Model Mechanism for AHE by Nano-Metal and H(D)-Gas

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To be presented at

12th International Workshop on Anomalies in Hydrogen Loaded Metals 5-9 June 2017, Costigliole d'Asti, Italy AHE at Higher Temperatures by Binary Ni-based Nano-Metals and H(D)-gas

By ICCF20 papers of Takahashi et al, Kitamura et al and Iwamura et al

<u>Anomalous Heat Effect (AHE)</u> : Data have been obtained by Ni-based binary nano-metals (PNZ and CNZ) at <u>200-300 deg C range</u> : <u>Summary</u>:

AHE has been observed at elevated temperatures in 200-300 deg C.
 AHE has been confirmed by repeated observation of excess heat-power
 AHE was lasting for long time span as several days.

4) AHE has been seen after D(H) loading ratios saturated.
5) AHE is therefore some surface sited effect by in/out of D(H)-gas.

6) Observed long lasting heat gave several GJ/mol-H (or several tens keV/atom-H).
7) Level is not H(D) absorption energy.

8) AHE at 200-300 deg C is impossible to explain by known chemical reactions.
9) Pd only nano-metals do not work at higher temperatures than 100 deg C.
10) Ni only nano-metals do not work well at room temperature and elevated temperature

[1] A. Takahashi, A. Kitamura, K. Takahashi, R. Seto, T. Yokose, A. Taniike and Y. Furuyama: Anomalous Heat Effect by interaction of nano-metals and H(D)-gas, Proc. ICCF20 to be published in JCMNS

[2] Akira Kitamura, Akito Takahashi, Koh Takahashi, Reiko Seto, Yuki Matsuda, Yasuhiro Iwamura, Takehiko Itoh, Jirohta Kasagi, Masanori Nakamura, Masanobu Uchimura, Hidekazu Takahashi, Tatsumi Hioki, Tomoyoshi Motohiro, Yuichi Furuyama, Masahiro Kishida: Collaborative Examination on Anomalous Heat Effect Using Nickel-Based Binary Nanocomposites Supported by Zirconia, Proc. ICCF20 to be published in JCMNS

[3] Y. Iwamura, T. Itoh, J. Kasagi, A. Kitamura, A. Takahashi and K. Takahashi:Replication Experiments at Tohoku University on Anomalous Heat Generation Using

Nickel-Based Binary Nanocomposites and Hydrogen Isotope Gas, Proc. ICCF20 to be published in JCMNS

[4-5] Papers by A. Kitamura and Y. Iwamura, this workshop

<u>The Making of Mesoscopic Catalyst</u> To Scope CMNR Anomalous Heat Effect (AHE) on/in Nano-Composite Metal-particles

Meso-Catalyst: as Core/"Incomplete"-Shell Structure Mono-Metal (with oxide-surface layer) Pd Or Pd-Ni Binary-metal nano-particles: mesoscopic size = 2-10 nm diam.



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STEM/EDS mapping for CNS2 sample, showing that <u>Ni and Cu</u> 5 <u>atoms are included in the same pores</u> of the mp-silica with a density ratio approximately equal to the mixing ratio (<u>Cu1/Ni7</u>).





CNS3 sample: baking (#0) followed by #1 run ($R_f = 20$ ccm)

Excess power and energy by Cu1Ni10/meso-silica with H-gas at ca. 300 C #0 H-CNS3#1-#2 3:(0,0) 3 4 5 6 7 8 9 $10^{\frac{\#2}{10}}$ 4 W_{ex} by TC2 with correction for flow rate and heater power # 10 1000 45,6 #1 1:H2 fill 2:(94,57) 3:(124,80) $E_{\text{ex}} = \int W_{a} dt$ 4:(94,57) 5:(69,40) 6:(124,80) 5 100 7:(94,57) 8:(0,0) 9:(124,80) 10:evac. 11 $W_{\rm ex}$ (W) Тİ (0,0) #2 1:H2 fill 2:(94,57) 10 0 3:(124,80) $W_{a} dt$ 4:(124,0) 5:(124,80) $\eta_{\mathrm{av},i}$ 6:(0,0) E_{ex} Τİ 11 -5 11/25 12/5 12/15 12/25 1/14 1/24 2/3 1/4 Date (m/d)

One of the typical examples of STEM/EDS pictures : PNZ3r-A_000



(After absorption exp.)

Reduced Ni and Pd occupying almost the same position, and separated from ZrO₂ bulk. Pd1Ni7/ZrO2







Room Temperature Run: Large Loading Ratio, Heat Burst

PNZ5r sample (Pd1Ni7/zirconia, re-oxidized): Kitamura et al, this workshop



Excess heat-power evolution for D and H gas: Kitamura et al, this Workshop



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Local excess power by PNZ5r sample with D-gas and H-gas (Kitamura, et al, this WS)



Radiations and flow rate of the coolant: No excess of n and gamma over NBG



Review of Theoretical Modeling

http://vixra.org/author/akito_takahashi [1]-[16]

- Model principle of cold fusion processes in <u>nano-metal mesoscopic catalysts</u> (Pd, Ni, alloys) are proposed and discussed
- Brief show on modeling <u>transient/dynamic D(H)-cluster formation</u> on/in a nanometal particle with surface <u>sub-nano-holes (SNH)</u>
- comparison is made between 4D/TSC and 4H/TSC condensation/collapse motions and resultant strong and weak nuclear interactions.
- <u>4D/TSC fusion or 4H/TSC WS fusion</u> and their products
- (Condensed D(H)-Cluster Fusion)
- 4H/TSC induced clean fission of host metal nuclei
- Latest TSC related papers are downloadable at: <u>http://vixra.org/author/akito_takahashi</u>

Binary-Element Metal Nano-Particle Catalyst

<u>SNHs are prepared by O-reduction to start D(H) absorption (left)</u> And D(H)/M loading ratio exceeds 1.0 level (right)

Binary-Element Metal Nano-Particle Catalyst : Core + ad-atoms/surface works well for D(or H)-gas uptake

Ni-atom; r₀ = 0.138 nm
 Pd-atom; r₀ = 0.152 nm

- Surface Pd adsorbs easier H(D).
- Pd ad-atom makes deeper adsorption potential for Ni-core lattice, due to fractal-dip's edangling bonds (**SNH**) on surface.
- Enhanced H(D) absorption into Nilattice sites (O-sites and T-sites)
- [H(D)]/[Pd+Ni] > 3.0
 - ; 1.0 for O-sites, 2.0 for T-sites

plus alpha for surface D(H)-clusters

- 4D(H)/TSC formation at surface sub-nano-dips (holes) (SNH); at defects and fractal dips
- Pd ad-atom works "similarly " to Oxygen of PdO-coated Pd-nanoparticle.

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<u>No good to use the rate theory of</u> <u>free particle collision for CMNR</u>

- Despite the importance of reaction rate estimation in modeled CMNS theories, only a few authors have treated nuclear reaction rates properly.
- Some theories have borrowed rate formulas (using cross section) of two body collision process which is the case of nuclear reactions for the random free particle motion as in plasma and gas phase or beam-target interactions.

<u>CMNR (condensed matter nuclear reactions) needs to use rate estimation</u> <u>for trapped state H(D)s</u>

- Intrinsically the CMNS nuclear reactions should happen between trapped particles of proton and deuteron (H or D) in negative potential wells organized by the ordering of condensed matter such as periodic lattice, mesoscopic nanoparticle and surface fractal conditions. Such trapped H(D)-particles should have <u>finite lifetime</u> or co-existing time in the <u>negative potential well</u> and are keeping mutual <u>inter-nuclear distances for finite time-intervals</u> before fusion reactions.
- <u>Cluster QM wave function is near Gaussian type</u> by co-existence, not plane wave as used for two body collision. <u>Application of cross section formula is bad</u> idea so far.

Rate Formula by Fermi's First Golden Rule should be used for CMNR

- We need therefore to use formulas based on the Fermi's first golden rule for rate estimation, due to the <u>finite life time of co-existing trapped particles</u>.
- The paper (A. Takahashi, Proc. ICCF19, JCMNS Vol.19) recalls the procedure and formulas for the fundamental of rate theory for CMNS, as has been used in the TSC theory development.
- As the condensed cluster fusion process is dynamic, we need time-dependent rate estimation during effective life time of condensing cluster of D(H)s.

Image of QM treatment

2) Fusion rate theory for trapped D(H) particles <u>Trapped particles in EM-potential</u> make nuclear reactions by QM superposition

Image of QM treatment

Nuclear Optical Potential is used for reaction rate formula by using the QM density balance

Image of QM treatment

Fusion Rate Formula by Fermi's Golden Rule

$$< FusionRate >= \frac{2}{\hbar} \left\langle \Psi_{f} | W(r) | \Psi_{i} \right\rangle$$

$$- \frac{\hbar^{2}}{2m} \nabla^{2} \Psi + [V_{nr}(r) + iW(r)] \Psi + V_{c}(r) \Psi = E \Psi$$

$$Nuclear Potential \quad Coulomb Potential$$

$$\Psi(r) = \Psi_{n}(r) \cdot \Psi_{c}(r)$$

$$Inter-nuclear wave function \quad EM Field wave function$$

Born-Oppenheimer Approximation

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<u>Fusion Rate Formula by Fermi's First Golden Rule with</u> <u>Born-Oppenheimer Approximation</u>

Image of QM treatment

$$< FusionRate >= \frac{2}{\hbar} \left\langle \Psi_{nf} \left| W(r) \right| \Psi_{ni} \right\rangle_{Vn} \cdot \left\langle \Psi_{cf} \left| \Psi_{ci} \right\rangle_{Vn} \right\rangle_{Vn}$$

$$Vn \approx 4\pi R_n^2 \lambda_{\pi}$$

: Effective Volume of Nuclear Strong (Weak) Interaction Domain

$$\lambda_{\pi}$$
 : Compton wave length of pion (1.4 fm) (weak boson: 2.5 am)

Rn : Radius of Interaction surface of strong (weak) force exchange

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Image of QM treatment

<u>Fusion rate should be estimated time-</u> <u>dependently</u>, e.g. for TSC Condensation: No Stable State, but into sub-pm entity

Adiabatic Potential for Molecule dde* and its ground state squared wave function

Collision Rate Formula UNDERESTIMATES fusion rate of steady molecule/cluster Drastically as around 20 orders of magnitude (A. Takahashi, ICCF19)

Cluster	Rdd = Rgs (pm)	Barrier Factor	Steady Cluster d-d Fusion Rate (f/s)	Steady Cluster 4d Fusion rate (f/s)	Fusion Rate for d-d collision formula (f/s)
D2	74.1	1.0E-85	2.4E-66		3.6E-86 (4.0E-72)*
dde*(2,2)	21.8	1.3E-46	3.2E-27		1.0E-46 (1.0E-31)*
ddµ	0.805	1.0E-9	2.4E+10		1.5E-9 (1.0E+8)*
4D/TSC- minimum	0.021	1.98E-3		3.7E+20	

* Frequency of d-d pair oscillation by QM-Langevin calculation was considered.

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TSC: Tetrahedral Symmetric Condensate

Predicted Final State Interactions of ⁸Be*(Ex=47.6MeV): ICCF18 BOLEP: burst of Low Energy Photons: will be dominant channels

Alpha particle energy spectra (fine structure): Minor Products of 4D/TSC fusion demonstrates few bands in the range 10 – 17 MeV: ICCF18

After A. Roussetski et al, Siena WS 2012: for TiDx system under e-X beam stimulation JETP Vol.112 (2011) 952

Cold Fusion: Confinement of High KE D(H)-cluster in an extremely microscopic domain of multi-particle QM trapping in "long" life time

Quantum-Mechanical Ensemble-Averaging

Image of QM treatment

$$\langle G \rangle_{ensemble} = \langle \Psi | G | \Psi \rangle$$

Born-Oppenheimer Approximation for H2 molecule:

$$\Psi(R_{dd}; r_{A1}, r_{A2}, r_{B1}, r_{B2}) = \Psi_{2D} \cdot X(R_{dd})$$

Electron Wave Function for H₂:

$$\Psi_{2D} = \frac{1}{\sqrt{(2+2\Delta)}} \Psi_{100}(r_{A1})\Psi_{100}(r_{B2}) + \Psi_{100}(r_{A2})\Psi_{100}(r_{B1})\overline{X}_{s}(S1,S2)$$

Proton-Pair Wave Function: Gaussian approximation:

$$X^{2}(R'_{dd};R_{dd}(t)) = \frac{1}{\sqrt{2\pi\sigma^{2}}} \exp[-(R'_{dd}-R_{dd}(t))^{2}/(2\sigma^{2})]$$

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Image of QM treatment

QM-Average for Complex H-cluster under Platonic Symmetry

• Average on Electron-wave function is replaced with Friction (Constraint) as

$$\left\langle Constra \quad \text{int} \right\rangle_{electron - wave} = -N_f \frac{\partial V_{si}(R_{dd};1,1)}{\partial R_{dd}}$$

Nf : Number of faces for Platonic polyhedron Vsi: H2 (i=2) or H2⁺ (i=1) trapping potential Vs1(m,Z) EQPET potential for TSC dynamics

Average on p-p wave function: using

$$\Psi(R,R') = \frac{1}{\sqrt{2\pi\sigma^2}} \exp(-(R'-R)^2/(2\sigma^2))$$

QM Average of Langevin Equation for D(H) Cluster

$$N_{e}m_{d}\frac{d^{2}R}{dt^{2}} = -\frac{k}{R^{2}} - N_{f}\frac{\partial V_{s}}{\partial R} + f(t)$$

Ne: Number of p-p edges Nf : Number of faces

$$\left| N_{e} m_{d} \left\langle \Psi(R,R') \left| \frac{d^{2}R}{dt^{2}} \right| \Psi(R,R') \right\rangle \right\rangle = -\left\langle \Psi(R,R') \left| \frac{k}{R^{2}} \right| \Psi(R,R') \right\rangle$$
$$- N_{f} \left\langle \Psi(R,R') \left| \frac{\partial V_{s}}{\partial R} \right| \Psi(R,R') \right\rangle + \left\langle \Psi(R,R') \left| f(t) \right| \Psi(R,R') \right\rangle$$

$$\Psi(R,R') = \frac{1}{\sqrt{2\pi\sigma^2}} \exp(-(R'-R)^2/(2\sigma^2))$$
 Gaussian Wave Function

$$N_{e}m_{d}\frac{d^{2} < R >}{dt^{2}} = -\frac{k}{R^{2}} - N_{f}\frac{\partial V_{s}}{\partial R} + < f(t) >$$
Equation for Expectation Value

Image of

QM treatment

Verlet's Method for numerical solution

$$G(r,t) = \frac{1.975}{m_d [R(0) - r(t)]^2} + \frac{1}{m_d} \frac{\partial V_s(R_{dd};m,Z)}{\partial R_{dd}}$$

$$R_{dd}(t) = R_0 - r(t)$$
For 4D/TSC with sigma 0.39
Different value for other cluster
$$\frac{d^2 r(t)}{dt^2} = G(r,t)$$

$$r(t + \Delta_t) = r(t) + v(t)\Delta(t) + \frac{1}{2}G(r,t)(\Delta_t)^2$$

$$v(t + \Delta_t) = v(t) + \frac{\Delta_t}{2} \int (r,t + \Delta_t) + G(r,t) dt$$

Relativistic Effect is included by HME Langevin DDL Code: A. Takahashi, JCF-16

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E0 – A type (Feynman) potential with DDL(deep Dirac level) state for A: by Andrew Muelenberg and J. L. Paillet

For <u>**p-e-p system</u>**, no effect happens by DDL2 because of highest Epp 11 eV, Though Epp > 35 keV is needed to enter the DDL2 dip. **QM tunneling probability to the DDL (Rpp=5fm) state is** ca. 10⁻⁶⁰, too small to see.</u>

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Weak Interaction at 4H/TSC-min [We assume WI happens at proton surface with W-boson wave length (2.5x10⁻³ fm)]

- Eke = 600 kev exceeds threshold (272 keV) of p + e⁻ to n + v interaction.
- $p + e^- + E_{ke} \rightarrow n + v + (E_{ke} 272 \text{ keV})$

Effective Volume for WI:

$$\Delta V_{W} = 4\pi R_{p}^{2} \lambda_{W} = 4\pi \cdot (1.2 \, fm)^{2} \cdot 2.5 \times 10^{-3} = 4.5 \times 10^{-2} (fm)^{2}$$

We assume 1S-type electron wave function for "diminished Bohr radius" = 2R_{pe}=2.4fm

$$\Psi_{e}(r) = (\pi a^{3})^{-1} \exp(-r/a)$$

 Weak Interaction at 4H/TSC-min: Collision theory cannot be applied <u>due to "long" life time of trapped state</u>
 p + e⁻ + E_{ke} (600keV) → n

- p + e⁻ + E_{ke} (600keV) → n
 + v + 328 keV
- Neutrino carries away most of 328 keV.
- Produced n makes immediately strong interaction with remained 3p of TSC.

$$\langle WIrate \rangle \geq (4\pi / h) \langle W \rangle_{w} \langle \Psi_{e}(r_{w}) \rangle^{2}$$

$$\langle \Psi_{e}(r_{w}) \rangle^{2} \sim \Psi e(\mathsf{R}_{\mathsf{P}})^{2} \Delta \mathsf{V}_{\mathsf{W}} =$$

$$(0.6/(3.14 \times 2.4^{3})) \times 4.5 \times 10^{-2}$$

$$= 5.9 \times 10^{-5}$$

$$(4\pi / h) < W >_{W} = \left| M_{fi} \right|_{F} = (G_{F} / V) c_{V} \cos \theta_{c}$$

$$G_F = 1.16 x 10^{-5} GeV^{-2} (\hbar c)^3 = 89 eV (fm)^3$$

 $\cos \theta_c = 0.88$: Weinberg angle, and $\langle W \rangle_w = 78 \, eV$ We set cv=1 and V=1

<Real WIrate>=<WIrate>< Δt -tsc-min> >= 2.37x10¹⁷x5.9x10⁻⁵x1x10⁻¹⁵ = **1.4x10⁻²** (1/cluster): (ca.**200W/mol-N**i)

4π/h

Nucleon Halo Model of ⁴Li*(Ex=4.62 MeV: J^π)Excitation with 2 PEFs spring:No concrete alpha-core may enhance prompthadronic break-ups

Why so radiation-less results?

	Claims by Experiments	Predictions by TSC Models
MDE (Metal Deuterium Energy)	Heat: 24±1MeV/ ⁴ He (Miles, McKubre, et al) Weak alpha-peaks (Lipson, Roussetskii, etc) Weak neutrons (Takahashi, Boss, etc.) <i>X-rays burst</i> (Karabut, et al.)	23.8MeV/ ⁴ He by 4D/TSC fusion with low-E alphas (46keV) Minor alpha-peaks by nucleon-halo BOLEP minor decay channels High-E neutron by minor triton emission BOLEP in ca.1.5keV
MHE (Metal Hydrogen Energy)	Heat w/o n and gamma unknown ash (Piantelli, Takahashi- Kitamura, Celani, etc.)	4H/TSC WS fusion 7-2MeV/ ³ He and d Very weak secondary Gamma and n Ca. 10 ⁻¹¹ of ³ He and d

Concluding Remarks

- Theoretical model of CCF(condensed cluster fusion) looks matching observed AHE(anomalous heat effects) by interaction of nanocomposite metal and deuterium (or hydrogen) gas at room and elevated temperatures.
- SNH (sub-nano-holes) on surface of binary nano-composite metal may be major working sites for D- or H-cluster fusion.
- TSC theory predicts that 4D/TSC and 4H/TSC fusions are nuclear reactions for generating AHE.
- TSC-based theories are established to predict quantitative AHE levels and hard-radiation-less nuclear products.

Appendix: Secondary Nuclear Effects

- Metal Nucleus + 4H(D)/TSC Interaction
- Clean Fission Products from Intermediate Excited State of Ni + 4H/TSC Nuclear Interaction
- AHE of long running time and Material Damage/annealing
- Damage difference between 4D/TSC induced AHE and 4H/TSC induced AHE

Discussions

- <u>Life Time</u>: At Rpp=2xRp=2.4fm, 4H/TSC condition will be distorted due to limited space for electron rotation.
 Rpp=2x2^{1/2}Rp=3.4fm might be the final point, around which TSC would <u>oscillate</u> to have some <u>enhanced life time (1 fs ;</u> <u>possible by HME Langevin simulation</u>). If so 4H/TSC WS fusion rate drastically increase!
- 4D/TSC fusion (47.6MeV/f) event makes much stronger <u>damage</u> than 4H/TSC WS fusion (ca. 4MeV av.), so that <u>self-recovery of nano-particle works better for Ni-H</u> system than Ni-D system (ca. 4hrs vs. 1hr of full Ni-lattice atoms displacement by one watt/g level heat-power.)
- <u>Gamma rays</u>: 5.79MeV proton will make Ni(p, γ) reaction with about 100 times the n emission rate, because it happens mainly for ⁵⁸Ni and ⁶⁰Ni of high abundance.

Case-1)TSC-Induced Ni Fission

- The 4H/TSC + Ni-isotope capture-and-fission process, previously proposed, is another plausible scenario. <u>The 4H/TSC-min state may have much longer life than</u> <u>4D/TSC-min, and Ni has larger K-shell e-cloud radius</u> <u>than Pd. Ni + 4H capture will be enhanced</u> <u>significantly.</u>
- Ni + 4p goes to fission to result in generation of clean fission products in A<100 mass region.

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After A. Takahashi: JCMNS, vol.1, 2008

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M + 4p/TSC Nuclear Interaction Mechanism

 Topological condition for Pion-Exchange (PEF): 4p's are within

pion ranges.

• <u>Selection of</u> <u>simultaneous pick-up</u>

of 4p looks dominant.

• M + 4p capture reaction.

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Major Fission Channels from Ni + 4p (2)

TSC-Induced Fission Products

 <u>FPs can be Mostly Stable Isotopes</u> for A<100 Mtargets (Clean Fission) <u>by Near Symmetric</u> <u>Fragmentation (If dominantly selected scission</u> <u>channels).</u>

It is likely, but precise FP analysis is needed.

- Minor FPs are short-lived decay RIs by EC (K-electron capture process and /or positron decay), for A>50 M-target
- Significant gamma-peaks (prompt and annihilation) should appear for M + 4H/TSC with A<20 M-target