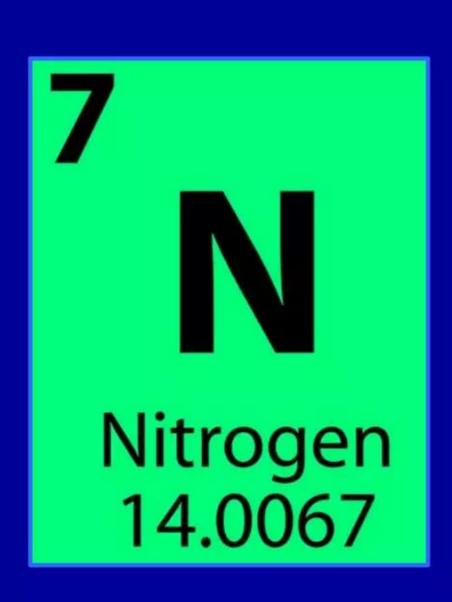
## Lattice Energy LLC

Commercializing a next-generation source of green nuclear energy

## Beyond the Haber-Bosch process:

Fixing Nitrogen at ambient temperatures and pressures

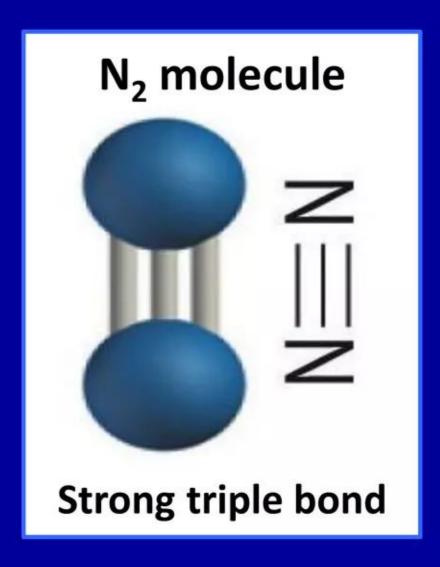
Create and modulate very high electric fields in catalytically active sites



Lewis G. Larsen
President and CEO
Lattice Energy LLC
April 30, 2015

Contact: 1-312-861-0115 lewisglarsen@gmail.com

http://www.stideshare.net/lewisglarsen/presentations



"Nitrogenase" by Jjsjjsjjs -Own work. Licensed under CC BY-SA 3.0 via Wikimedia Commons

### Summary

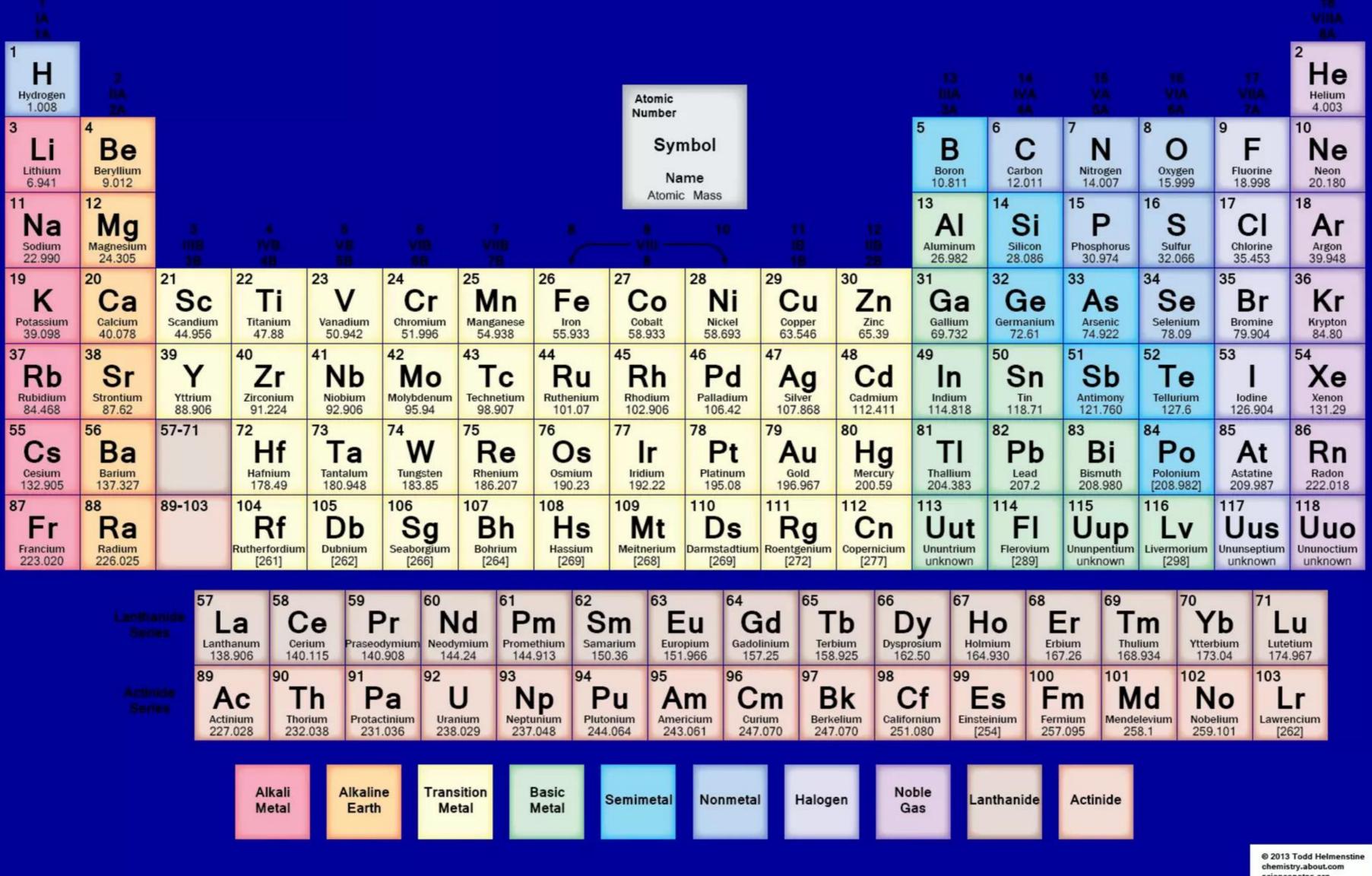
### Opportunity: distributed small-scale production of low cost ammonia

- ✓ High-temp, high-pressure Haber-Bosch process has dominated commercial production of anhydrous ammonia for over 100 years; its cost is closely tied to economics of available supplies of input natural gas that are slowly dwindling
- ✓ Haber-Bosch is arguably single most important industrial chemical process on Earth; 40% of world's people are alive today thanks to reasonable cost and wide availability of ammonia-based fertilizers. Plants have become quite large
- Recent advances in advanced materials science, nanotechnology, chemistry, enzymology, and many-body collective condensed matter physics have just created a new opportunity to develop commercial Nitrogen fixation processes that can operate at near-ambient temperatures and pressures and utilize natural gas, or alternatively water, as a source of Hydrogen to react with N
- ✓ Based on its LENR work, Lattice has developed a unique body of valuable proprietary insights into catalysis that directly applies to this opportunity
- ✓ Successful development of new lower-cost Nitrogen fixation technologies that go far beyond the 1909 Haber-Bosch process could: sever the present almost obligatory linkage between natural gas supplies and ammonia production; further reduce manufacturing costs (high temps and pressures unnecessary); and enable development of new types of smaller, lower-CAPEX commercial ammonia plants that are cost-effective for worldwide distributed production

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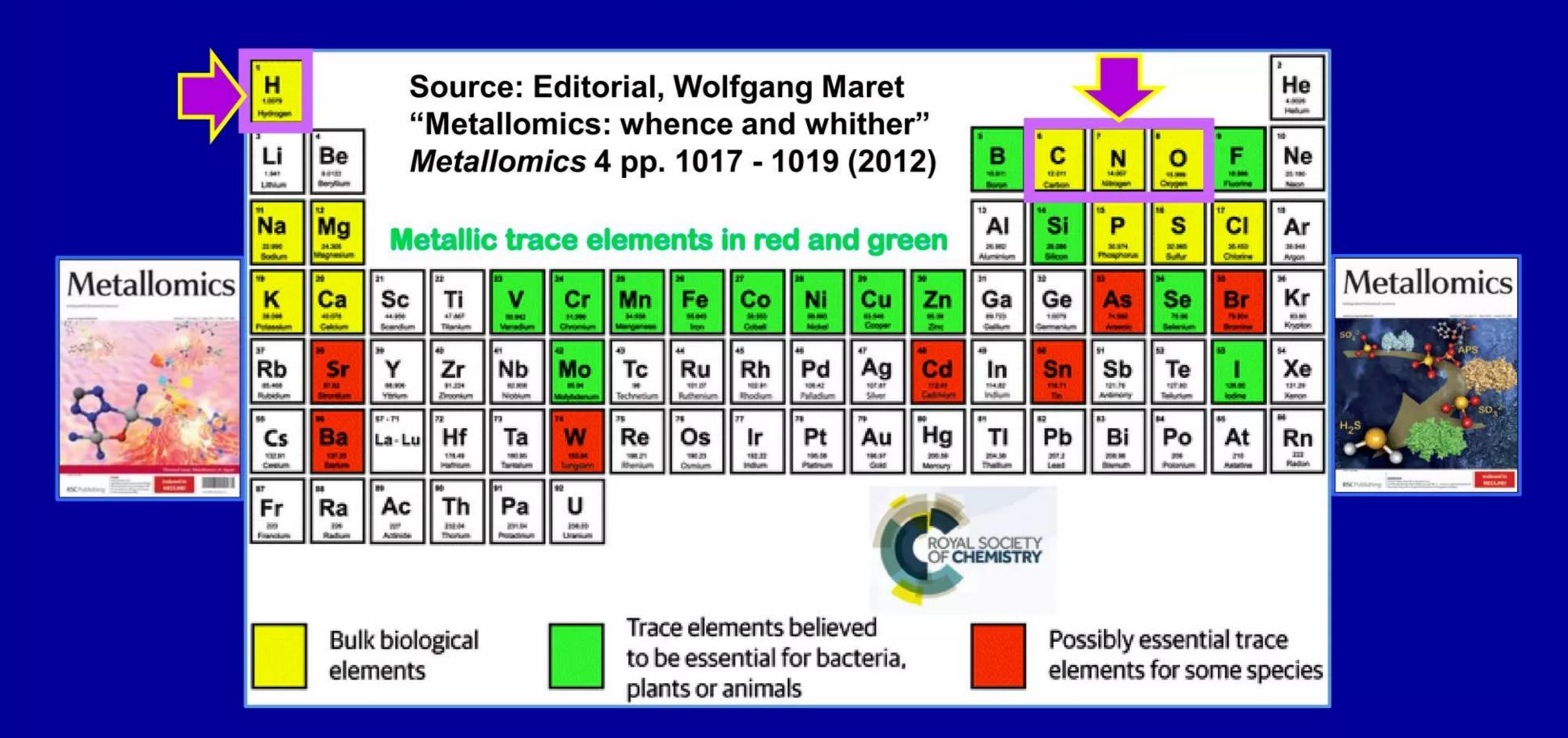
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### Periodic Table of the elements



# Living systems use subset of elements in Periodic Table O (~65%), C (~19%), H (~10), N (~3%) comprise ~97% of total biomass

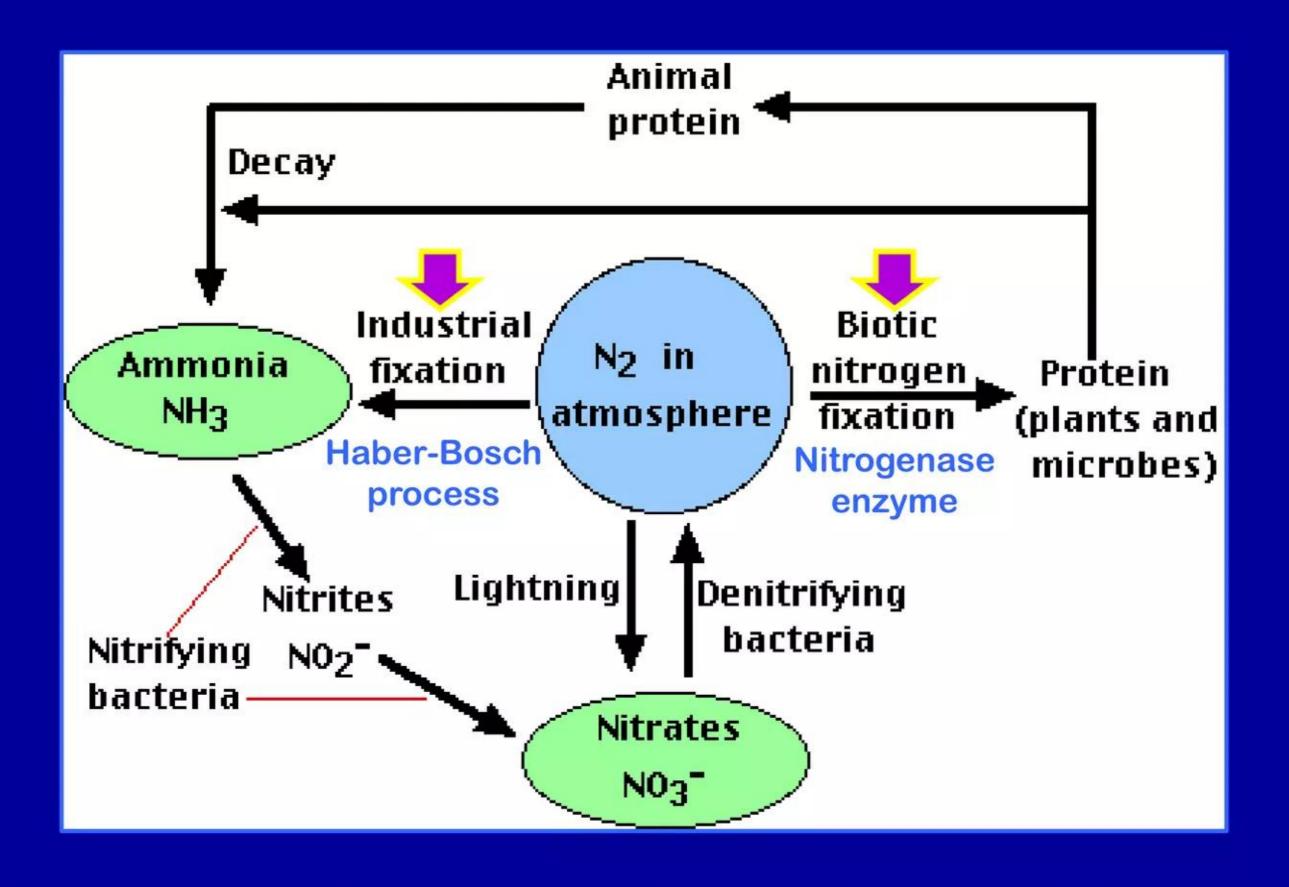
Metallic trace elements commonly serve as cofactors in active sites of enzymes



http://pubs.rsc.org/en/content/articlelanding/2012/mt/c2mt90041f/unauth#!divAbstract

## Global elemental Nitrogen cycles between various reservoirs Gaseous atmospheric Nitrogen (N<sub>2</sub>) molecule has strong triple bond

Triple bond must be broken (fixed) to make Nitrogen chemically reactive



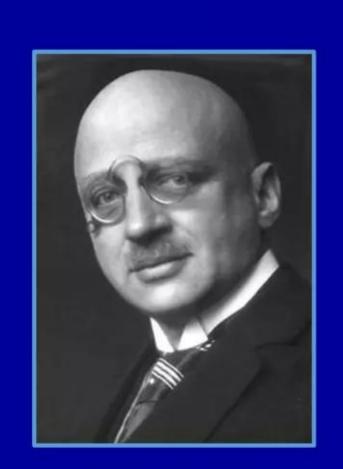
Adapted from source: http://users.rcn.com/jkimball.ma.ultranet/BiologyPages/N/NitrogenCycle.html

# Haber-Bosch process preeminently used to fix Nitrogen Invented in Germany in 1909 and dominated global market ever since

Nitrogen and Hydrogen are reacted with a Fe catalyst to make usable ammonia

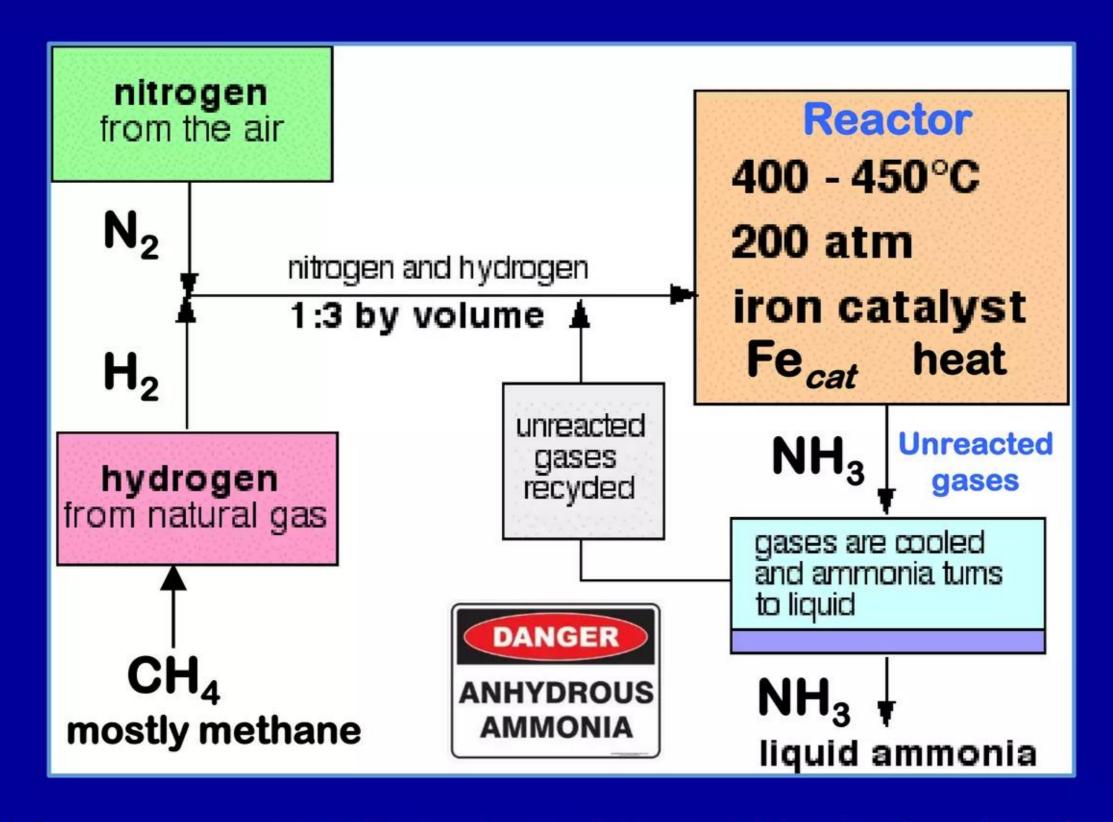
Chemical reaction

+  $3H_{2(a)}$  = -  $92 \text{ kJ mol}^{-1}$ 



 $N_{2(g)}$ 

Fritz Haber





**Carl Bosch** 

Adapted from source: <a href="http://www.chemguide.co.uk/physical/equilibria/haber.html">http://www.chemguide.co.uk/physical/equilibria/haber.html</a>

## Largest Haber-Bosch ammonia-urea plant in the world "Enven" facility owned by Engro Corp. and located in Daharki, Pakistan



Plant's inputs are Hydrogen (from natural gas), Nitrogen (extracted from air), and lots of energy

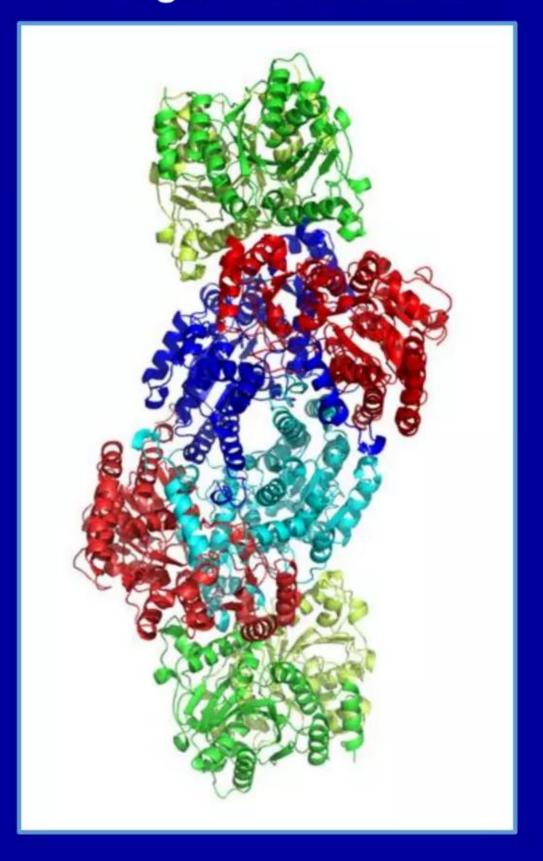
## Nitrogenase is the only enzyme in Nature that can fix N<sub>2</sub> Converts triple bonded Nitrogen molecule into chemically usable NH<sub>3</sub>

Biological version of the Haber-Bosch process operates at low temps/pressures

$$N_2 + 8 H^+ + 8 e^- + 16 ATP \longrightarrow 2 NH_3 + H_2 + 16 ADP + 16 P_i$$

- ✓ Only family of enzymes in Nature able to break (fix) strong N₂ triple bond to make usable ammonia (NH₃)
- Higher organisms can't do this; this enzyme found only in green sulfur bacteria, azotobacteria, and symbiotic diazotrophs that --- among other things --- live in association with roots and nodules in plants (soybeans for example); diverse diazotroph group includes Rhizobia, Frankias, and cyanobacteria
- Molybdenum (Mo) cation in active site is critical to catalysis; less proficient variants of this enzyme substitute Vanadium (V) or Iron (Fe) for Mo in site
- ✓ General consensus amongst researchers that key details of catalytic process in enzyme's active site are still poorly understood; FeMo cofactor is crucial

### Nitrogenase structure

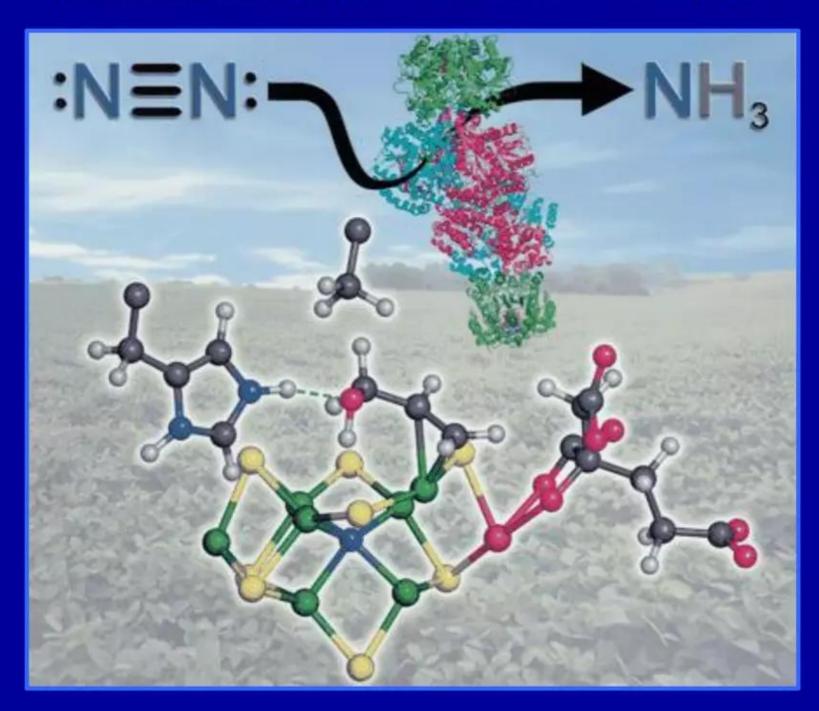


### Nitrogenase enzyme has complex molecular structure Most common form of enzyme in Nature has Molybdenum in active site

Mo-nitrogenase version exhibits highest rates of catalytic activity and proficiency



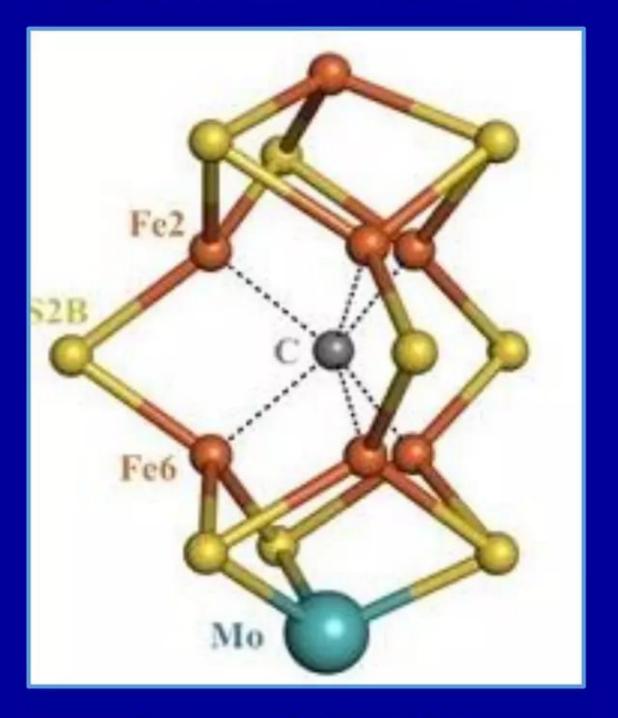
Active site structure as known in 2004



Source: Journal of Biological Chemistry (2004)



Active site structure in 2015



Source: SLAC (2015)

### Widom-Larsen theory of low energy neutron reactions Explain the absence of deadly energetic neutron and gamma radiation

Many-body collective effects enable electroweak catalysis in condensed matter

"Ultra low momentum neutron catalyzed nuclear reactions on metallic hydride surfaces" Rigorously explains e + p reaction in condensed matter A. Widom and L. Larsen

European Physical Journal C - Particles and Fields 46 pp. 107 - 112 (2006)

http://www.slideshare.net/lewisglarsen/widom-and-larsen-ulm-neutron-catalyzed-lenrs-on-metallic-hydride-surfacesepjc-march-2006

"Theoretical Standard Model rates of proton to neutron conversions near metallic hydride surfaces" Reaction rate calculations agree with experiments A. Widom and L. Larsen

Cornell physics preprint arXiv:nucl-th/0608059v2 12 pages (2007)

http://arxiv.org/pdf/nucl-th/0608059v2.pdf

"A primer for electro-weak induced low energy nuclear reactions"
Y. Srivastava, A. Widom, and L. Larsen Summary of W-L theory to date Pramana - Journal of Physics 75 pp. 617 - 637 (2010)

http://www.ias.ac.in/pramana/v75/p617/fulltext.pdf

## W-L theory posits that LENRs are a multi-step process Summary of key steps that occur in electroweak catalysis of neutrons

Five-step hard-radiation-free process occurs in 200 - 400 nanoseconds or less

- 1. Collectively oscillating, quantum mechanically entangled, many-body patches of hydrogen (protons or deuterons) form spontaneously on metallic surfaces
- 2. Born-Oppenheimer approximation spontaneously breaks down, allows E-M coupling between local surface plasmon electrons and patch protons; enables application of input energy to create nuclear-strength local electric fields > 2.5 x 10<sup>11</sup> V/m increases effective masses of sites' surface plasmon electrons
- 3. Heavy-mass surface plasmon electrons formed in many-body active sites can react directly with electromagnetically interacting protons; process creates neutrons and benign neutrinos via a collective electroweak e + p reaction
- 4. Neutrons collectively created in sites have ultra-low kinetic energies; almost all absorbed by nearby atoms few neutrons escape into environment; locally produced or ambient gammas converted directly into infrared photons by unreacted heavy electrons (US# 7,893,414 B2) no deadly gamma emissions
- 5. Transmutation of atoms of locally present elements is induced at active sites

### Electroweak reaction in Widom-Larsen theory is simple Protons or deuterons react directly with electrons to make neutrons

W-L explains how e + p reactions occur at substantial rates in condensed matter

Heavy-mass  $e^{-*}$  electrons react directly with protons Draw energy from electric fields > 2.5 x10<sup>11</sup> V/m

Collective many-body quantum effects: many electrons each transfer little bits of energy to a much smaller number of electrons also bathed in the very same extremely high local electric field

Quantum electrodynamics (QED): smaller number of electrons that absorb energy directly from local electric field will increase their effective masses ( $m = E/c^2$ ) above key thresholds  $\beta_0$  where they can react directly with a proton (or deuteron) ----- neutron and neutrino

$$\rightarrow e^{-*}_{sp} + p^+ \rightarrow n^0 + \nu_e$$

 $v_{\rho}$  neutrinos: ghostly unreactive photons that fly-off into space;  $n^0$  neutrons capture on nearby atoms

#### Induce transmutation



Neutrons + atomic nuclei ———— heavier elements + decay products

## Radiation-free green transmutations in mild conditions Electrons react directly with protons to make neutrons and neutrinos

Reactions are 'green': no deadly emissions of energetic neutrons and gammas

Non-stellar neutron production in condensed matter under mild conditions:

Collective many-body processes require external input energy

$$e^{-*} + p^{+} \rightarrow n + v_{e}$$
 Electric fields dominate  $e^{-} + p^{+} \rightarrow lepton + X$  Magnetic fields dominate

Electroweak nuclear reactions produce neutrons (n) and neutrinos  $(v_e)$ 

Transmutation of elements and star-like nucleosynthesis in labs and Nature:

Neutron capturedriven transmutation in Earthly environs

$$n + (Z, A) \rightarrow (Z, A+1)$$
 Neutron capture  $(Z, A+1) \rightarrow (Z+1, A+1) + e_{\beta} + \overline{v}_{e}$  Beta decay

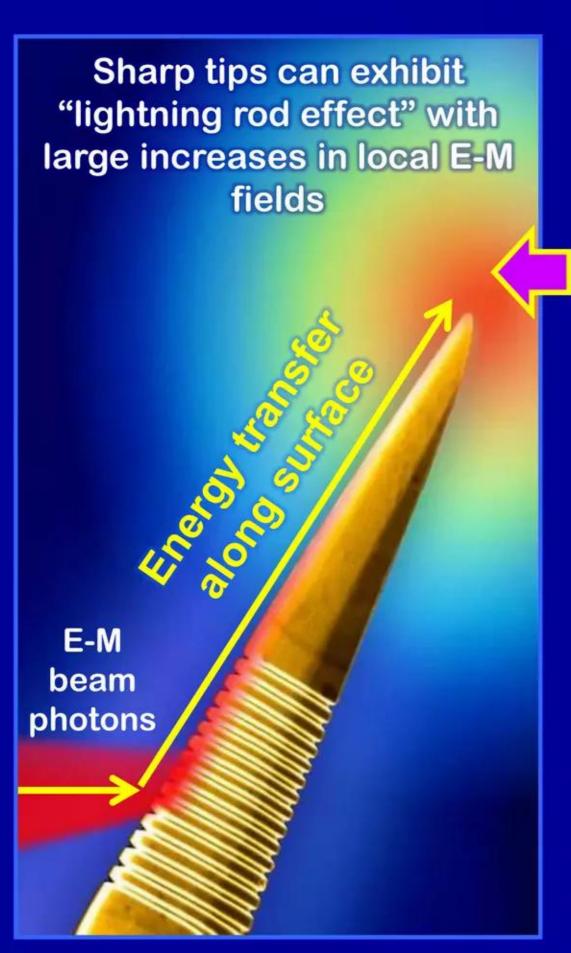
Unstable neutron-rich products of neutron captures will undergo beta decay

Can create heavier stable isotopes/elements along rows of Periodic Table

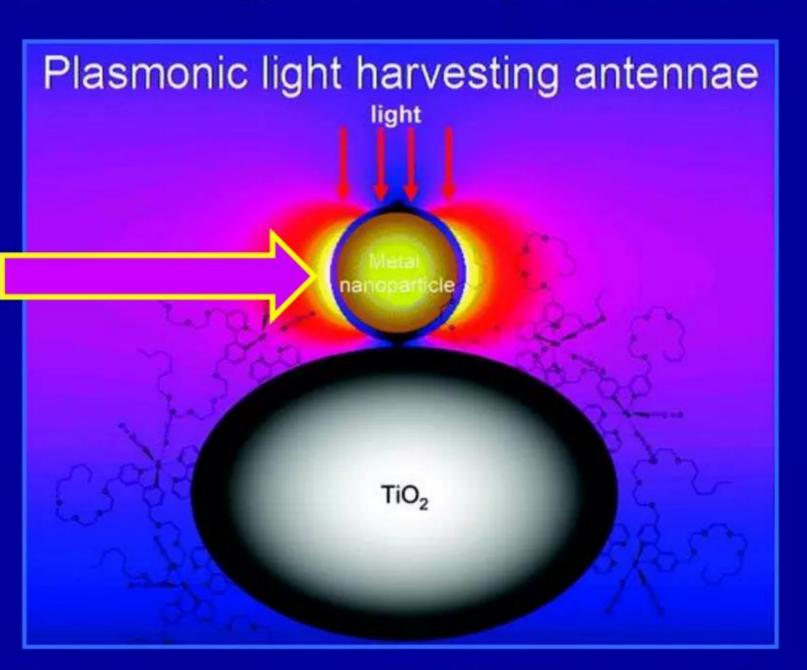
# Nanostructures can be antennas that absorb E-M energy SP electrons on nanoparticles can greatly intensify local electric fields

Nanostructures can be designed to briefly create pulsed E-fields > 2.5 x 10<sup>11</sup> V/m

Graphics show capture of E-M photons and energy transfer by SP electrons



Region of enhanced electric fields



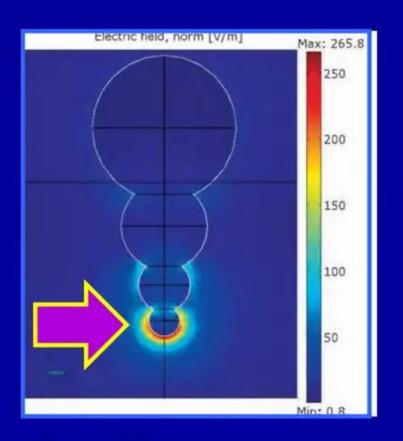
http://people.ccmr.cornell.edu/~uli/res\_optics.htm

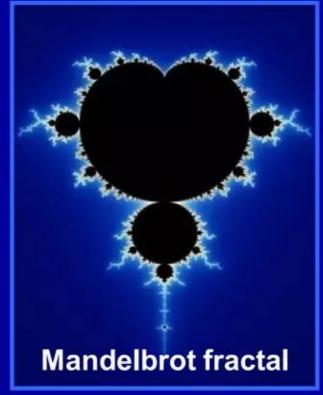
#### Source of above image is Wiesner Group at Cornell University:

"Plasmonic dye-sensitized solar cells using core-shell metal-insulator nanoparticles" M. Brown et al., Nano Letters 11 pp. 438 - 445 (2011) <a href="http://pubs.acs.org/doi/abs/10.1021/nl1031106">http://pubs.acs.org/doi/abs/10.1021/nl1031106</a>

# Huge increase in local E-field strengths on nanoscale Nanostructures of right shapes/compositions amplify electric fields

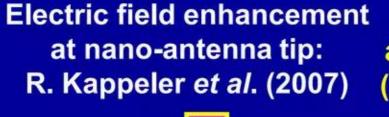
Details of nanoparticulate features on nm to  $\mu$  length-scales very key to LENRs



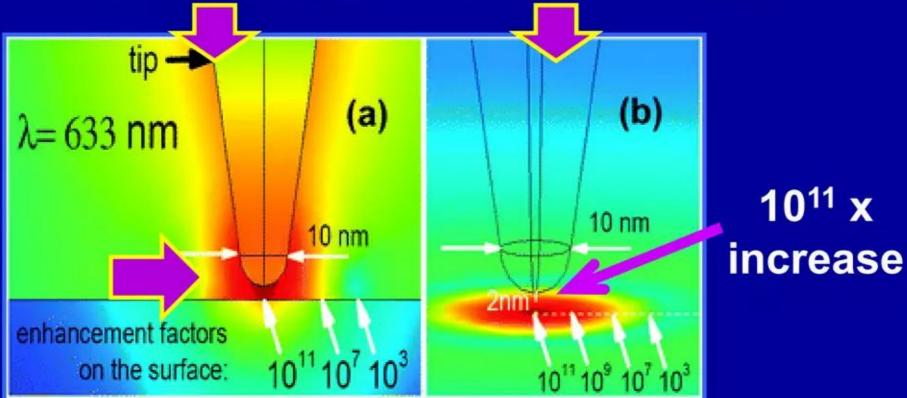


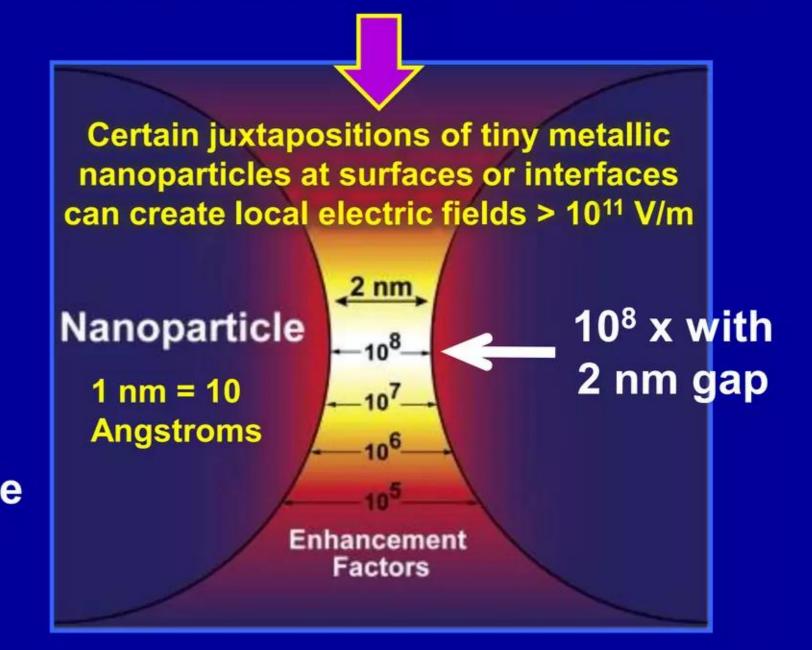
Sharp tips exhibit so-called "lightning rod effect" by creating enormous local enhancement in electric field strengths

Shows E-M field strength enhancement as a function of interparticle spacing



Electric fields at tips of atomic force microscopes (AFM) often reach 10<sup>11</sup> V/m

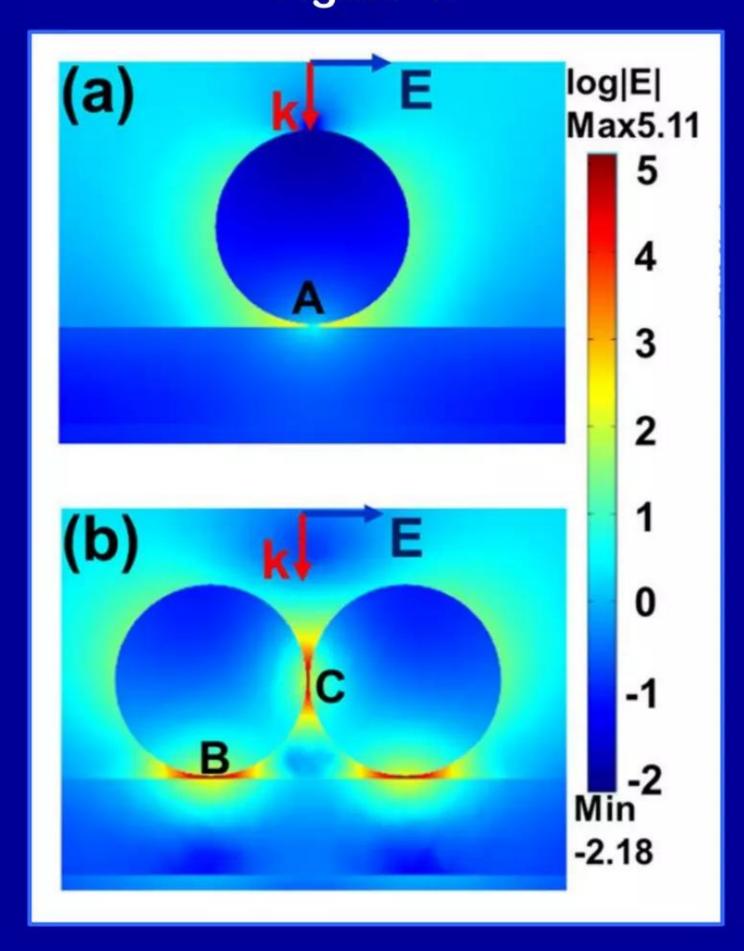


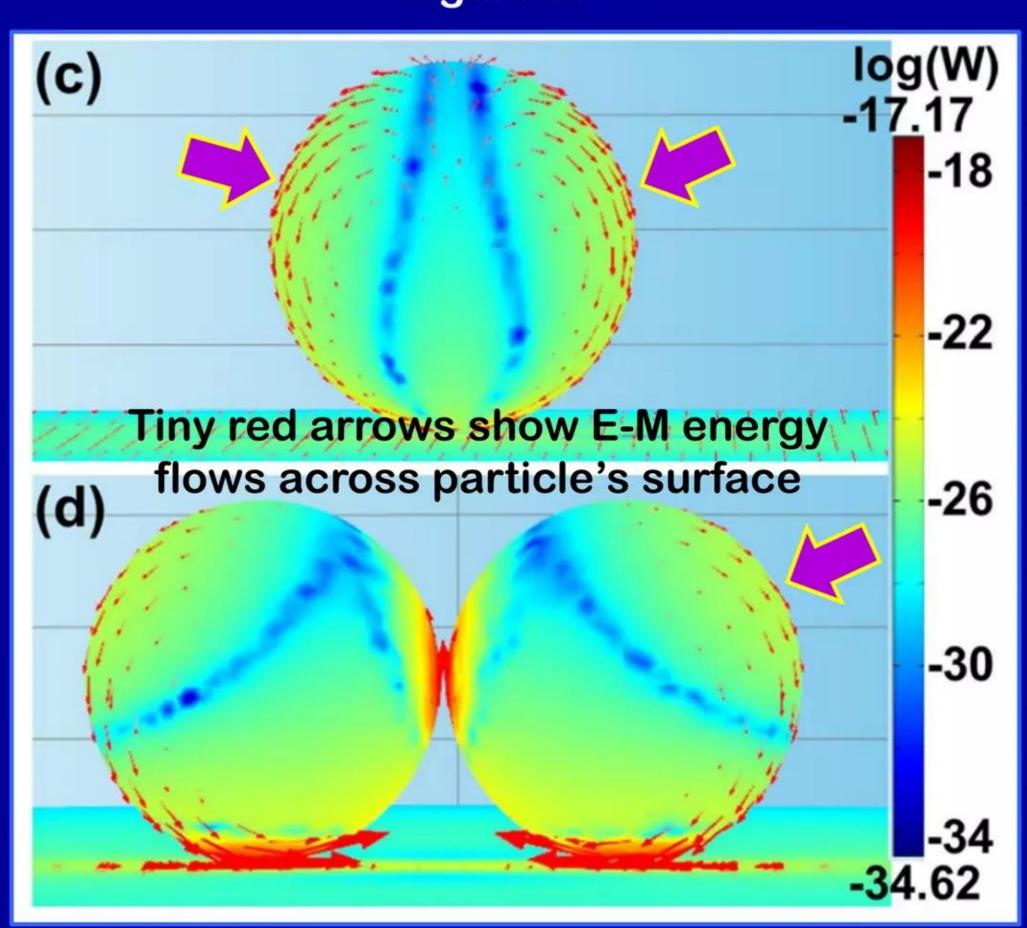


### Nanoparticle shapes/positioning redistribute E-fields

Fang & Huang's Figs. 1 and 3 show how electric fields are redistributed

Nonuniformity can be predicted, modeled, and used to design LENR active sites Figure 1. Figure 3.



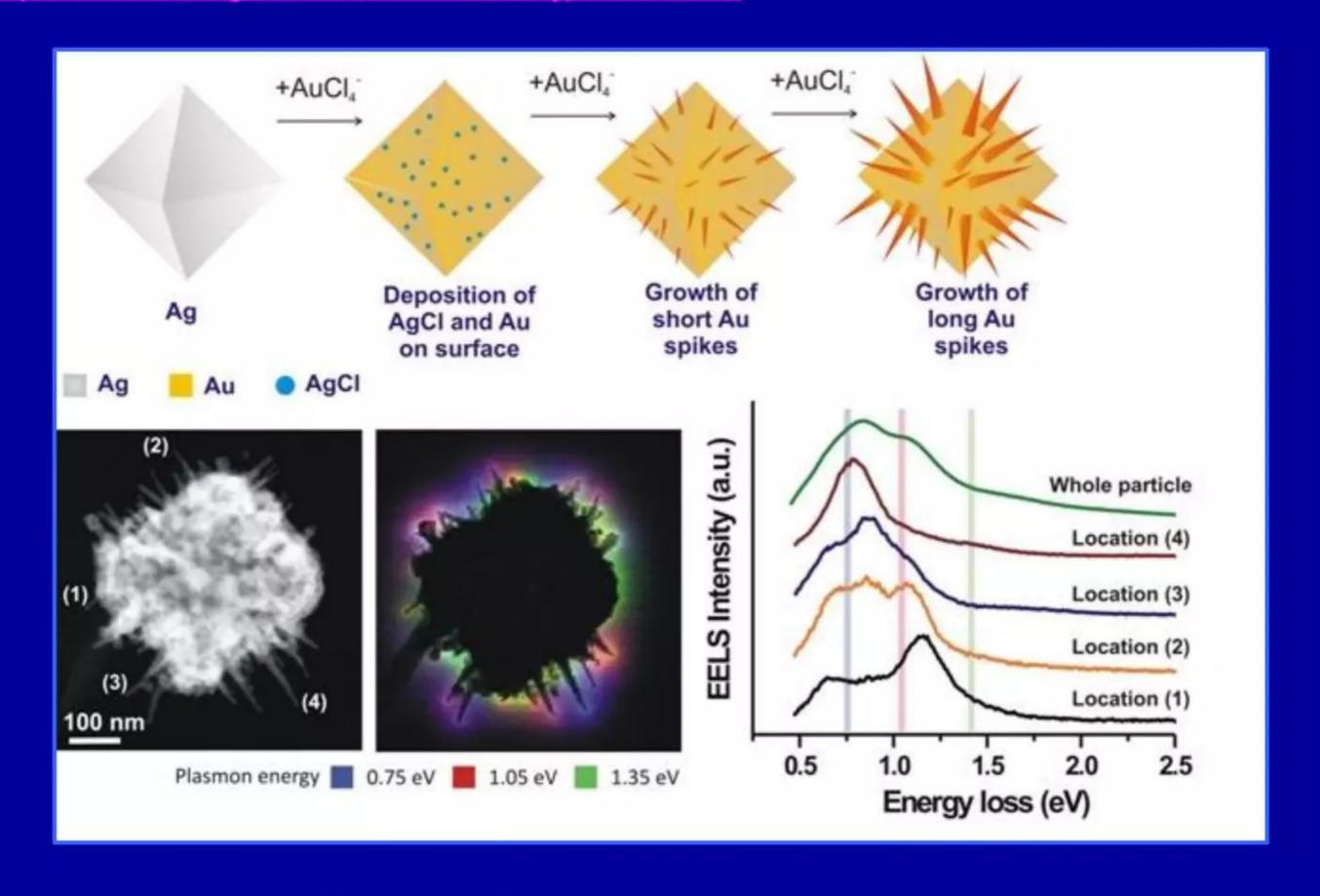


http://publications.lib.chalmers.se/records/fulltext/178593/local 178593.pdf

### Nanoparticle shapes locally intensify electric fields Grow in Nature and are readily fabricated in nanotech laboratories

Surface plasmons are present on surfaces of metallic NPs embedded in oxides

"Synthesis of spiky Ag-Au octahedral nanoparticles and their tunable optical properties" S. Pedireddy *et al.*, *J. Phys. Chem. C* 117 pp. 16640 - 16649 (2013) <a href="http://pubs.acs.org/doi/abs/10.1021/jp4063077">http://pubs.acs.org/doi/abs/10.1021/jp4063077</a>



### LENRs occur in microscopic active sites found on surfaces Many-body collections of protons and electrons form spontaneously

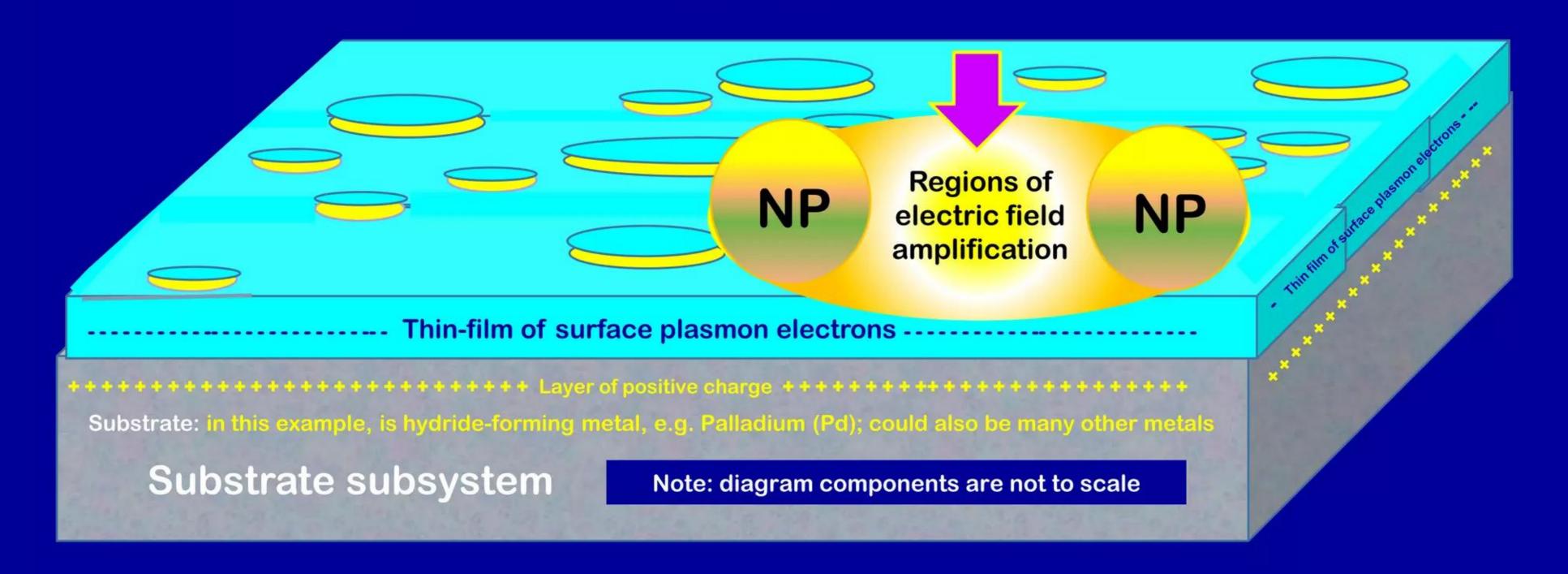
Ultralow energy neutrons produced & captured close to LENR active sites

After being produced, neutrons will capture on nearby atoms:

 $n + (Z, A) \rightarrow (Z, A+1)$  [local atoms compete to capture neutrons]

$$(Z, A+1) \rightarrow (Z+1, A+1) + e_{\beta} + v_{e}$$
 [beta decay]

Captures often followed by  $\beta^-$  decays of neutron-rich intermediate LENR products



### Chemical and nuclear realms interoperate on surfaces

LENRs: complex interplay between E-M/chemical/nuclear processes

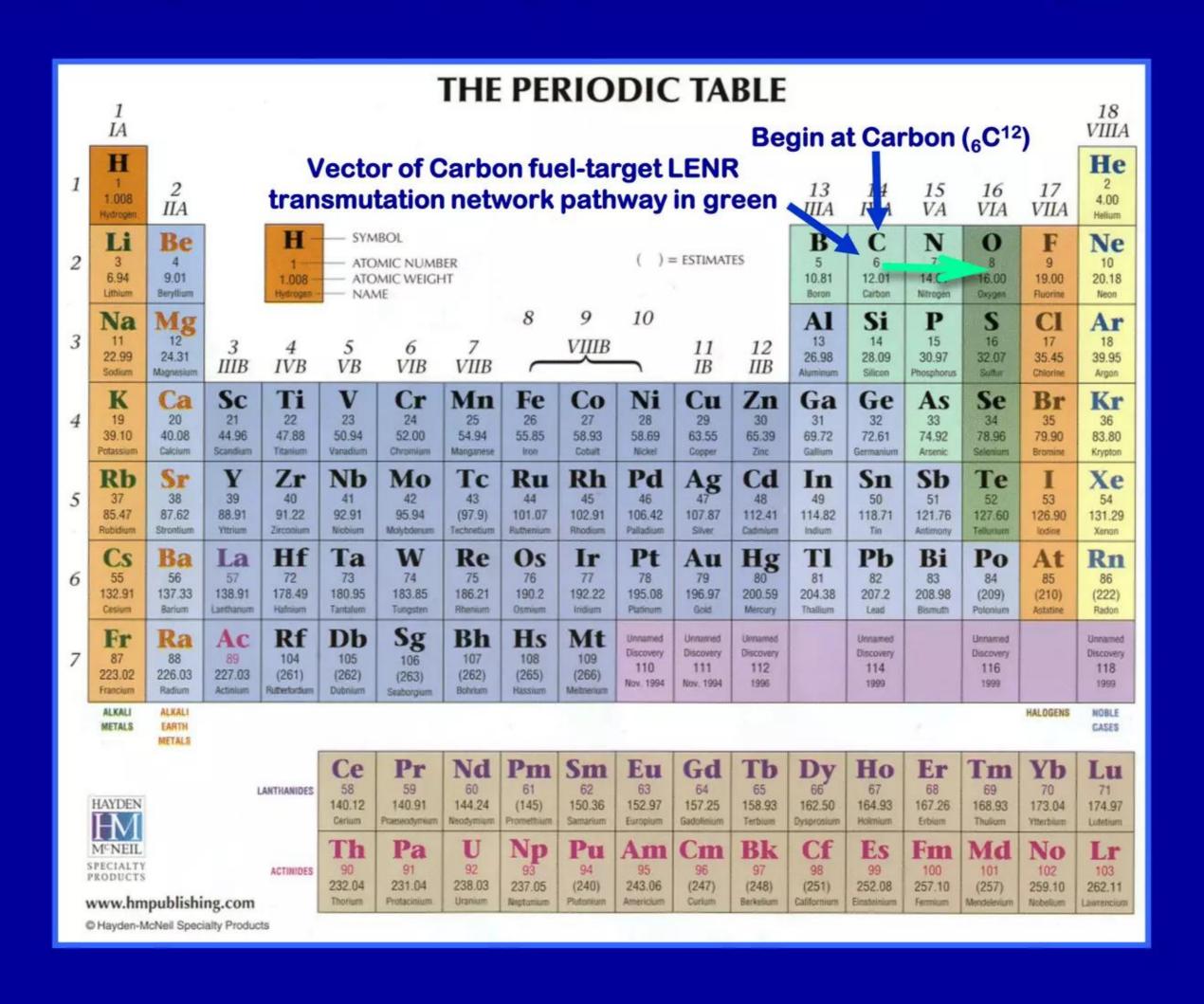
Resonant E-M cavities can transfer energy directly to LENR active surface sites

- ✓ It is well-known that metallic surface nanostructures and SP electrons can have configurations that can absorb electromagnetic (E-M) energy over a wide area, transport and concentrate it, and --- in conjunction with contiguous surface patches of collectively oscillating protons --- create nuclear-strength local electric fields that are required to produce neutrons in LENR active sites
- ✓ For substrate surfaces on which LENR active sites have formed, there are a myriad of different complex, nanometer-to micron-scale electromagnetic, chemical, and nuclear processes operating simultaneously. LENR active sites involve extremely complex interplays between surface plasmon electrons, E-M fields, and many different types of nanostructures with various geometries, surface locations relative to each other, different-strength local E-M fields, and varied chemical or isotopic compositions. Key: electromagnetic, chemical, and nuclear processes can coexist and interact on small length-scales at surfaces
- ✓ To varying degrees, many of these complex, time-varying surface interactions are electromagnetically coupled on many different physical length-scales. Thus, mutual E-M resonances can be very important in such systems. In addition to optical frequencies, SP and  $\pi$  electrons in condensed matter often also have strong absorption and emission bands in both infrared (IR) and UV portions of E-M spectrum; this can lead to formation of resonant E-M cavities

### LENR transmutation processes can convert C → N → O

Similar to stars but radiation-free and occurs under earthly conditions

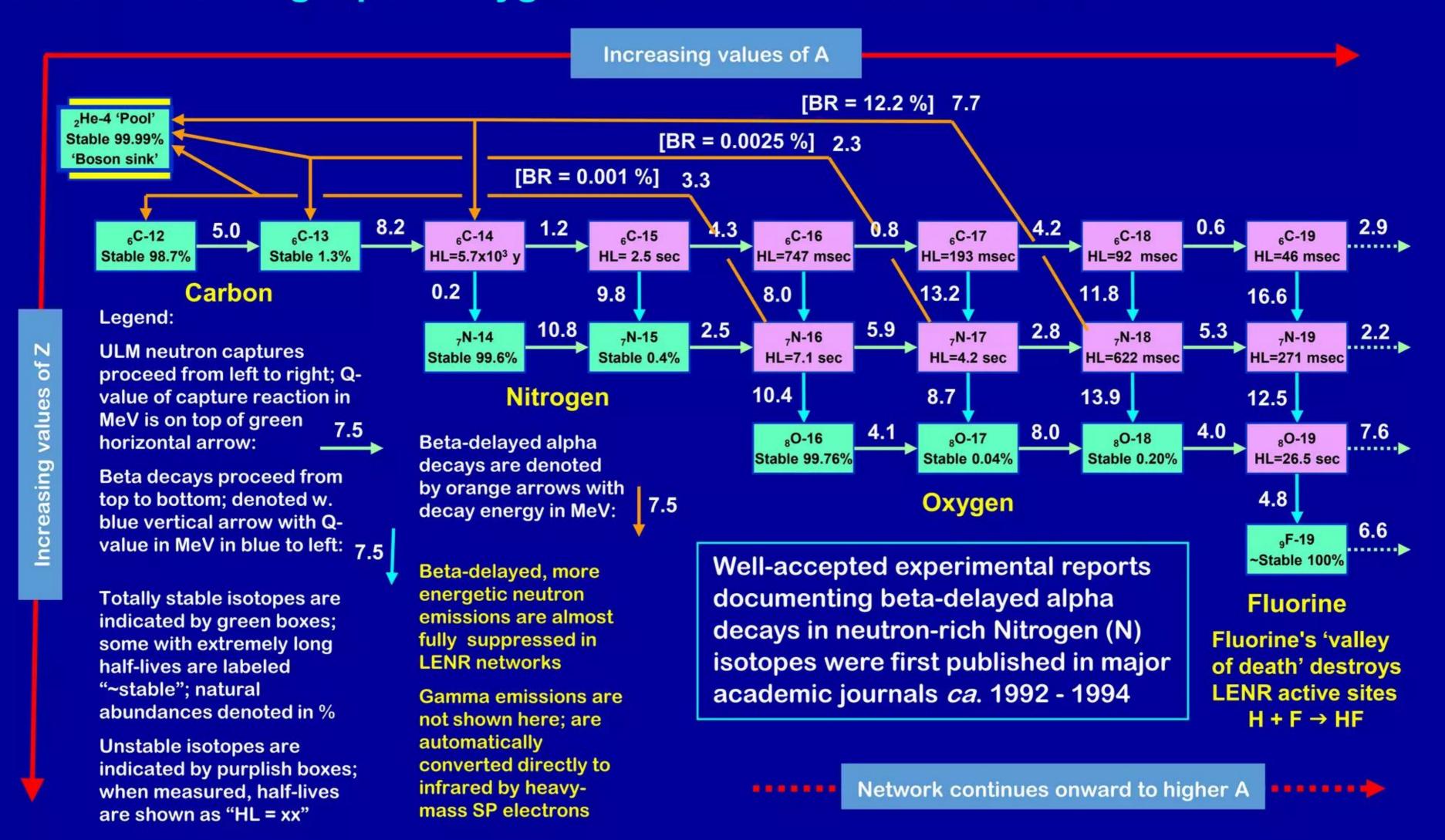
Series of neutron captures and decays create elements along same row of Table



### Neutron captures and decays can transform C → N → O

Theorized LENR transmutation network starting with stable Carbon-12

Network cannot get past Oxygen-18 unless neutron fluxes are > 1 x 10<sup>10</sup> cm<sup>2</sup>/sec



# Conceptual paradigm shift: terrestrial nucleosynthesis W-L predicts transmutations at very low rates in planetary environs Neutron production via e + p reaction can occur in lightning and earth's crust

✓ Theoretically predicted production of low-energy neutrons in lightning via the Widom-Larsen e + p mechanism was effectively confirmed by Gurevich *et al.* (*Phys. Rev. Lett.* 2012); please see following document:

"New Russian data supports Widom-Larsen theory neutron production in lightning" L. Larsen, Lattice Energy LLC, April 4, 2012 [73 slides] <a href="http://www.slideshare.net/lewisglarsen/lattice-energy-llcnew-russian-data-supports-wlt-neutron-production-in-lightningapril-4-2012">http://www.slideshare.net/lewisglarsen/lattice-energy-llcnew-russian-data-supports-wlt-neutron-production-in-lightningapril-4-2012</a>

✓ In recent presentation we discussed key compelling experimental data published in peer-reviewed journals suggesting W-L neutron production is occurring abiotically --- and perhaps also biologically --- in earth's crust:

"Implications of LENRs and mobile + charge carriers in Earth's crust for seismicity, terrestrial nucleosynthesis, and the Deep Biosphere: paradigm shifts in geophysics, geochemistry, and biology"

L. Larsen, Lattice Energy LLC, December 22, 2014 [102 slides]

<a href="http://www.slideshare.net/lewisglarsen/lattice-energy-llc-lenrs-pholes-crustal-nucleosynthesis-seismicity-and-deep-biosphere-dec-22-2014">http://www.slideshare.net/lewisglarsen/lattice-energy-llc-lenrs-pholes-crustal-nucleosynthesis-seismicity-and-deep-biosphere-dec-22-2014</a>

# Terrestrial nucleosynthesis is heretical but plausible W-L theory posits chemical & nuclear processes overlap on nanoscale

LENR transmutations occur in parallel with more common chemical fractionation

Before proceeding further, please note that we are:

- ✓ Not asserting that the existing chemical fractionation paradigm fails to adequately explain most reported isotope anomalies with respect to statistically significant deviations from natural abundances --- indeed, it may well effectively and accurately explain the vast majority of them
- ✓ Claiming that the presently available published literature contains a significant subset comprising many examples in which a chemical fractionation paradigm must be pushed very hard (which includes use of various *ad hoc* constructs) to explain certain isotope anomalies, i.e. it is being overly stretched to be able to accommodate certain data
- ✓ Suggesting that in such instances it may be fruitful for researchers to reexamine isotopic measurements through conceptual lens of a W-L LENR paradigm to determine whether Widom-Larsen theory can help lead to a better understanding of such anomalous experimental data

### Definition of chemical fractionation of isotopes

Isotope fractionation: "... is the physical phenomenon which causes changes in the relative abundance of isotopes due to their differences in mass ... are two categories of isotope effects: equilibrium and kinetic."

"An equilibrium isotope effect will cause one isotope to concentrate in one component of a reversible system that is in equilibrium. If it is the heavier isotope that concentrates in the component of interest, then that component is commonly referred to as enriched or heavy. If it is the light isotope that concentrates then the component is referred to as depleted or light. In most circumstances the heavy isotope concentrates in the component in which the element is bound more strongly and thus equilibrium isotope effects usually reflect relative differences in the bond strengths of the isotopes in the various components of the system. A kinetic isotope effect occurs when one isotope reacts more rapidly than the other in an irreversible system or a system in which the products are swept away from the reactants before they have an opportunity to come to equilibrium [typical in biological processes]. Normally, the lighter isotope will react more rapidly than ... heavy isotope and thus ... product will be lighter than the reactant."

"It should be noted that isotope fractionation will only occur in systems in which there is both an isotope effect and a reaction that does not proceed to completion. Thus, even in the presence of an isotope effect, there will be no isotope fractionation if all the reactant goes to a single product because all the atoms have reacted and thus the ratio of the heavy to light isotope must be the same in the product as it was in the reactant. The magnitude of an isotope effect is expressed as a fractionation factor. This is defined as the ratio of the heavy to light isotope in the product divided by the ratio of the heavy to light isotope in the reactant. Stated mathematically:  $f = \frac{(heavy / light) \text{product}}{(heavy / light) \text{product}}$ 

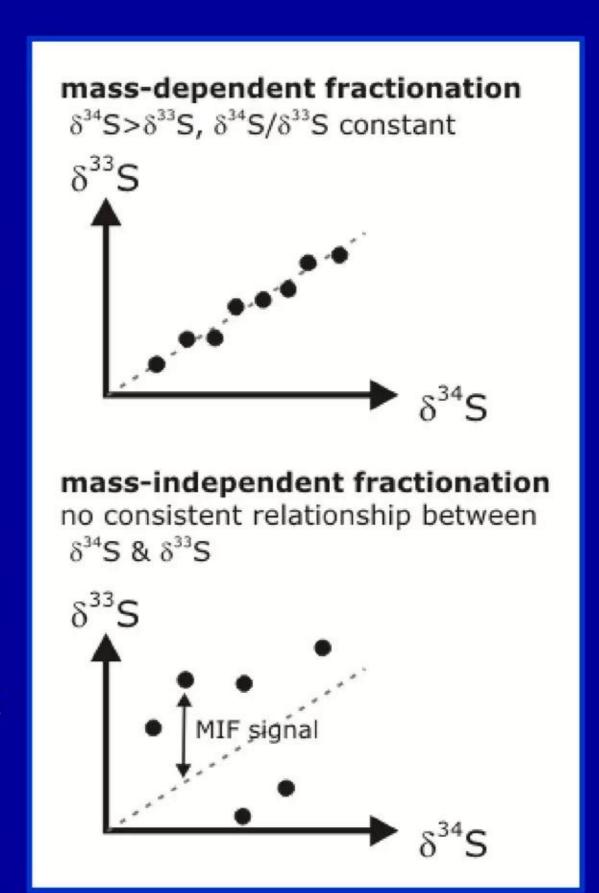
"When f is greater than 1 ... product is heavy or enriched. When ... less than 1 ... product is light or depleted. Most fractionation factors lie between 0.9 and 1.1 ... fractionation factor of 1.050 is ... referred to as a 5% isotope effect."

Source: D. Schoeller and A. Coward at <a href="http://www.unu.unupress/food2/uid05e/uid05e0e.htm">http://www.unu.unupress/food2/uid05e/uid05e0e.htm</a>

## LENR isotopic effects can mimic chemical fractionation Neutrons can potentially be captured by any element in Periodic Table

### LENRs are definitely occurring in Earth's environs: terrestrial C → N → O cycle?

- For ~ 60 years, a body of theory has been developed and articulated to explain progressively increasing numbers of stable isotope anomalies observed in a vast array of mass spectroscopic data obtained from many different types of natural and experimental, abiotic and/or biological, systems. Central ideas in chemical "fractionation" theory embody equilibrium and irreversible, mass-dependent and mass-independent, chemical processes that are claimed to separate isotopes, thus explaining the reported anomalies
- ✓ Although not explicitly acknowledged by the fractionation theorists, an intrinsic *fundamental assumption* underlying all of such theory and interpretation of data is that NO neutron-catalyzed nucleosynthetic processes are ever occurring anywhere in these systems at any time that are capable of altering isotope ratios or producing new mixtures of different elements over time; ergo, just chemistry explains everything
- ✓ However, if *in situ* neutron production is occurring in certain systems within which isotopic "fractionations" are observed, the fundamental assumption above is obviously erroneous



## Definition: delta ( $\delta$ ) notation for stable isotope ratios

For some elements variability of ratio in range of 3<sup>rd</sup> - 5<sup>th</sup> decimal place

Formula expresses very tiny values in terms of per mil or parts per thousand (%)

Stable isotope ratios of Nitrogen are commonly expressed in  $\delta$ -notation:

$$\delta = \left(\frac{R_{\text{sample}} - R_{\text{standard}}}{R_{\text{standard}}}\right) \times 1000$$

Wherein by convention: 
$$R = ratio = \frac{X_{\text{measured isotope}}}{X_{\text{most abundant}}}$$

 $\delta$ -notation for stable isotope ratios of Nitrogen:

$$\delta^{15}N(\%_{0}) = \left(\frac{\binom{15}{N_{14}} \binom{15}{N_{sample}} - \binom{15}{N_{14}} \binom{15}{N_{stan\,dard}}}{\binom{15}{N_{14}} \binom{15}{N_{stan\,dard}}}\right) \times 1000$$

# International standards for isotope ratios shown below Absolute ratio in Table embodies idea of "natural isotopic abundance"

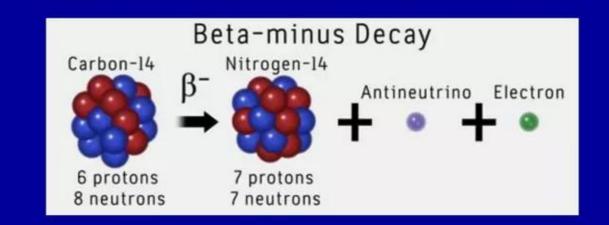
In delta ( $\delta$ ) notation, isotopic ratio  $R_{\text{sample}}$  measured in a sample is compared to an internationally agreed-upon reference standard; this approach derived directly from notion that there exists an ~ invariant "natural isotopic abundance" for every stable element found on a planet, e.g., earth. A measured  $\delta$  value will be positive if a sample contains *more* of the measured isotope vs. a standard; a  $\delta$  value will be negative if sample contains *less* of measured isotope vs. given isotopic standard

Table 9.1. Element	<b>ISOTOPE</b> RANOtation	ATios of STAb Ratio	le Isotopes Standard	Absolute Ratio
Hydrogen Lithium Boron	$\delta D \ \delta^6 Li \ \delta^{11} B$	D/H ( <sup>2</sup> H/ <sup>1</sup> H) <sup>6</sup> li/ <sup>7</sup> Li <sup>11</sup> B/ <sup>10</sup> B	SMOW NBS L-SVEC NBS 951	$1.557 \times 10^{-4}$ $0.08306$ $4.044$
Carbon Nitrogen Oxygen	$\delta^{13}C$ $\delta^{15}N$ $\delta^{18}O$	<sup>13</sup> C/ <sup>12</sup> C <sup>15</sup> N/ <sup>14</sup> N <sup>18</sup> O/ <sup>16</sup> O	PDB atmosphere SMOW, PDB	$1.122 \times 10^{-2}$ $3.613 \times 10^{-3}$ $2.0052 \times 10^{-3}$
Sulfur	$\delta^{17}O$ $\delta^{34}S$	<sup>17</sup> O/ <sup>16</sup> O <sup>34</sup> S/ <sup>32</sup> S	SMOW CDT	$3.76 \times 10^{-4}$ $4.43 \times 10^{-2}$

Source: Prof. Paul Asimow, Caltech, slide from a Geology lecture in 2006

# Neutron capture causes complex changes in isotope ratios LENR transmutations either enrich or deplete different Nitrogen isotopes

$$\delta^{15}N(\%) = \left(\frac{\binom{15}{N_{14}} \binom{15}{N_{sample}} - \binom{15}{N_{14}} \binom{15}{N_{s \tan dard}}}{\binom{15}{N_{14}} \binom{15}{N_{s \tan dard}}}\right) \times 1000 \tag{1}$$



Natural abundances (two stable isotopes): <sup>14</sup>N = ~99.636%; <sup>15</sup>N = ~0.364% Thermal neutron capture cross-section (barns): <sup>14</sup>N = 0.080; <sup>15</sup>N = 0.04 mb

- ✓ If <sup>14</sup>N were somehow exposed to fluxes of ultra-low-energy neutrons, it could readily be transmuted to <sup>15</sup>N with the capture of a single neutron. Therefore, the abundance of <sup>15</sup>N can be enriched by neutron-catalyzed LENR processes; i.e., δ<sup>15</sup>N would *increase* versus the standard which happens to be the isotopic ratio of Nitrogen in Earth's atmosphere
- Interestingly if not perversely, when stable Carbon-13 ( $^{13}$ C natural abundance = ~1.3%) captures one neutron, it creates unstable Carbon-14 ( $^{14}$ C with half-life = 5,730 years varying trace amounts always present in living matter), which beta decays to Nitrogen-14 ( $^{14}$ N) thus *decreasing*  $\delta^{15}$ N (increases value of  $^{14}$ N<sub>sample</sub> in equation's denominator)
- If <sup>15</sup>N captures a neutron, it would be transmuted to <sup>16</sup>N which happens to be unstable (half-life = 7.1 seconds); <sup>16</sup>N beta decays to stable Oxygen (<sup>16</sup>O natural abundance 99.76%). In this case, <sup>15</sup>N will be depleted and <sup>16</sup>O enriched; in addition, mass-balance of Nitrogen may show a deficit; will be "missing Nitrogen" that seemingly 'disappears'



✓ Depending greatly on initial conditions, exposure of Nitrogen atoms to LENR neutrons would undoubtedly alter whatever isotopic ratios may have existed prior to exposure

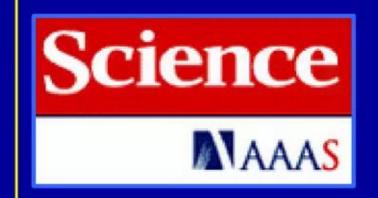
### Enzyme active sites create very high electric fields

Quasi-static E-fields ~ 1.5 x 10<sup>10</sup> V/m were measured in WT isomerase

Still unable to measure fast transients that represent even higher field strengths



"Extreme electric fields power catalysis in the active site of ketosteroid isomerase"
S. Fried *et al.*, *Science* 346 pp. 1510 - 1514 (2014)
<a href="http://web.stanford.edu/group/boxer/papers/paper303.pdf">http://web.stanford.edu/group/boxer/papers/paper303.pdf</a>



- ✓ Also see Lattice PowerPoint dated March 20, 2015 referenced later herein
- ✓ Using Stark Effect, measured quasi-static, near-equilibrium, time averaged electric fields of ~ 1.5 x 10<sup>10</sup> V/m in Wild Type (WT) ketosteroid isomerase's active site; admitted that fast-transient, way-higher spikes in active sites' local electric field-strength could occur under dynamic non-equilibrium conditions but they can't presently be measured (S. Boxer, private communication)
- ✓ Observed linear relationship between E-field strength and effect on C=O bond
- ✓ Concluded that electric field strength in enzyme's active site responsible for: "70% of KSI's catalytic speedup relative to an uncatalyzed reference reaction"
- To create neutrons via W-L e + p reaction, need E-field strengths > 2.5 x 10<sup>11</sup> V/m for tens of attoseconds; do fast transients in active sites get high enough?

# New breakthrough in room temperature Nitrogen fixation FeMoS-chalcogels used could be mimicking active site of Nitrogenase

White light irradiation drives process but 1,000x less proficient than the enzyme



"Photochemical Nitrogen conversion to ammonia in ambient conditions with FeMoS-chalcogels" A. Bannerjee *et al. JACS* 137 pp. 2030 - 2034 (2015) <a href="http://pubs.acs.org/doi/abs/10.1021/ja512491v">http://pubs.acs.org/doi/abs/10.1021/ja512491v</a>



- ✓ Molybdenum (Mo), Iron (Fe), and Sulfur (S) present in chalcogel (see Figure on next slide) somewhat resemble the active site of Nitrogenase enzyme
- Chalcogels are very porous, dark materials with extremely high surface areas
- ✓ Experiments: insoluble FeMoS-chalcogels were placed in aqueous H₂O solutions with additional chemicals and irradiated with white light from Xenon lamp (light replaces ATP as energy source for fixation); ammonia is then produced in reactor at ambient room temperature and atmospheric pressure
- ✓ While Banerjee et al. can produce ammonia under remarkably mild conditions compared to the Haber-Bosch process, it is 1,000x less proficient vs. enzyme
- ✓ Commercial challenge is to increase the catalytic proficiency of their process

## Abiotic enzyme-like room temperature fixation of N<sub>2</sub> Requires more energy to break N≡N bond compared to C=O bond

Reasonable to speculate that higher electric field strength needed to cleave N = N

Experimental bond dissociation enthalpies of selected bonds at 298 K:

**N** ≡ **N** 941 kJ/mole **C** = **O** 799 kJ/mole

- ✓ Nitrogen triple bond is ~18% stronger than
   C = O double bond
- ✓ If electric field strengths are crucial in catalysis, and if causal relationship between effects on chemical bonds and Efield strengths are ~linear per Fried *et al.*, then it is likely that electric fields in active site of Nitrogenase are somewhat higher vs. ketosteroid isomerase at 1.5 x 10<sup>10</sup> V/m
- Follows that FeMoS-chalcogels should have measured E-fields >  $1.5 \times 10^{10} \text{ V/m}$  in  $\mu$  or smaller regions somewhere on surfaces



Surface plasmons occur on chalcogenides



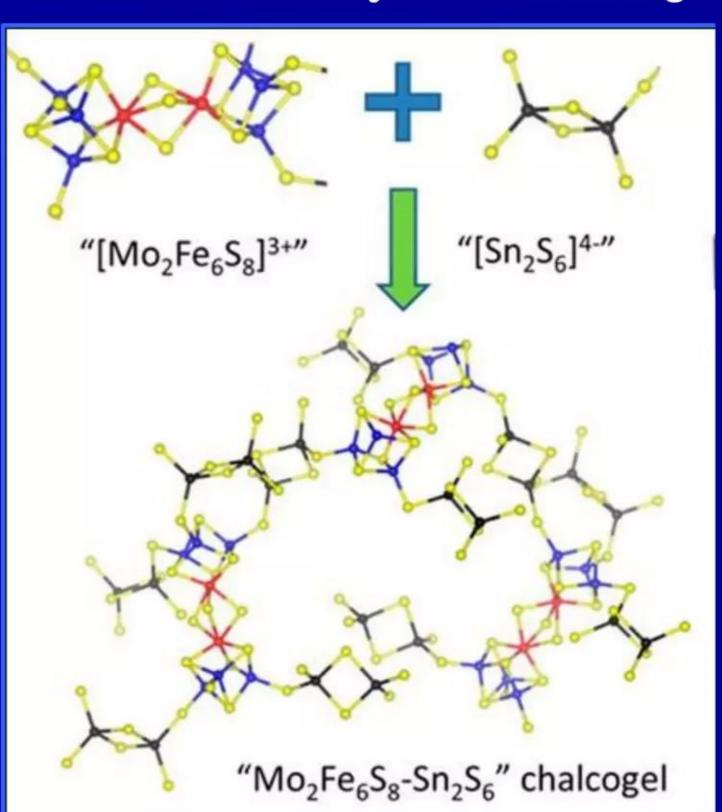


Figure source: Banerjee et al. JACS (2015)

# How do we know if Nitrogenase E-fields >1.5 x 10<sup>10</sup> V/m? If fields >2.5 x 10<sup>11</sup> V/m W-L predict occasional production of neutrons

'Accidental' events occur because Q-M entangled protons/electrons are present

- If local electric field-strengths in an active site exceed 2.5 x  $10^{11}$  V/m for just a few tens of attoseconds, it is possible to occasionally produce neutrons via Widom-Larsen e+p electroweak reaction. Such 'accidents' can happen because mutually quantum-entangled protons and electrons (including  $\pi$  electrons on aromatic rings) are innately present in active sites, along with Carbon and metal atoms, all of which will compete to capture any ultralow energy neutrons. Note than an active site would likely produce neutrons only one or two at a time and that local unreacted mass-enhanced electrons will automatically convert any locally produced gammas into infrared photons
- ✓ Per Widom-Larsen theory, physical dimensions of LENR neutron's DeBroglie wavelength at the instant of creation should ~ match size of the many-body collective 'patch' of mutually entangled protons (Hydrogens) and electrons that cooperatively produced it; implies that neutron wavelengths will likely approximate the spatial dimensions of active sites' entangled components
- ✓ If ultralow energy LENR neutrons are being produced one would expect to observe "fractionation" of isotopes of elements situated in vicinity of the active site which in this case would minimally include H, Mo, C, N, Fe, and S

# Neutron production in active sites is probably accidental Quantum fluctuations may occasionally push E-fields > $2.5 \times 10^{11} \text{ V/m}$ Some nonzero percentage of isotopic "fractionation" could instead be LENRs

- ✓ Evolutionary pressures would select for active sites that get the job done chemically but modulate strength of local electric fields so as to keep them below thresholds required for electroweak neutron production. Even so, quantum fluctuations could sometimes push E-fields > 2.5 x 10<sup>11</sup> V/m, thus causing accidental production of neutrons that are captured by local atoms
- Competition for neutron capture amongst many atoms found in or near an enzyme active site would be a many-body collective scattering process that would be very complex and exceedingly capricious in terms of outcomes --- capture products could vary enormously from one active site to another
- It is not clear that a neutron production and capture event would inevitably damage or destroy an enzyme's active site; in some cases it might, and in others it would not --- the outcome would likely depend on exactly which element/isotope underwent neutron capture. Note that neutron production in active sites could potentially reduce the average half-life of Nitrogenase
- ✓ We will now present two selected examples of published experimental results in which isotopic "fractionation" that is attributed to purely chemical processes could plausibly have also been caused by LENRs, at least in part

### Data in which LENRs may be accompanying fractionation

✓ "Molybdenum isotope fractionation by cyanobacterial assimilation during nitrate utilization and N₂ fixation"

A. Zerkle et al. Geobiology 9 pp. 94 - 106 (2011)

<a href="http://onlinelibrary.wiley.com/doi/10.1111/j.1472-4669.2010.00262.x/pdf">http://onlinelibrary.wiley.com/doi/10.1111/j.1472-4669.2010.00262.x/pdf</a>

"Instead, the pattern in  $\delta^{98}$ Mo fractionations we observe suggests a more complex mechanism or mechanisms for fractionation ... We have demonstrated that cyanobacterial assimilation of Mo can produce large fractionations in  $\delta^{98}$ Mo ( $\epsilon_{cells-media}$  as large as -1%)"

"Fixation and fate of C and N in the cyanobacterium *Trichodesmium* using nanometer-scale secondary ion mass spectrometry"

J. Finzi-Hart *et al. PNAS* 106 pp. 6345 - 6350 (2009)

<a href="http://www.pnas.org/content/106/15/6345.full.pdf">http://www.pnas.org/content/106/15/6345.full.pdf</a>

"NanoSIMS analysis showed substantial subcellular spatial variability in <sup>15</sup>N and <sup>13</sup>C enrichment along *Trichodesmium* trichomes and with depth through individual cells ... <sup>13</sup>C/<sup>12</sup>C and <sup>15</sup>N/<sup>14</sup>N ratio images generated from sectioned trichomes, along with correlated TEM maps, provide direct evidence of subcellular uptake localization within cells ~8 h, and the redistribution of that enrichment after 24-h incubation ... In both sectioned and whole cells, we observed discrete hotspots enriched in <sup>15</sup>N and <sup>13</sup>C at 4 h, with increased density at 8 h."

# Anomalies in global Nitrogen cycle noticed for 60 years Nitrogen doesn't mass-balance across a huge range of length-scales

### Highly variable % of measured Nitrogen is 'missing in action' --- where did it go?

- ✓ Mystery of "missing" Nitrogen has been noted in many published studies spanning six decades; is it just measurement problems or something else?
- ✓ Prior to Widom-Larsen theory, near-universally assumed that persistently observed mass-imbalance anomaly was nothing more than researchers inability to accurately characterize and measure all the molecular moieties that contain Nitrogen ... however, neutron captures can transmute N → O
- ✓ Data reported in two recent studies illustrates missing Nitrogen conundrum:

"Closing the carbon balance for fermentation by *Clostridium thermocellum*" L. Ellis *et al.* Bioresource Technology 103 pp. 293 - 299 (2011) <a href="http://bioenergycenter.org/besc/publications/ellis-closing-carbon.pdf">http://bioenergycenter.org/besc/publications/ellis-closing-carbon.pdf</a>

Comment: despite diligent measurement efforts, chemostat experiments could only account for ~93% of Carbon and ~92 - 96% of all elemental Nitrogen

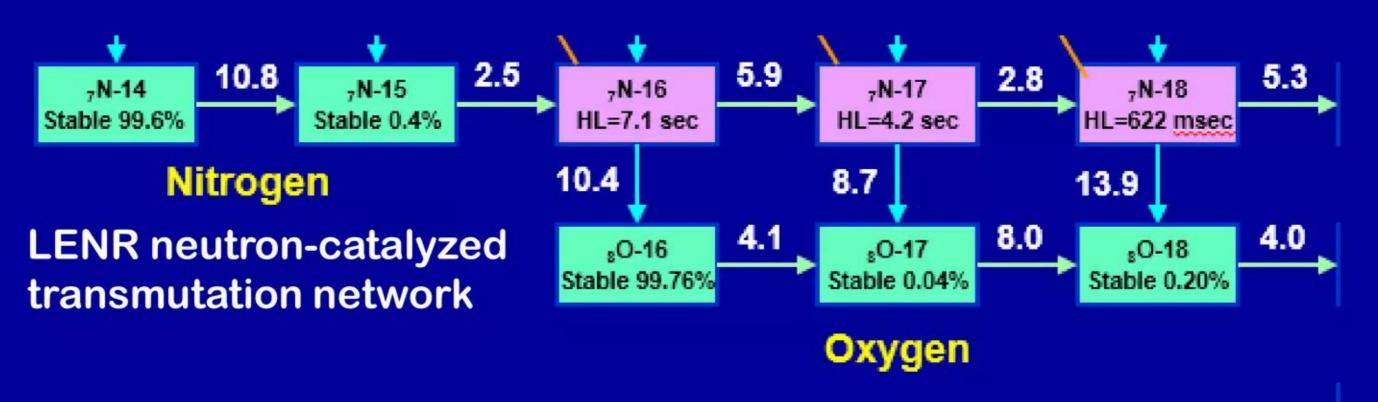
"Nitrogen control in source segregated domestic food waste anaerobic digestion using stripping technologies"

A. Serna-Maza, PhD Thesis, University of Southampton (November 2014) <a href="http://eprints.soton.ac.uk/372768/">http://eprints.soton.ac.uk/372768/</a>

Comment: experiments conducted in batch laboratory-scale digesters were unable to account for all of the Nitrogen; see Chapter 4 for discussion

## Speculation re cause of "missing Nitrogen" anomalies New heresy: LENR transmutation of "missing" Nitrogen into Oxygen?

Accurate measurements of C, N, O mass-balances in closed system are needed



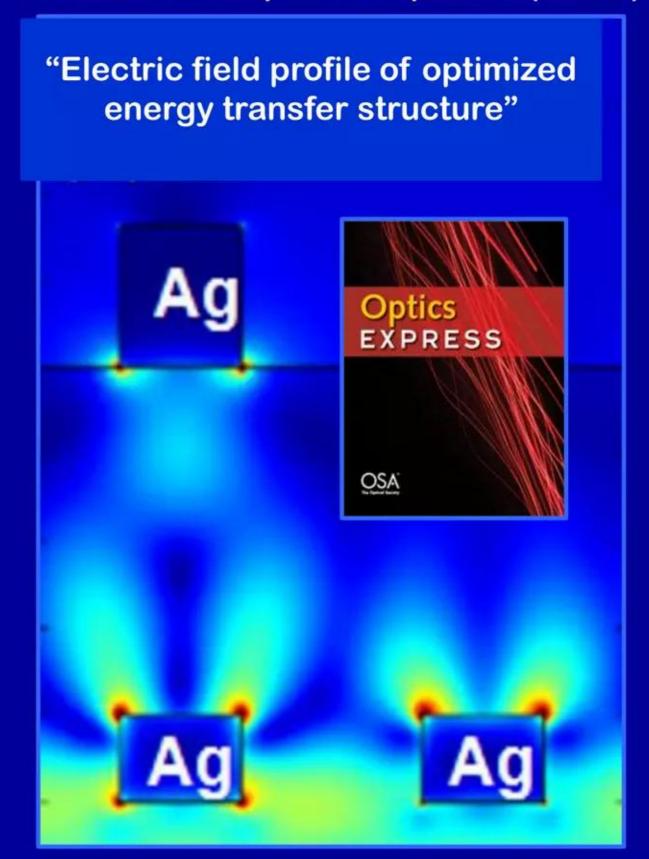
- ✓ Today this hypothesis is informed theoretical speculation based on hard data
- ✓ Skilled experimentalists are needed to confirm or falsify this radical conjecture
- ✓ Well characterized, high-tech microbial chemostat systems might be ideal for tightly controlled experiments that are designed to test for this possibility
- ✓ Accurate, state-of-the-art mass spectroscopy analyses on before-and-after samples, including access to a nanoSIMS machine when needed, are crucial
- ✓ While LENR processes in Nature may occur at extremely low rates, their effects on isotopic ratios and abundances of elements could be very substantial over geological time horizons --- some parts of geochemistry may require revision

# Lattice's R&D strategy for going beyond Haber-Bosch Apply knowledge of LENR active sites and plasmonics to N<sub>2</sub> fixation

### Boost catalytic proficiency and keep working temps & pressures near ambient

- It has become apparent that deep technical knowledge about details of abiotic LENR electroweak catalysis can provide valuable insights into the operation of both enzymatic and abiotic chemical catalysis. There is thus an opportunity to use new and unique conceptual insights to help greatly increase performance and reduce production costs for industrial abiotic, non-Haber-Bosch catalysis of Nitrogen fixation
- ✓ Chalcogens are good place to start thanks to the new discovery by Bannerjee *et al.* (*JACS* 2015); importantly, surface plasmons are well-known on chalcogenides in other nanotech applications
- ✓ Nanotechnology, plasmonics, and many-body collective quantum effects can be applied to selected FeMoS materials to purpose-design catalytically active nanoscale sites in which local electric field strengths are high enough to cleave the Nitrogen triple bond to react with Hydrogen

A. Lin et al. Optics Express (2013)



http://tinyurl.com/gypprkz

### Additional references

- "The alchemy of air a Jewish genius, a doomed tycoon, and the scientific discovery that fed the world but fueled the rise of Hitler"

  T. Hager, Harmony Books New York (2008) 316 pp.

  <a href="http://www.amazon.com/The-Alchemy-Air-Scientific-Discovery/dp/0307351793">http://www.amazon.com/The-Alchemy-Air-Scientific-Discovery/dp/0307351793</a>
- "Ultrahigh local electric fields: surprising similarities between LENR active sites and enzymatic biocatalysis"
  L. Larsen, Lattice Energy LLC, March 20, 2015 [101 PowerPoint slides]
  <a href="http://www.slideshare.net/lewisglarsen/lattice-energy-llc-surprising-similarities-between-lenr-active-sites-and-enzymatic-catalysis-march-20-2015">http://www.slideshare.net/lewisglarsen/lattice-energy-llc-surprising-similarities-between-lenr-active-sites-and-enzymatic-catalysis-march-20-2015</a>
- ✓ "Towards a generic model of catalysis"

  M. Grayson and S. Janusz, IE Conference of Molecular Design (2003)

  <a href="http://biochempress.com/Files/IECMD">http://biochempress.com/Files/IECMD</a>
  2003/IECMD 2003 020.pdf
- ✓ "Nitrogenase MoFe protein from Clostridium pasteurianum at 1.08 Å resolution: comparison with Azotobacter vinelandii MoFe protein" L-M. Zhang et al., Acta Crystallographica D73 pp. 274 282 (2015) <a href="http://www.ncbi.nlm.nih.gov/pmc/articles/PMC4321486/">http://www.ncbi.nlm.nih.gov/pmc/articles/PMC4321486/</a>
- "Nitrogen isotope fractionation by alternative nitrogenases and past ocean anoxia" X. Zhang *et al. PNAS* 111 pp. 4782 4787 (2014) <a href="http://www.pnas.org/content/11/13/4782.full.pdf">http://www.pnas.org/content/11/13/4782.full.pdf</a>

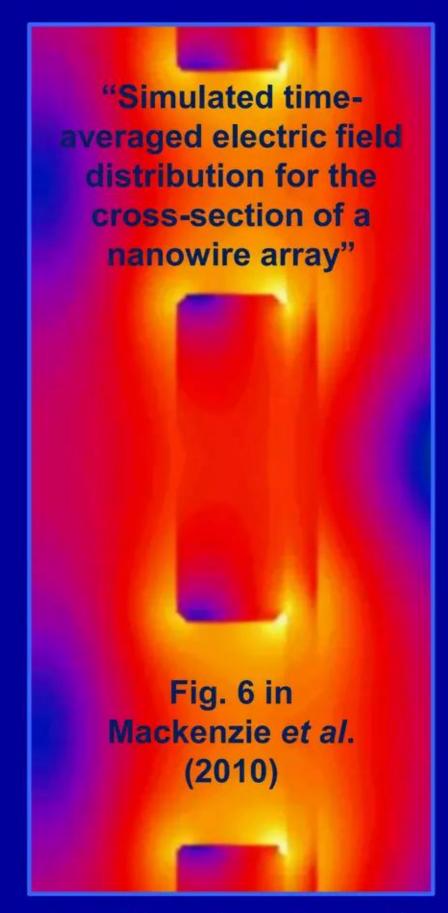
### **Working with Lattice**

### Partnering on commercialization and consulting on certain topics

Larsen cv: <a href="http://www.slideshare.net/lewisglarsen/lewis-g-larsen-cv-june-2013">http://www.slideshare.net/lewisglarsen/lewis-g-larsen-cv-june-2013</a>

1-312-861-0115 lewisglarsen@gmail.com

- ✓ Lattice welcomes inquiries from established large organizations that may have an interest in discussing the possibility of becoming a strategic capital and/or a key technology development partner with our US company
- Lewis Larsen also selectively engages in feebased third-party consulting that does not compromise Lattice's proprietary intellectual property relating to any LENR-based power sources. Such expertise includes many areas such as optimizing industrial catalysts; LENRs as they relate to petroleum geochemistry and fracking-induced seismicity; lithium-ion battery safety; and long-term strategic implications of LENRs on high cap-ex long term investments in power generation and petroleum-related assets.



http://tinyurl.com/lzvvyv9

### Significance of the Haber-Bosch process today

"Today hundreds of Haber-Bosch plants are drinking in air and turning out ammonia, producing enough fertilizer not only to support a burgeoning human population but to improve average diets worldwide. All of the plants run on the same principles Haber and Bosch pioneered and are filled with the same basic catalyst that Alwin Mittasch found almost a century ago. They are however, larger and more efficient. In Carl Bosch's day, the tallest ammonia ovens were thirty feet high. Now they top one hundred feet. In 1938, it took an average of sixteen hundred workers to produce a thousand tons a day of ammonia. Today it takes 55 workers to make the same amount. In the early days it took four times as much energy to make a ton of fertilizer as it does now. Still, the demand for their products are so great that Haber-Bosch plants today consume 1 percent of all the energy on earth ... This huge, almost invisible industry is feeding the world. Without these plants, somewhere between two billion and three billion people --- about 40% of the world's population ... would starve to death."

Thomas Hager "The alchemy of air" (2008)

Laura 13