadensity of  $e^{-*}p^+$  or  $e^{-*}d^+$  reactants and greater the rate and quantity of appropriate forms of nonequilibrium energy inputs, the higher the rate of ULE neutron production in nm- to  $\mu$ m-scale LENR-active sites in properly engineered, nanotech-based, LENR thermal power generation devices
- ✓ LENR transmutation network pathways comprising series of picosecondduration neutron captures interspersed with serial beta-decay cascades can release substantial amounts of nuclear binding energy, much of it in form of usable process heat, over periods ranging from hours to weeks --depends on target fuels, pathways used, and availability of input energy

#### Mitsubishi pushing to increase device transmutation rates Unknowingly followed guidelines detailed in W-L rate theory paper (2007)

"Transmutation reaction induced by Deuterium permeation through nanostructured multi-layer thin film" S. Tsuruga *et al.*, pp. 106 - 110 Mitsubishi Heavy Industries Technical Review 52 No. 4 (December 2015)

https://www.mhi.co.jp/technology/review/pdf/e524/e524106.pdf

Quoting: "4. Transmutation by electrochemical method As described above, we have conducted research with the emphasis placed on obtaining experimental data to explicate the transmutation phenomenon by the gas permeation method. In recent years, we have been conducting research for the purpose of increasing the reaction yield with an eye toward commercialization. From the research results so far, it is assumed that the deuterium density near the reactional film surface is one of the key parameters in the increase of the reaction yield. Based on this assumption, we used the method for increasing the deuterium density near the reactional film surface by electrolysis (electrochemical method) to increase the reaction yield ..."

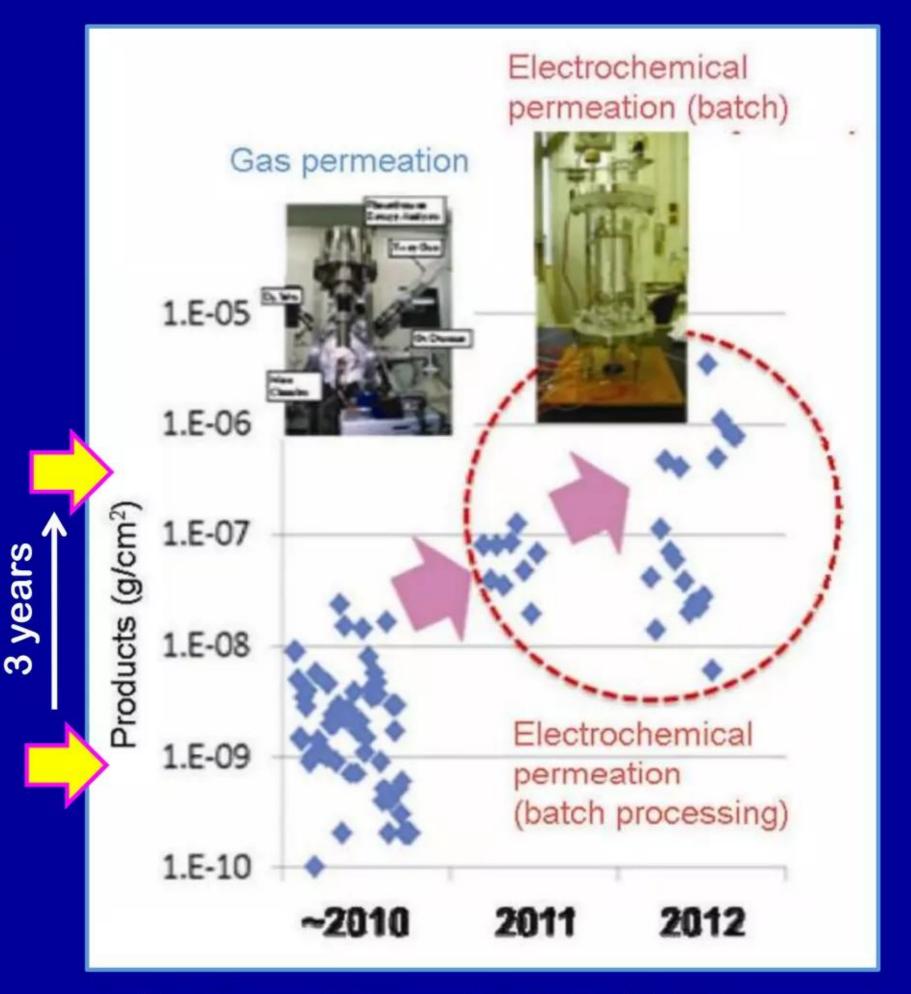
"In the electrochemical method, the increase of the current density during electrolysis enables the increase of the amount of D generated on the reactional film surface. Figure 8 shows the results of the reaction yield (yield per 1 cm² of reactional film and 1 week of reaction time) obtained by ICP-MS plotted relative to the current density during electrolysis. As the current density increases, the reaction yield tends to increase. In the conventional gas permeation method, the reaction yield was approximately  $0.01~\mu g/cm^2$  /week. In this electrochemical method, the reaction yield increased by two digits at most."

#### Mitsubishi pushing to increase device transmutation rates Unknowingly followed guidelines detailed in W-L rate theory paper (2007)

"Transmutation reaction induced by Deuterium permeation through nanostructured multi-layer thin film" reproduced Fig.6
Y. Iwamura *et al.*, *Current Science* 108 No. 4 pp. 628 - 632 (February 2015)

http://www.currentscience.ac.in/Volumes/108/04/0628.pdf

Changing MHI's older permeation method from 2002 that always used gaseous D<sub>2</sub> and a pressure gradient to current-driven electrochemical D<sub>2</sub>O cells clearly increased LENR transmutation product yields by nearly 3 orders of magnitude in just about three years



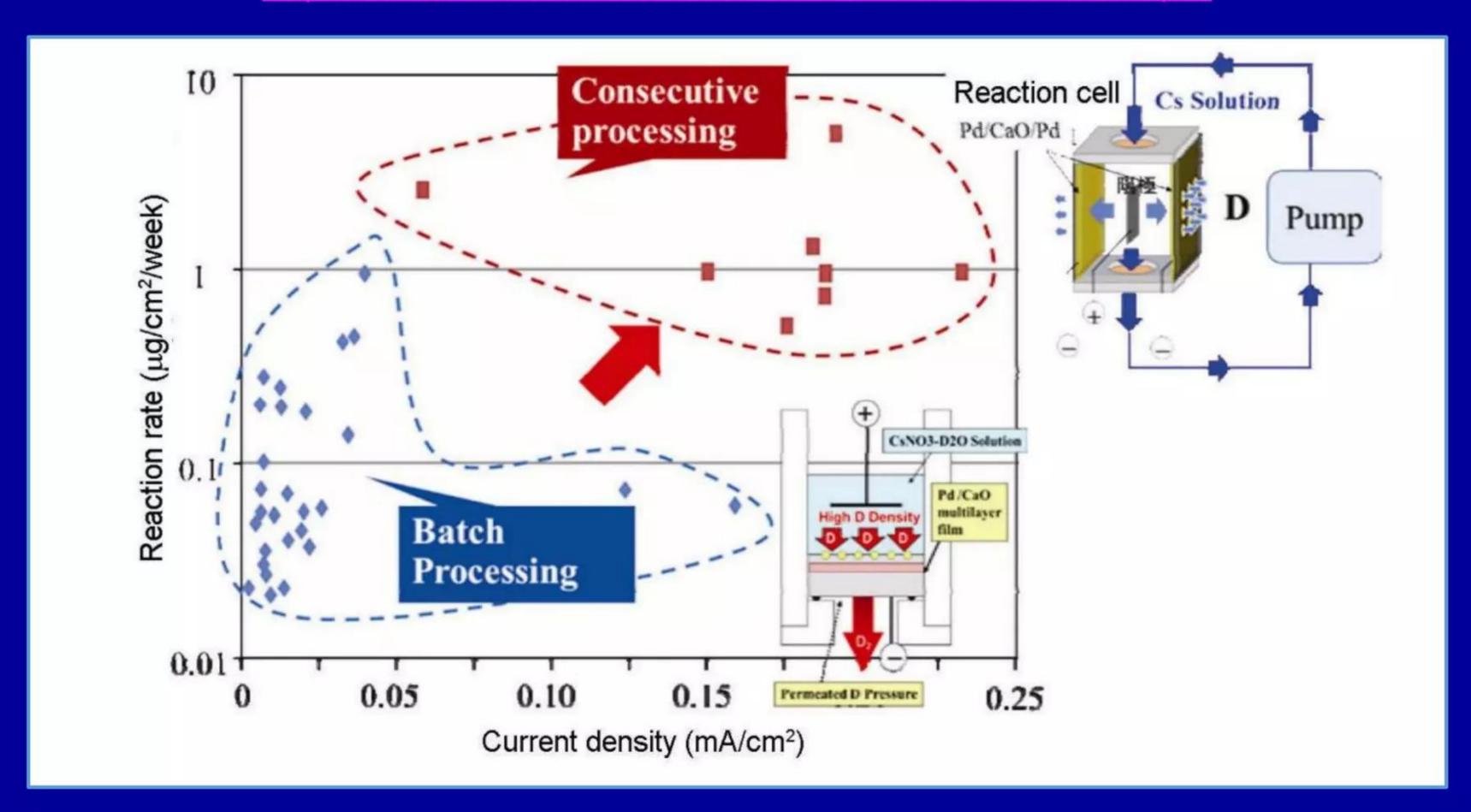
Switching to a very different permeation method increased **LENR** transmutation product yields in MHI reactors because there are much higher densities of  $e^{-*}d^{+}$ pairs on device's **LENR-active working** Palladium surface and the fact that a much larger quantity of input energy (in form of a several Volt DC electrical current) is being injected into experimental system

#### Mitsubishi pushing to increase device transmutation rates Unknowingly followed guidelines detailed in W-L rate theory paper (2007)

"Transmutation reaction induced by Deuterium permeation through nanostructured multi-layer thin film" reproduced Fig.7

Y. Iwamura *et al.*, *Current Science* 108 No. 4 pp. 628 - 632 (February 2015)

http://www.currentscience.ac.in/Volumes/108/04/0628.pdf



#### LENR active sites are analogous to transistors in some ways

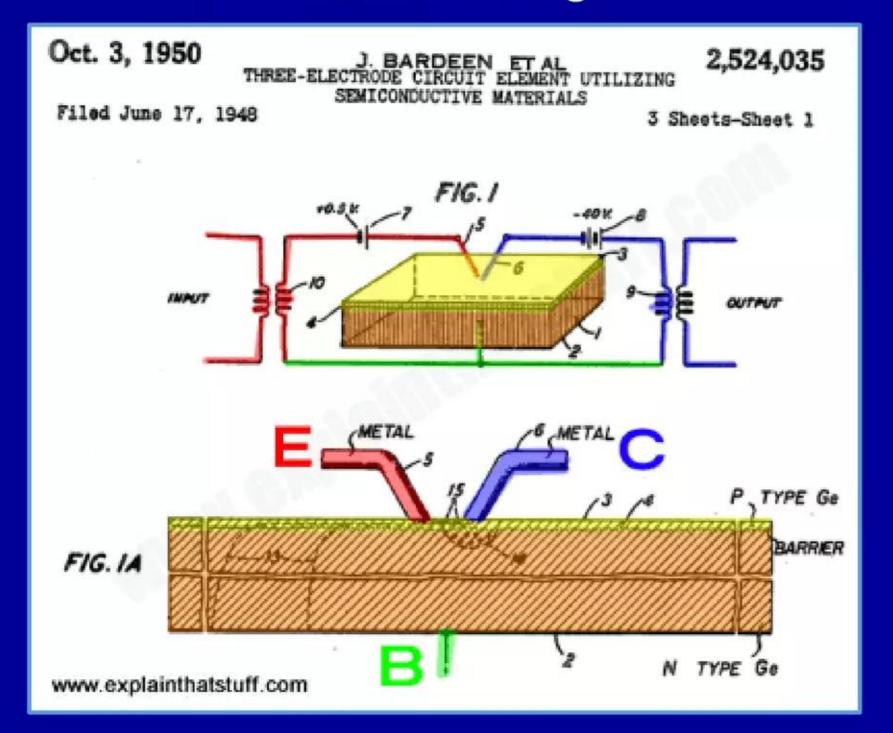
"If you take a bale of hay and tie it to the tail of a mule and then strike a match and set the bale of hay on fire, and if you then compare the energy expended shortly thereafter by the mule with the energy expended by yourself in the striking of the match, you will understand the concept of amplification."

William Shockley's explanation of transistor amplification for his students

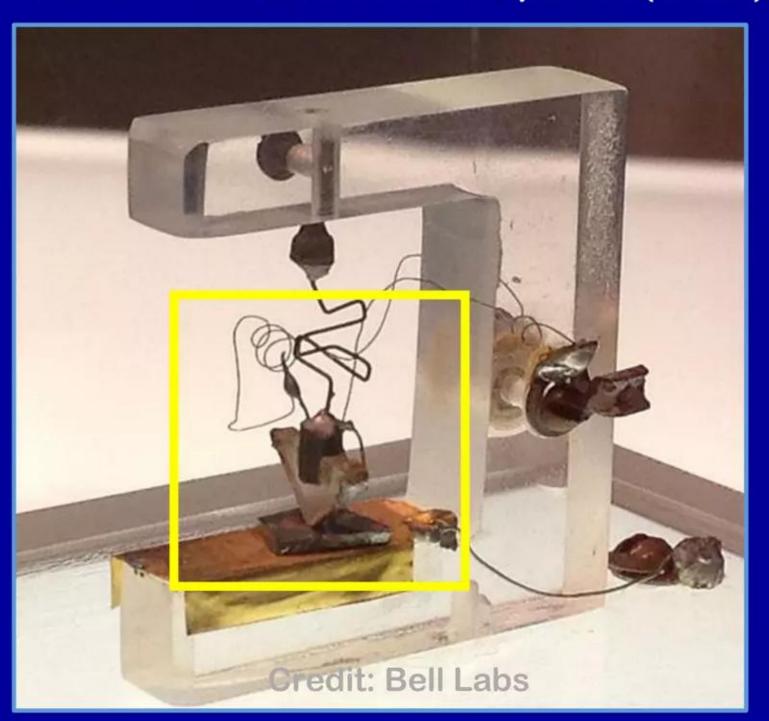
Along with John Bardeen and Walter Brattain, shared 1956 Nobel prize in physics for

first invention of the semiconductor transistor in 1947

#### Patent drawings



First 0.5" transistor in lab photo (1947)



# LENR active sites analogous to transistors in some ways Key functional feature of transistors: ability to amplify Voltage: $V_{out} > V_{in}$ In LENR active sites target fuel atoms are Shockley's mule; neutrons are matches

- ✓ Transistor is key active, fundamental component of modern electronics; replaced vacuum tube technology invented in 1904 that was physically larger, much more power hungry, and more expensive to manufacture
- ✓ First experimental Germanium semiconductor transistor was invented at Bell Labs in 1947; crude handmade device was approximately 0.5" in size and was capable of signal amplification, i.e. small changes in input voltage created large changes in output voltage (V<sub>out</sub> > V<sub>in</sub>). Ratio of V<sub>out</sub> divided by V<sub>in</sub> is called amplifying gain; other major use of semiconductor transistors is to function as electrically controlled switches
- Like transistors, LENR devices will function as a type of amplifier --- only instead of amplifying modest voltage of an electrical signal, LENRs amplify input energy. Electronic or chemical energy on scales of electron Volts (eVs) is directed and concentrated to create neutrons in a radiation-free electroweak e + p or e + d reaction. Those neutrons are captured by target fuel atoms in an LENR device which releases nuclear binding energy on a scale of Mega-electron Volts (MeVs  $10^6$  eVs). With all LENRs, maximum theoretical device gain of Energy<sub>output</sub> / Energy<sub>input</sub> depends on target fuel that captures neutrons. Energetic gain with Deuterium and Lithium is 34x but Hydrogen with Nickel averages ~10x, depending upon Ni isotopic mix

### Comparison: attributes of LENR active sites vs. transistors

Attribute	LENR active sites	Transistors
Key functional use	Input energy amplification	Signal amplification and switching
Operant forces in applicable physics	Electromagnetic, electroweak, and strong nuclear force are all important	Strictly the electromagnetic force; solid-state condensed matter
Importance	Triggers release of 'green' nuclear energy under moderate conditions	Key active component in modern electronic devices like microchips
Proton sources	Hydrogen or Deuterium	Not applicable
Electrons	Surface plasmons (metallic surfaces) and at certain metal-oxide interfaces; π: aromatic rings, graphene, fullerene	Conduction electrons - new future types of transistors may use surface plasmons instead of bulk electrons
Target fuel elements	Lithium, Carbon, Nickel, etc almost any isotope that will capture neutrons	Not applicable
Operating voltages	Vast range of experimental values: 2 V up to many thousands of Volts	Generally under several Volts except for high-power switching devices
Size	Ranges from 2 nm up to perhaps ~100 microns at the most	Current commercially available CMOS devices are at roughly 65 nm
Reusability	Individual sites will only be used once	In theory: enormous number of cycles
Manufacturing technologies, cost, and older preceding technologies that are or were threatened	By borrowing from existing nanotech fabrication techniques, they should be manufacturable in very high volumes at low cost; could compete directly against chemical power technologies	Experience curve effect and high unit volumes have enabled enormous decreases in size and device cost since 1950s. Almost totally replaced preceding vacuum tube technology

# Over 50 years density increased by ~10<sup>7</sup>; size shrank by ~10<sup>4</sup> Experience curve effect dramatically reduced size and cost of transistors

Figure 3: "The evolution of transistor gate length (minimum feature size) and the density of transistors in microprocessors over time"

Quoting: "Between 1970 and 2011, the gate length of MOSFETs shrank from 10  $\mu$ m to 28 nm (yellow circles; y axis, right), and the number of transistors per square millimetre increased from 200 to over 1 million ..."

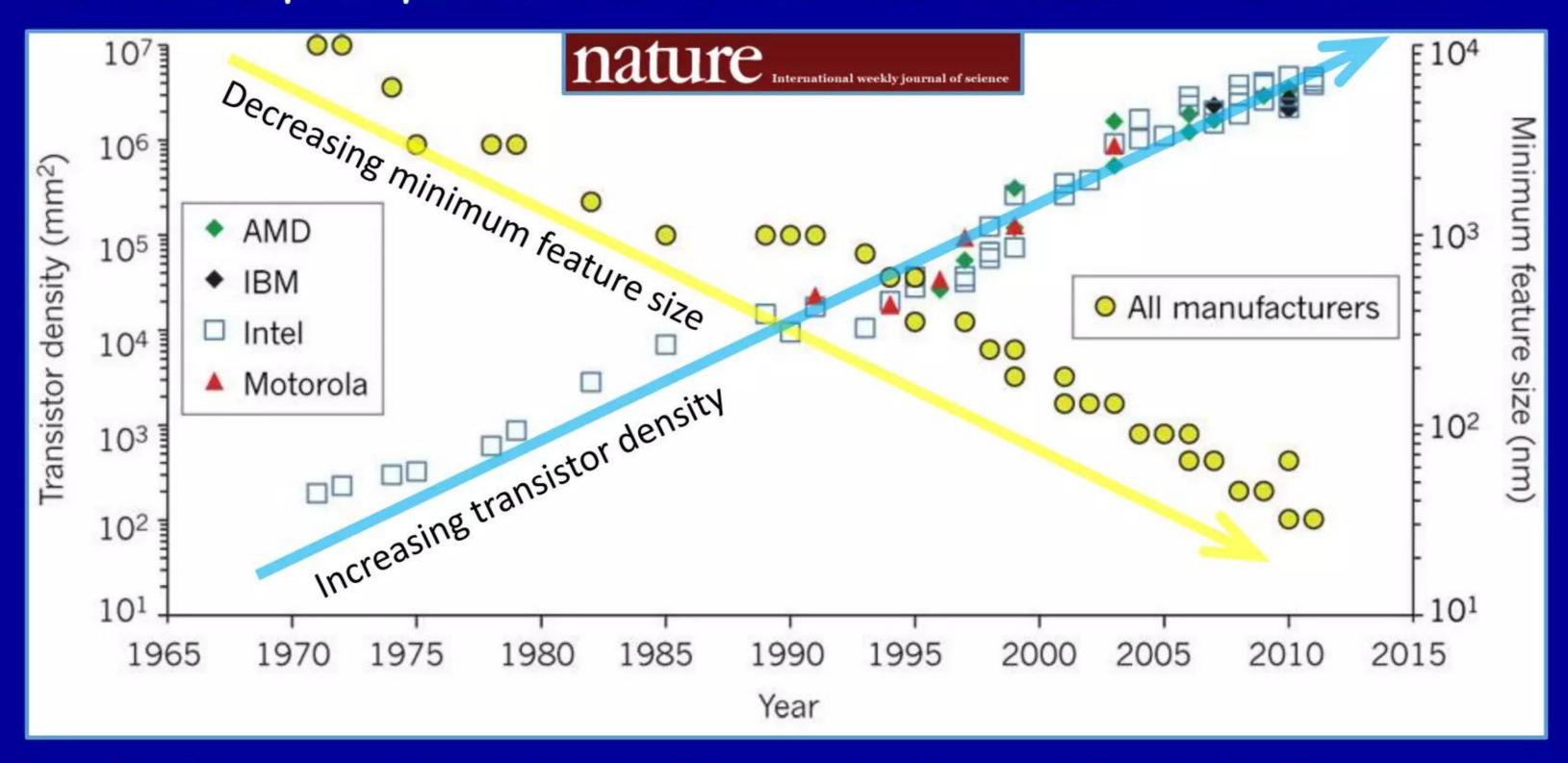


Fig. 3 in source: "Multigate transistors as the future of classical metal-oxide-semiconductor field-effect transistors" I. Ferain *et al. Nature* 479 pp. 310 - 336 (2011)

### LENR active site physics deeply connected to plasmonics Transistors using surface plasmons being developed; U.S. Navy patent

LENR active sites have huge local electric fields; also resemble SERS hot spots

US #8,111,443 B1 "PLASMONIC TRANSISTOR" Inventors: Stephen D. Russell and Joanna N. Ptasinski Issued Feb. 7, 2012 Assignee: U.S. Navy

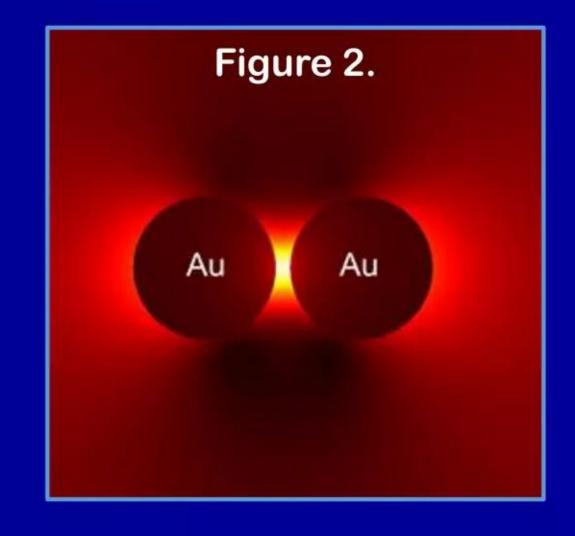
https://docs.google.com/viewer?url=patentimages.storage.googleapis.com/pdfs/US8111443.pdf



See article: "Hot spots"

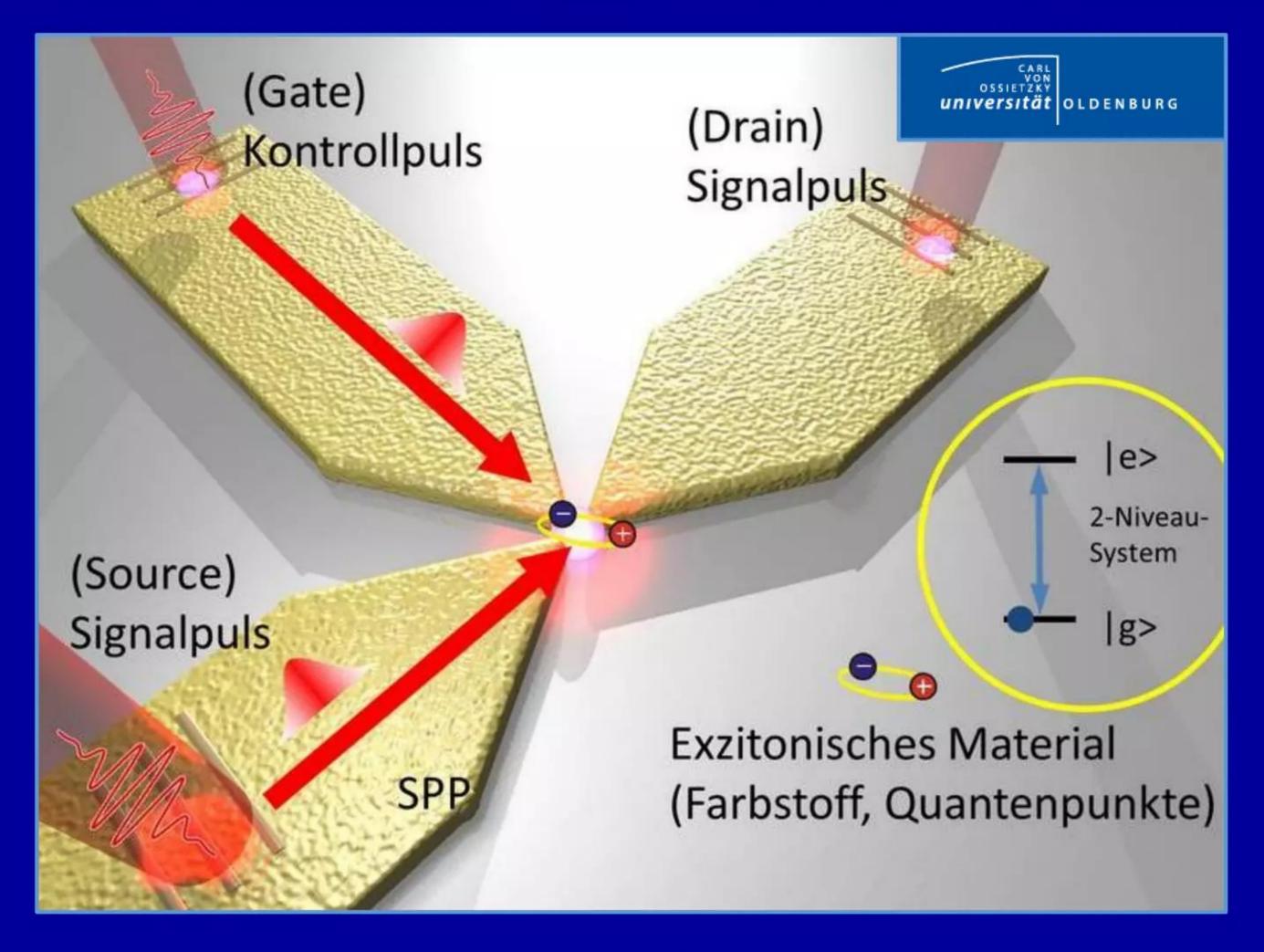
http://www.silmeco.com/knowledge-base/hot-spots/

Figure 2. "The theoretical map is showing the electric field distribution around gold nanoparticle dimer. Particle diameter is 20 nm, interparticle separation is 2 nm, and the incident field is polarized in the x-direction. The wavelength of the incoming light is 534 nm."



# LENR active site physics deeply connected to plasmonics Surface plasmons on metal transport laser energy to LENR active sites

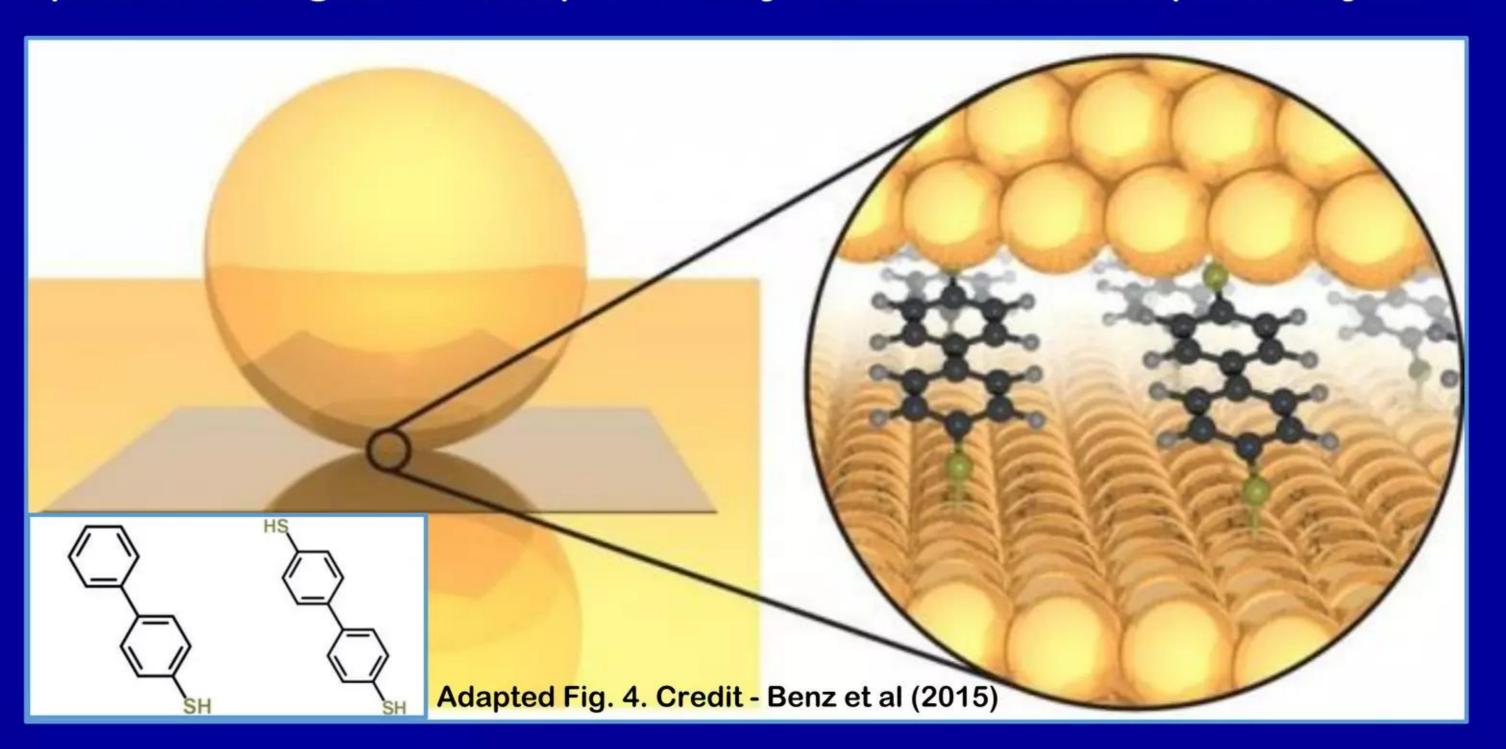
Univ. of Oldenburg: ultrafast nano-optics group developing all-photonic transistor



https://www.uni-oldenburg.de/en/physics/research/pictures-and-videos/

#### Future transistor type: hybrid organo-plasmonic devices? HOPD goal: duplicate functionality of today's semiconductor transistors LENRs can be triggered on Carbon aromatic rings; like HOPD but higher E-fields

Figure 4. "Conductive and nonconductive self-assembled monolayers in plasmonic junctions. (a) Schematic of nanoparticle on mirror geometry: a gold nanoparticle is placed on a gold film, separated by a thin molecular spacer layer."



"Nanooptics of molecular-shunted plasmonic nanojunctions" F. Benz et al. *Nano Letters* 15 pp. 669 - 674 (2015)

http://pubs.acs.org/doi/ipdf/10.1021/nl5041786

## Mimic semiconductor industry to achieve market dominance Maximize total unit volumes and ride cost curve to attack target markets Some aspects of future transistor and LENR technology will converge & overlap

- ✓ LENR systems could exploit experience curve effect to reduce manufacturing costs; same as successful market penetration strategies used by electronics manufacturers; e.g., microprocessors, memory chips, PCs, and smartphones
- ✓ As product manufacturing experience accumulates and internal build costs are progressively reduced, leverage longer operating life and huge energy density advantages of LENRs (thousands of times larger than any chemical technology)
- ✓ Price LENR-based systems to drastically undercut price/performance features provided by competing electrochemical battery energy storage products and combustion-based power generation systems. Strategy can be used to attack portable, stationary, mobile, and central station power generation markets
- ✓ Small-scale LENR systems might seem to be light years away from being able to compete with huge 500 1,500 MW coal-fired and Uranium-fission power plant behemoths. However, please recall history of personal computers versus large mainframes. When PCs were first introduced 41 years ago, mainframe computer manufacturers regarded them as just toys, information processing jokes of no consequence. Less than 10 years later, mainframe companies weren't laughing any more. Today, except for just a handful of hardy survivors like IBM, mainframe and minicomputer dinosaurs have disappeared, replaced by microprocessor arrays

# Widom-Larsen theory enables LENR device engineering Microscopic reproducibility of active sites is key to commercialization

- ✓ In successfully fabricated primitive laboratory devices typical of today, LENRs can presently reach temperatures of 4,000 6,000° K in relatively small numbers of microscopic LENR-active sites located on device surfaces. Evidence for the existence of such extremely hot localized sites is provided in post-experiment SEM images of working surfaces wherein distinctive crater-like structures are visible; these features are produced by rapid heat releases in LENR-active sites that briefly create local high temperature flash-boiling of metals like Palladium
- ✓ At present stage of LENR technology (TRL-2), trying to fabricate cm-scale and larger devices that can reliably and controllably produce macroscopically large fluxes of excess heat "boiling a cup of tea" is putting the cart before the horse
- ✓ Unlike its competitors, Lattice plans to use its unique proprietary knowledge of LENR devices and key operating parameters (e.g., achieving and maintaining very high local surface electric fields) to first get key LENR effects --- such as excess heat, transmutations --- working well microscopically. That is, to be able to reproducibly create purpose-designed nanoparticulate structures with their dimensions ranging from nanometers to microns that are fabricated using certain existing, off-the-shelf nanotechnology techniques/methods and then emplaced, along with suitable target fuel nuclei (e.g., Lithium) in close proximity, at what will become LENR-active sites situated on the surfaces of appropriate substrates

#### Lattice's engineering plan has three key phases:

- (1) Reproducible fabrication of well-performing LENR-active sites
- (2) Scale-up heat output by increasing # of active sites per unit area/volume
- (3) Select and integrate energy conversion subsystems suitable for specific applications
  - ✓ Once microscopic reproducibility of active sites is achieved, output of LENR heat sources could be readily scaled-up, either by (1) fabricating larger area-densities of affixed nanostructures that facilitate formation of LENR-active hot spot sites on device surfaces, or by (2) injecting larger quantities of specially designed target fuel host nanoparticles into volumetrically larger reaction chambers containing turbulent dusty plasmas, with or without spatially organized magnetic fields present
  - ✓ Variety of off-the-shelf energy conversion subsystems could potentially be integrated with commercial versions of LENR-based heat sources. These include: thermophotovoltaic; thermoelectric; steam engines; Rankine cycle steam turbines; Brayton cycle gas turbines, simple boilers, etc. Other more speculative possibilities involve new types of direct energy conversion technologies that are still in very early stages of development
  - ✓ Lattice's nanocentric approach to R&D is unique by being interdisciplinary and directly guided by various proprietary insights enabled by Widom-Larsen theory of LENRs and related relevant knowledge borrowed from advanced materials science, plasmonics, nanotechnology, and chemistry

#### Widom-Larsen theory of ultralow energy neutron reactions Three key publications that begin in March of 2006 referenced below

Many-body collective effects enable radiation-free green nuclear power

"Ultra low momentum neutron catalyzed nuclear reactions on metallic hydride surfaces"

A. Widom and L. Larsen

European Physical Journal C - Particles and Fields 46 pp. 107 - 112 (2006)

http://www.slideshare.net/lewisglarsen/widom-and-larsen-ulm-neutron-catalyzed-lenrs-on-metallic-hydride-surfacesepjc-march-2006

"Nuclear abundances in metallic hydride electrodes of electrolytic chemical cells"

A. Widom and L. Larsen

Cornell physics preprint arXiv:cond-mat/0602472v1 (2006)

http://arxiv.org/PS\_cache/cond-mat/pdf/0602/0602472v1.pdf

"A primer for electro-weak induced low energy nuclear reactions" Y. Srivastava, A. Widom, and L. Larsen *Pramana - Journal of Physics* 75 pp. 617 - 637 (2010)

http://www.slideshare.net/lewisglarsen/srivastava-widom-and-larsenprimer-for-electroweak-induced-low-energy-nuclear-reactionspramana-oct-2010

#### Commercializing a next-generation source of green CO<sub>2</sub>-free nuclear energy

#### **Working with Lattice**

#### Partnering on commercialization and consulting on certain subjects

1-312-861-0115 lewisglarsen@gmail.com

L. Larsen c.v.: http://www.slideshare.net/lewisglarsen/lewis-g-larsen-cv-june-2013

- ✓ Lattice welcomes inquiries from large, established organizations that have an interest in discussing the possibility of becoming Lattice's strategic capital and/or technology development partner
- ✓ Lewis Larsen also independently engages in fee-based consulting. This separate work covers subjects such as: micron-scale, many-body collective quantum effects in condensed matter; Lithium-ion battery safety engineering issues including minimizing risks for occurrence of thermal runaways; and development of ultra-high-temperature superconductors. Additional areas of expertise include: long-term strategic implications of LENRs for high capex long term investments in power generation technology; energy storage technologies; and LENR impact on petroleum-related assets. Will consult on these subjects as long as it does not involve disclosing Lattice proprietary engineering details relating to developing LENR power generation systems

## Paradigm shifts are " ... a new way of seeing things"

"There is a ... reason the old [dominant conceptual paradigm] ... persists beyond its time, an economic one. Even if a novel principle is developed and does perform better than the old, adopting it may mean changing surrounding [economic, academic, and governmental] structures and organizations. This is expensive and for that reason may not happen ... another reason is psychological. The old principle lives on because practitioners are not comfortable with the vision – and promise – of the new. Origination is not just a new way of doing things, but a new way of seeing things ... And the new threatens ... to make the old expertise obsolete. Often in fact, some version of the new principle [paradigm] has been already touted or already exists and has been dismissed by standard practitioners, not necessarily because of a lack of imagination. But because it creates a cognitive dissonance, an emotional mismatch, between the potential of the new and the security [and serenity] of the old."

SPASER (surface plasmon amplification by stimulated emission of radiation) device's local electric fields (2009)

W. Brian Arthur

"The Nature of Technology
What it is and how it evolves"
pp. 139 Free Press (2009)

http://opfocus.org/content/v7/ s5/opfocus v7 s5.pdf